



LUANA FERREIRA TORRES

EXPRESSION OF THE *CcDREB1D* PROMOTER IN *Coffea arabica*: FUNCTIONAL GENOMICS AND TRANSCRIPTOME ANALYSIS

**LAVRAS – MG
2017**

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Tese apresentada à Universidade Federal de Lavras como parte das exigências do Programa de Pós-Graduação em Biotecnologia Vegetal, área de concentração em Biologia Molecular, para a obtenção do título de Doutor.

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“Aqui, no entanto nós não olhamos para trás por muito tempo. Nós continuamos seguindo em frente, abrindo novas portas e fazendo coisas novas, porque somos curiosos...e a curiosidade continua nos conduzindo por novos caminhos. Siga em frente! – Walt Disney”

RESUMO GERAL

A mudança climática está colocando um grande desafio para a produção mundial de café e uma das estratégias para superar esses problemas consiste na geração de culturas com maior tolerância à seca e outros estresses abióticos, através de abordagens biotecnológicas e avançadas técnicas de melhoramento molecular. Ao longo de sua evolução, as plantas desenvolveram uma série de mecanismos de tolerância ao estresse abiótico. No entanto, a ativação desses mecanismos varia dependendo da espécie da planta, que determina o seu nível de tolerância ao estresse. A elucidação da função dos genes de tolerância ao estresse e também do processo de defesa vegetal nestas condições é de fundamental importância para a obtenção de variedades agrícolas mais resistentes, o que consequentemente pode contribuir para a redução de perdas nas culturas em condições climáticas adversas. Estudos recentes resultaram na identificação de muitos genes candidatos em *Coffea* para tolerância à seca apresentando perfis de expressão diferencial contrastantes entre genótipos. Entre estes genes, os *DREBs* estão envolvidos na via de resposta ao estresse hídrico como os fatores de transcrição vegetais que regulam a expressão de muitos genes induzíveis por estresse e desempenham um papel crítico na melhoria da tolerância ao estresse abiótico das plantas através da interação com um cis -elemento (DRE/CRT) presente na região promotora de vários genes responsivos ao estresse abiótico. Entre outros *DREBs*, o gene *CcDREB1D* mostrou um aumento nos níveis de mRNA durante a aclimação por estresse de seca. Isto indica uma regulação distinta para a expressão de genes homólogos nos dois genótipos e sugere que este gene pode contribuir para a diversidade observada entre genótipos. Atualmente, as estratégias de sequenciamento de alto desempenho do transcriptoma (RNA-Seq) permitem gerar um grande volume de dados, a baixo custo e em um curto período de tempo. Esta informação permite a realização de estudos de perfis transcricionais e redes genéticas numa compreensão aprofundada de vários perfis de genes. Diante deste contexto, o capítulo 1 apresenta uma revisão de literatura englobando desde as características de origem e comerciais do café até o estudo de genes e seu transcriptoma. O Capítulo 2 compreendeu o estudo de três haplótipos do promotor *CcDREB1D* isolados de clones tolerantes e sensíveis à seca de *C. canephora* avaliando a capacidade do promotor para controlar a expressão do gene repórter *uidA* em resposta ao déficit hídrico. O Capítulo 3 compreendeu o estudo do haplótipo pHP16L isolado de *C. canephora* e sua participação na expressão do gene repórter *uidA* em resposta aos estresses de seca, altas e baixas temperaturas, alta intensidade luminosa e aplicação exógena de ABA, acompanhados de dados de RT-qPCR. O capítulo 4 compreendeu analisar as condições de expressão do haplótipo pHP16L do promotor *DREB1D* sob uma faixa representativa dos estresses abióticos mais comuns, utilizando a técnica de RNA-seq como ferramenta para entender o mecanismo de tolerância ao estresse das plantas e a expressão dos genes estresse induzido bem como dos genes *DREBs*, acompanhados de validação dos dados pela técnica RT-qPCR.

Palavras-chave: *Coffea arabica*. *DREB*. Expressão gênica. Transcriptômica.

GENERAL ABSTRACT

Climate change is posing a major challenge to coffee worldwide production and one of the strategies to overcome these problems consists in the generation of crops with increased tolerance to drought and other abiotic stresses, through biotechnological approaches and advanced molecular breeding techniques. Throughout its evolution, plants have developed a series of mechanisms of tolerance to abiotic stresses. However, the activation of these mechanisms varies depending on the plant species, which determines your level of tolerance to stress. The elucidation of the function of the genes of tolerance to stress and also of the process of vegetal defense in these conditions is of fundamental importance for the obtaining of more resistant agricultural varieties, which consequently can contribute to the reduction of losses in the crops in adverse climatic conditions. Recent studies resulted in the identification of many candidate genes in *Coffea* for drought tolerance presenting contrasted differential expression profiles between genotypes. Among those are found the genes involved in the water stress response pathway, such as the *DREB* transcription factors. *DREBs* (*Dehydration Responsive Element Binding*) are important plant transcription factors that regulate the expression of many stress-inducible genes and play a critical role in improving the abiotic stress tolerance of plants by interacting with a DRE/CRT cis-element present in the promoter region of various abiotic stress-responsive genes. Among others *DREBs*, the *CcDREB1D* gene displayed an increase in mRNA levels during drought stress acclimation. This indicates a distinct regulation for homologous gene expression in the two genotypes and suggests that this gene might contribute to the diversity observed between genotypes. Currently, high-performance sequencing strategies of the transcriptome (RNA-Seq) allow to generate a large volume of data, at low cost and in a short period of time. This information allows the realization of studies of transcriptional profiles and gene networks in an in-depth understanding of several gene profiles. Facing this context, the Chapter 1 presents a review of the literature encompassing from the origin and commercial characteristics of coffee, to the study of genes and their transcriptome. The Chapter 2 evaluated the activity of three *CcDREB1D* promoter haplotypes isolated from drought-tolerant and drought-susceptible clones of *Coffea canephora* by evaluating their ability to control the expression of the *uidA* reporter gene in response to water deficit. The Chapter 3 comprised the study of the haplotype pHP16L isolated from *C. canephora* and its participation in the expression of the *uidA* reporter gene in response to drought, high and low temperatures, high light intensity and exogenous ABA application stresses, accompanied by RT-qPCR data. The Chapter 4 comprised analyzing the expression conditions of the pHP16L haplotype of the *DREB1D* promoter under a representative range of the most common abiotic stresses using the RNA-Seq technique as a tool to understand the mechanism of plant stress tolerance and induced stress genes expression as well as *DREBs* gene, accompanied by data validation by the RT-qPCR technique.

Keywords: *Coffea arabica*. *DREB*. Gene expression. Transcriptome.

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FIRST PART

1 INTRODUCTION

The coffee (*Coffea* sp.) is one of the most important crops in the world economy with cultivation in more than 70 countries, handling around 91 billion dollars a year. World production has estimated increase of 1,4% in production in 2015/16 compared to 2014/15, wherein 70.17 million bags of Arabica were exported in the twelve months ending December 2015, compared to 68.96 million bags last year (INTERNATIONAL COFFEE ORGANIZATION – ICO, 2016).

Climate change - rising temperatures, longer droughts, excessive rainfall - appears to threaten the sustainability of arabica coffee production. Brazil has been facing reduced production due to severe droughts in 2014, but is likely to bounce back with its combination of strong public coffee research centres, effective extension services and a resilient private sector (BRANDO, 2014).

The plants be exposed to various adverse environmental conditions during their life cycle. These environmental factors limit the growth and reproduction of plants and consequently, agricultural productivity in case of intensive cultivation of plants. The plants respond to these stresses through various physiological and molecular responses (SHINOZAKI; YAMAGUCHI-SHINOZAKI, 2000).

Low and high temperature, drought, and high salinity are common stress conditions that adversely affect plant growth and crop production. Understanding the mechanisms by which plants perceive environmental signals and transmit the signals to cellular machinery to activate adaptive responses is of fundamental importance to biology. Knowledge about stress signal transduction is also vital for continued development of rational breeding and transgenic strategies to improve stress tolerance in crops (XIONG et al., 2002).

Hundreds of studies investigating the effect of individual and combinatorial stresses have allowed us to piece together the complex network of molecular interactions controlling plant stress responses. Plants activate both specific and nonspecific stress responses as a reaction to adverse environmental conditions, allowing them to maximize efficiency in responding to the exact set of conditions encountered, at the same time as conserving resources for growth. Signal specificity is achieved through the precise interplay between components of each pathway, particularly the hormones ABA (abscisic acid), SA (salicylic acid) and JA (jasmonic acid), TFs (transcription

factors), HSFs (heat shock factors), ROS (reactive oxygen species) and small RNAs (ATKINSON; URWIN, 2012).

Transcription factors are of key importance in generating specificity in stress responses. Their manipulation provides one of the greatest opportunities for conferring multiple stress tolerance transgenically, as they control a wide range of downstream events (XU et al., 2011). The TFs interact with cis-elements in the promoter regions of various stress-related genes to up-regulate the expression of many downstream genes, thus imparting stress tolerance (AGARWAL; JHAa, 2010).

A family of genes known as C-repeat binding factors (CBFs) or dehydration-responsive element binding factors (DREBs) are key transcription factors implicated in drought, salt and cold adaptation and regulate many essential stress-responsive genes which modulate physiological adaptation of plants to abiotic stress. *DREB/CBF* compose the abscisic acid (ABA) -dependent and -independent pathways of signal transduction in abiotic stress response, and regulate the expression of several stress-related genes. Indeed, the overexpression of *DREB* genes in several genetic engineered plants lead to up regulation of cold-regulated genes (CORE) and osmotic-stress responsive genes (OR), resulting in increased abiotic stress tolerance (CHEN et al., 2009).

In an attempt to better understand the tissular localization and regulation of *CcDREB1D* promoter haplotypes (pHP15L, pHP16L and pHP17L), our study set out to analyze their ability to regulate the expression of the *uidA* reporter gene in *C. arabica* transgenic plants submitted to different abiotic stresses such as low and high temperatures, water stress mimicked by low relative humidity (RH), application of exogenous ABA and photo-oxidative stress mimicked by high irradiance. The expression of pHP16L haplotype was evaluated by RT-qPCR and RNA-seq to confirm his participation in plant response to stress.

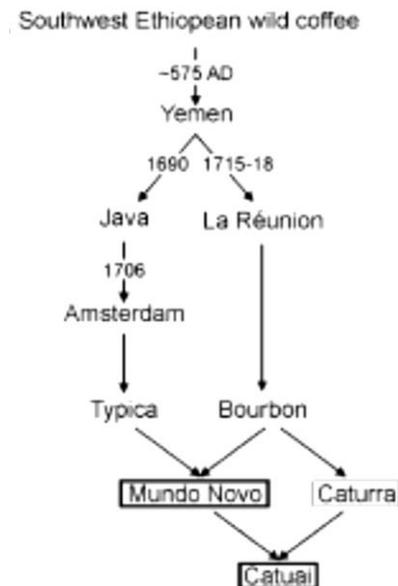
2 LITERATURE REVIEW

2.1 General characteristics of coffee origin and production

The coffee belongs to the Gentianales order, Rubiaceae family and Ixoreideae subfamily, and is divided into two genus, *Coffea* L. and *Coffea* Hook f., which comprise more than 100 species which differ in morphology, size and ecological adaptation (DAVIS et al., 2011). The genus *Coffea* L., belonging to the group of dicotyledons, is characterized by persistent leaves, shrub size, woody stem and hermaphrodite flowers (FAZUOLI et al., 1986).

Arabica coffee is native to the tropical forests of Ethiopia, Kenya, and Sudan, at altitudes of 1500-2800 m, between the latitudes of 4°N and 9°N (ANTHONY et al., 1987). Historically, the genetic inheritance of modern *Coffea arabica* cultivars arise from two main populations, known as Typica and Bourbon, that were spread worldwide in the 18th century (ANTHONY et al., 2002). This is the case of the most commercial *C. arabica* cultivars, Mundo Novo, Catuai and Caturra. The Caturra cultivar is a dwarf mutant of the Bourbon group. Mundo Novo is a hybrid between Bourbon and Typica, while Catuai cultivar derived from a cross between Mundo Novo and Caturra. Each cultivar displays distinctive plant architecture and physiological properties (Figure 1).

Figure 1- Origin of cultivated commercial cultivars of *C. arabica*.



Source: Vidal et al., (2010).

C. arabica is an species autogamous and allotetraploid ($2n = 4X = 44$ chromosomes) (CLARINDO; CARVALHO, 2011). It originated in Ethiopia Central highlands to about one million years as a result of a natural cross between outcrossing diploid species *C. canephora* and *C. eugenoides* (LASHERMES et al., 1999).

As a consequence of its evolutionary history and autogamy, the genetic diversity of *C. arabica* is low and unrestricted access to its genetic resources (and also other *Coffea* spp.), being crucial to advanced variety development (VAN DER VOSSSEN; BERTRAND, 2015).

The species *C. canephora* is allogamous and diploid ($2n = 2X = 22$ chromosomes), with approximately 568.6 Mb in its genome (DENOEUDE et al., 2014). It is native to the forest lowlands of the Congo River basin, where it extends to Lake Victoria, Uganda. It presents high genetic variability and great adaptation to the most varied climatic conditions, which made it possible to gather this species in two distinct groups, denominated Congolese and Guinean, established according to their geographic origin (MONTAGNON; CUBRY; LEROY, 2012).

The Congolese group has several subgroups of which two are of major importance: the SG1 (drought tolerant) subgroup, typical of an arid climate region, located in a more continental area of the continent, and the subgroup SG2 (drought-sensitive), characteristic of a region of tropical climate with high rainfall levels and well distributed throughout the year, which gives it susceptibility to drought (FAZUOLI, 2007).

Commercial coffee production is mainly based on two species: *C. arabica* and *C. canephora*, which account for about 65% and 35% of world production, respectively. In 2016, the largest producer were Brazil, followed by Vietnam and Colombia, with approximately 55.000; 25.500 and 14,5 thousand 60kg bags, respectively produced. Monthly data for February/2017, indicate how the main exporters Brazil, Vietnam and Colombia, with 14.883; 10.175 and 6.328 thousand 60kg bags exported, respectively (INTERNATIONAL COFFEE ORGANIZATION - ICO, 2017). Regarding the quality of the drink, *C. arabica* is considered of better cup quality because it has a low caffeine content, and the drink from it is the most appreciated in the world market (LEROY et al., 2006).

In January of 2017, the coffee market recovered from the fall in prices which was recorded towards the end of last year. While a price increase could be observed across all groups, it was most notable for Robusta. Exports for the first quarter of coffee year 2016/17 were 8.3% higher than last year at 29.8 million bags (INTERNATIONAL COFFEE ORGANIZATION - ICO, 2017).

The production of the 2017 harvest is estimated between 43,650.1 and 47,509.8 thousand bags benefited from coffee. The total area used for cultivation should be 2.228,2 thousand hectares (331,8 thousand hectares in formation and 1.896,4 thousand hectares in production). The production of arabica should be between 35.013,1 and 37.881,7 thousand bags. This year is of negative bienniality in most producing states, which consequently results in an average productivity lower than the previous year and a larger area to be managed (COMPANHIA NACIONAL DE ABASTECIMENTO - CONAB, 2017).

Climatic variability has always been the main factor responsible for the fluctuation of coffee yields worldwide, and the climate change, as a result of global warming, is expected to presents a major challenge to the coffee industry (VAN HILTEN, 2011).

2.2 Environmental stresses and plant defense mechanisms

Environmental stress is exerted on the plant by biotic or abiotic factors. The biotic factors involve the interaction between living organisms such as pathogens, algae or weeds, while abiotic stress is caused by high or low temperatures, excess or lack of water, high salt concentration and also by chemical components (QURESHI et al., 2007). These environmental factors severely limit the growth and reproduction of plants and, consequently, the agricultural productivity in case of plants of intense cultivation. Among all types of abiotic stresses, dehydration and extremes of temperature are the ones that most affect plant development. The plants respond to the water deficit, as well as to the high and low temperatures, with diverse physiological and molecular responses (SHINOZAKI; YAMAGUCHI-SHINOZAKI, 2000).

A given environmental stress that affects one plant may not be stressful for another because some plants have developed adaptive characteristics against these stresses by activating defense mechanisms. The plant's response to stress is the most crucial function of the plant cell and occurs through the alteration in the gene expression model (QURESHI et al., 2007), whose products (proteins) may be involved in several adaptive functions (THOMASHOW, 1999). Genes induced during abiotic stresses encode proteins that function in the control of gene expression and signal transduction, as well as the proteins involved, for example, in the protection and detoxification of cells (SHINOZAKI; YAMAGUCHI-SHINOZAKI, 2000).

Multiple signaling pathways regulate plant stress responses (KNIGHT; KNIGHT, 2001) and there is an overlap between patterns of gene expression that are induced in response to different stressors (CHEN et al., 2002).

Molecular responses to abiotic stresses include stress perception, signal transduction to cellular components, gene expression, and, finally, metabolic changes imparting stress tolerance. The genes thus induced by stress not only function in protecting cells from stress by the production of important metabolic proteins but also in regulating the downstream genes for signal transduction (AGARWAL et al., 2006).

Plant responses to abiotic stresses are highly complex and involve expression of a large number of genes encoding stress related proteins and enzymes working in biosynthetic pathways of osmoprotectants and other stress-related metabolites (VINOCUR; ALTMAN, 2005).

Functional proteins are characterized by protecting the cell against dehydration. In this group are aquaporins (water movement through the plasma membrane), the osmoprotective enzymes (related to the accumulation of solutes in the cytosol, with the purpose of promoting the maintenance of cellular turgor), the protective proteins of macromolecules and cell membranes, as Late Embryogenesis Abundant proteins (LEA), molecular chaperones such as HSP 70 BiP (70 kDa Heat Shock Binding Protein) and antifreeze proteins, detoxifying enzymes (neutralizing free radicals from oxidative stress) and proteases (CUSHMAN; BOHNERT, 2000).

Regulatory proteins are characterized by the regulation of signal transduction and gene expression. In the group of regulatory proteins, protein kinases and transcription factors are present (SHINOZAKI; YAMAGUCHI-SHINOZAKI, 1997). They include various transcription factors (TFs) such as myelocytomatosis oncogene (MYC), myeloblastosis oncogene (MYB), basic leucine zipper (bZIP), NAM, ATAF, and CUC (NAC), dehydration responsive element binding (DREB), etc. suggesting the role of various transcriptional regulatory mechanisms in the stress signal transduction pathways (AGARWAL et al., 2006).

2.3 Transcription factors

Transcription factors (TFs) bind to the cis-acting elements present in the promoter region of several genes that are regulated by signaling pathways that trigger their activation. *Cis*-acting elements are specific binding sites for proteins involved in the initiation and regulation of

transcription (HERNANDEZ-GARCIA; FINER, 2014). These regulatory elements participate in the control of various biological processes, including abiotic stress responses, hormone responses and developmental processes (YAMAGUCHI-SHINOZAKI; SHINOZAKI, 2006).

Transcription factors fall in the category of early genes and are induced within minutes of stress (HUANG et al., 2012). They are of key importance in generating specificity in stress responses. Their manipulation provides one of the greatest opportunities for conferring multiple stress tolerance transgenically, as they control a wide range of downstream events (XU et al., 2011). They interact with cis-elements in the promoter regions of various stress-related genes to up-regulate the expression of many downstream genes, thus imparting stress tolerance (AGARWAL; JHA, 2010). A list of TFs that may be crucial in controlling the response to biotic and abiotic stresses is given in Atkinson and Urwin (2012).

TFs do not bind indiscriminately to every gene with a matching response element, as there may be thousands of such sites across the genome. Instead, specificity can be generated by TFs forming into homo- or heterodimers, which then bind to a pair of response elements at an appropriate distance from each other, or by the the co-operation of bridging or scaffold proteins which direct TFs to the correct response elements (VAAHTERA; BROSCHE, 2011).

Plant genomes assign approximately 7% of their coding sequence to TFs, which proves the complexity of transcriptional regulation (AGARWAL et al., 2006b). Transcriptome data in *Arabidopsis* and in numerous other plants suggest that there are several pathways that independently respond to environmental stresses (in both ABA dependent- and independent-manner), suggesting that stress tolerance or susceptibility is controlled at the transcriptional level by an extremely intricate gene regulatory network (AGARWAL; JHA, 2010).

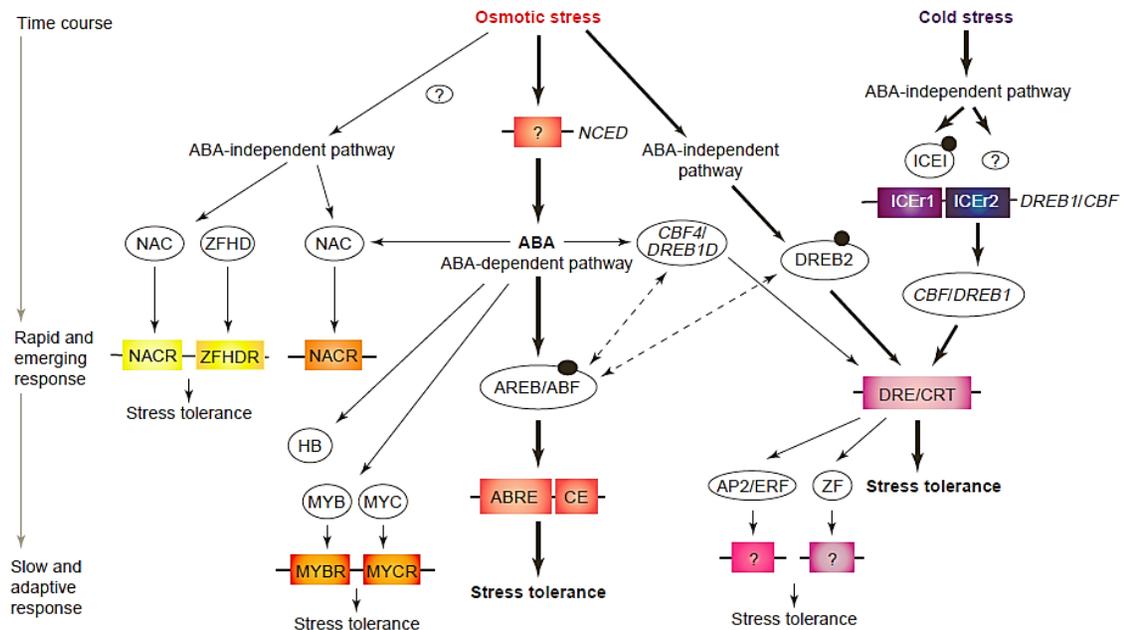
2.4 Signal transduction pathways involved in plant stress response

Four signal transduction pathways involved in the plant response to stress were described: pathways I and II ABA dependent and pathway III and IV ABA non-dependent (SHINOZAKI; YAMAGUCHI-SHINOZAKI, 2000). ABA-dependent signalling systems have been described as pathways that mediate adaptation to stress by the activation of at least two different TFs can be identified: (I) the AREB/ABF (ABA-responsive element-binding protein/ABA-binding factor) regulon; and (II) the MYC/MYB regulon. On the other hand, ABA-independent TFs are: (III) the

CBF/DREB (cold-binding factor/dehydration responsive element binding) regulon; and (IV) the NAC and ZF-HD (zinc-finger homeodomain) regulon (SAIBO et al., 2009).

Many other transcriptional regulation systems are involved in the expression of genes responsive to stress. In parallel, these four main pathways relate and converge to the activation of genes involved in the stress response. Figure 2 shows a model of signal transduction pathways of biotic and abiotic stresses from perception to gene expression. There are cross-talks between these signaling pathways, implying common transcription factors for biotic and abiotic stresses (YAMAGUCHI-SHINOZAKI; SHINOZAKI, 2005; CIARMIELLO et al., 2011).

Figure 2 - A schematic representation of stress signal perception and gene expression via ABA-dependent and independent pathways at cellular level in plants.



Legend: Transcription factors controlling stress-inducible gene expression are depicted as ellipses. *Cis*-acting elements involved in stress responsive transcription are depicted as colored boxes. Small, black, filled circles reveal modification of transcription factors in response to stress signals for their activation, such as phosphorylation. Regulatory cascade of stress-responsive gene expression is shown from top to bottom. Early and emergency responses of gene expression are shown in the upper part, and late and adaptive responses in the lower part. Thick black arrows indicate the major signaling pathways; these pathways regulate many downstream genes. Broken arrows indicate protein-protein interactions. Abbreviations: ABA, abscisic acid; AREB, ABRE-binding proteins; ABRE, ABA-responsive element; CBF, C-repeat-binding factor; DRE/CRT, dehydration-responsive element/C-repeat; DREB, DRE-binding protein; ICE, Inducer of CBF Expression; MYBR, MYB recognition site; MYCR, MYC recognition site; NACR, NAC recognition site; ZFHDR, zinc-finger homeodomain recognition site.

Source: Yamaguchi-Shinozaki and Shinozaki (2005).

2.5 DREB/CBF (Dehydration-Response-Element-Binding/C-repeat-binding factor)

The DREB are important AP2 (APETALA2)/ERF (ethylene responsive factor) plant TFs that induce a set of abiotic stress-related genes. The 145 members of this large AP2/ERF family of TFs in *Arabidopsis* were classified into four subfamilies namely, DREB/CBF, ERF, AP2, RAV (SAKUMA et al., 2002; LATA; PRASAD, 2011), based on the similarities of their sequences and on the numbers of AP2/ERF binding domains (NAKANO et al., 2006).

The first work about this family of transcription factors was performed by Yamaguchi-Shinozaki et al. (1993; 1994), through the identification of Responsive to Desiccation (rd) cDNAs of *A. thaliana*, which were expressed not only in response to water deficit, but also to saline stress, low temperature and abscisic acid.

Members of the AP2/ERF subfamily contain a highly conserved domain consisting of a sequence of 60 to 70 amino acids. AP2/ERF proteins play important roles in regulating gene transcription in a variety of biological processes related to growth and development, as well as various responses to environmental stimuli that regulate the expression of plant genes responsive to biotic and abiotic stresses (AGARWAL et al., 2006b). AP2/ERF TFs have long been associated with stress signalling, and recent discoveries suggest that they may make excellent targets for improving broad-spectrum tolerance in crops through genetic engineering (XU et al., 2011).

The *DREB* transcription factors are divided into two classes, *DREB1* and *DREB2* (CHINI et al., 2004). Three *DREB1/CBF* genes are involved in responses and acclimation to cold stress (NOVILLO et al., 2007; FANG et al., 2015), whereas *DREB2* genes are induced by dehydration, high salinity and heat (SAKUMA et al., 2006; MIZOI et al., 2012). The subclasse *DREB1/CBF* has the following variations: *DREB1B/CBF1*, *DREB1C/CBF2* and *DREB1A/CBF3* (STOCKINGER et al., 1997; LIU et al., 1998; MEDINA et al., 1999).

The *DREB* plays important roles in regulating the expression of genes (*rd29A*, *kin1* and *erd10*) in response to a variety of abiotic and biotic stresses (YAMAGUCHI-SHINOZAKI; SHINOZAKI, 20056; AGARWAL et al., 2006).

It has been established that they are major transcription factors involved in plant abiotic stress responses by regulating gene expression via the cis-acting *DRE/CRT* (dehydration-responsive element/C-repeat) (YAMAGUCHI-SHINOZAKI; SHINOZAKI, 2005). These TFs were isolated from *Arabidopsis* and designated as *DREB1A*, *DREB1B*, *DREB1C*, *DREB2A* and

DREB2B. Since *DREB1A*, *DREB1B*, *DREB1C* are induced by cold stress, and *DREB2A* and *DREB2B* by water stress conditions and high salt concentration (DUBOUZET et al., 2003).

The *DREB* genes have been studied and characterized in several plant species, such as *Arabidopsis*, wheat, rye, tomato, maize, rice, barley, buckwheat, grape and rapeseed and under various adverse environmental conditions. DRE/CRT was identified as an essential cis-acting element in the *Arabidopsis thaliana RD29A* promoter for expression in response to dehydration and cold, regardless of the presence of ABA. The *RD29A* (Responsive to Dessication) gene has been very characterized and consists of a sequence of nine base pairs - TACCGACAT - that regulates its induction under conditions of water deficit, low temperatures and salt stress (YAMAGUCHI-SHINOZAKI; SHINOZAKI, 1994; SAKUMA et al., 2006; FANG et al., 2015; ZANDKARIMI et al., 2015).

DREB genes play an important role in the ABA-independent stress-tolerance pathways that induce the expression of various stress-responsive genes in plants. The first isolated cDNAs encoding DRE binding proteins, CBF1 (CRT binding factor1), *DREB1A* and *DREB2A* were first isolated by using yeast one hybrid screening (STOCKINGER et al., 1997; LIU et al., 1998) from *Arabidopsis*. Since then, numerous *DREB* genes have been isolated from a number of plants (LATA; PRASAD, 2011; FANG et al., 2015; ZANDKARIMI et al., 2015).

A 125 bp region of the *DREB1C* promoter was demonstrated to be sufficient to drive cold-induced transcription, and two segments within the promoter, designated *ICEr1* and *ICEr2* (inducer of *CBF* expression region 1 and 2), contribute to cold responses (ZARKA et al., 2003). ICE1 and MYB15 have also been identified as transcription factors upstream of the induction of *DREB* genes in response to cold stress (AGARWAL et al., 2006). However, little is known regarding the upregulation of *DREB2* genes (CHEN et al., 2012).

These observations suggest that the *DREB* proteins are important TFs in regulating abiotic stress-related genes and play a crucial role in imparting stress tolerance to plants. The *DREB1* and *DREB2* regulons can thus be used to improve the tolerance of various kinds of agriculturally important crop plants to drought, high-salinity, and freezing stresses by gene transfer (LATA; PRASAD, 2011).

A diversity of *DREBs* genes has been functionally characterized in model plants by homologous transformation. In *Arabidopsis* and rice, as an example, members of subfamilies groups *DREB1* and *DREB2* have shown promising results in inducing cold, salt and drought

tolerance (SAKUMA et al., 2002; DUBOUZET et al., 2003; ITO et al., 2006; LIM et al., 2007; TAKASAKI et al., 2010; KIM et al., 2011; CHEN et al., 2009; CHEN et al., 2012).

DREBs genes have been less extensively characterized in non-model plants in parts due to inefficient protocols for genetic transformation or quite laborious *in vitro* regeneration selection. To cope with this limitation, heterologous transformation in model plants has been used to functional characterization of crop *DREBs* genes and results have been demonstrating a certain degree of functional conservation across plants species (BIHANI et al., 2010).

Despite numerous physiological investigations to discover how *DREB* transcription factors regulate target genes, transcriptional regulation of the *DREB* genes themselves has not yet been fully characterized. Little is known about its spatial expression during the development of plant organs submitted to environmental stress. Therefore, there is a need to find out how these transcription factors function during the regulation of a specific gene in a temporal and spatial manner.

2.5.1 *DREBs* genes in *Coffea canephora*

To identify the candidate genes involved in drought tolerance in coffee plants different strategies were used, among them the identification of these candidate genes through the Coffee Genome Project, which has already unmasked more than 200 thousand cDNA sequences and identified about 30 thousand genes of coffee tree (MONDEGO et al., 2011).

Most of the sequences obtained were deposited in the international biotechnology information database, the National Center for Biotechnology Information (NCBI). They are available the sequences of the genes that were expressed - EST (Expressed Sequence Tags) - removed the coffee tissues in their stages of development or at the time that these tissues respond to biotic or abiotic stresses. It is possible to reassemble the RNA molecule, ie, the copy of the DNA (cDNA) of the plant that expresses itself at the time of the stresses (VIEIRA et al., 2006).

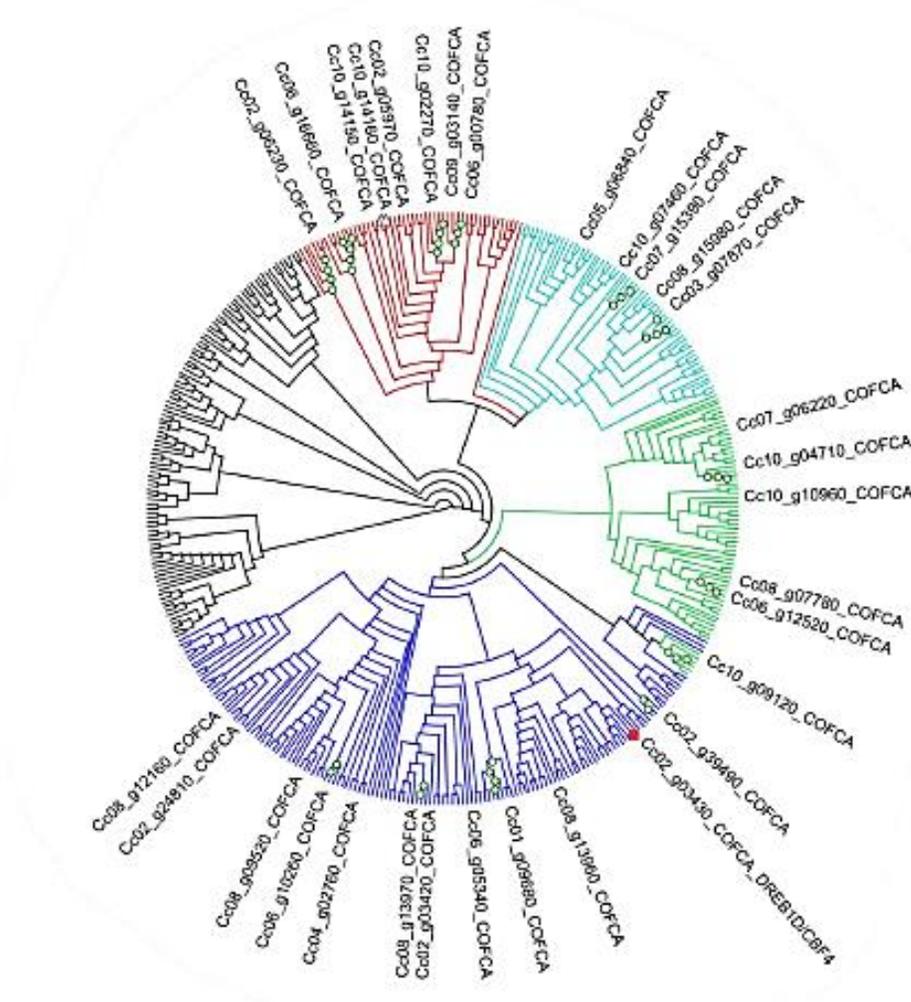
Among the candidate genes that showed differential expression in leaves of *C. canephora* conilon tolerant (14 and 120) and sensitive (clone 22) to drought clones grown in greenhouse with irrigation (I) or without (NI), were identified several genes, particularly the *CcDREB1D* gene (MARRACCINI et al., 2012).The transcriptional activity of allelic and homolog forms of

CcDREB1D promoter from *C. canephora* were studied and isolated from the clone 14 (tolerant) and clone 22 (sensible) by genetic transformation of *C. arabica* (ALVES et al., 2015).

The *CcDREB1D* gene and other members of the *DREB* subfamily of *C. canephora* were identified and divided into four SGs: I, II, III and IV (Figure 3) by Alves (2015). This identification was possible due to the complete sequencing of the genome of *C. canephora* (DENOEUDE et al., 2014), whose information was made available in an integrative system of genome information, the Coffee Genome Database Hub (DEREEPER et al., 2015).

Based on this information and the identification of the members of the *DREB* subfamily of *C. canephora*, it became easier to analyze the expression of *DREB* genes and their respective subgroups, in order to elucidate their mechanisms of action in other stresses, in addition to drought.

Figure 3 - The reconciled taxonomic (species) tree with *DREB* gene tree.



Legend: *DREB* tree harboring homologs sequences from *A. thaliana*, *Coffea canephora*, *S. lycopersicon*, *S. tuberosum*, *O. sativa*, *V. vinifera* and *Z. mays* was rooted with viridiplantae phylogenetic tree. *DREB* subgroups I, II, III and IV are highlighted in light blue, green, dark blue, and red, respectively. *CcDREB* members are in bold, green circle nodes indicate speciation with high bootstrap confidence, blue triangle marks the *CcDREB* genes undergone duplication, and the red square shows *CcDREB1D*.

Source: Alves (2015).

2.6 Coffee physiology and the main abiotic stresses that affect plants

Under field conditions, plant performance in terms of growth, development, biomass accumulation and yield depends on acclimation ability to the environmental changes and stresses, exercising specific tolerance mechanisms that involve a complex network of biochemical and molecular processes (WANG et al., 2003). Overall, drought and unfavourable temperatures are the major climatic limitations for coffee production. These limitations are expected to become increasingly important in several coffee growing regions due to the recognized changes in global climate, and also because coffee cultivation has spread towards marginal lands, where water shortage and unfavourable temperatures constitute major constraints to coffee yield (DAMATTA; RAMALHO, 2006)

Primary effects of stresses, such as cold and drought, are often related, causing cellular damage and secondary stresses, e.g., osmotic and oxidative stress, resulting in disruption of osmotic and ionic homeostasis and damage to proteins and membranes. These imbalances will trigger downstream signalling and transcription control, which activate mechanisms, such as antifreezing and chaperone functions (e.g., chitinases, Heat shock proteins, late embryogenesis abundant proteins, Cold-related genes), detoxification [e.g., superoxide dismutase (SOD), ascorbate peroxidase (APX)], osmoprotection (e.g., proline, glycine betaine, sugar polyols), etc., that re-establish cellular homeostasis (Wang et al., 2003).

With regard to arabica coffee plants, peroxidation of membrane lipids plays a decisive role in the high cold sensitivity of roots, probably linked to a limitation in the functioning of antioxidative systems provoked by a decreased respiratory activity. The latter brings about a low availability of reducing power that in turn leads to the failure of antioxidative enzymes to protect lipid membranes, causing a higher root tissue damage and membrane rigidity (QUEIROZ et al., 1998).

An set of studies with potted plants of several coffee genotypes, which were submitted to low positive temperatures (CAMPOS et al., 2003; RAMALHO et al., 2003) showed that Icatu (a hybrid of *C. arabica* x *C. canephora*) exhibited better cold acclimation ability than the other genotypes studied, namely due to the small membrane selectivity loss and peroxidative degradation. This would be related to the maintenance (or increase) in the fatty acid saturation of membrane lipids and the reinforcement of the antioxidative system (observed also in cv. Catuaí). Such changes allow the coffee plants to maintain higher photosynthetic activity due to an organized and functional membrane structure (still, more rigid) less sensitive to ROS. Such qualitative changes in membrane lipids seem also to play an importante role in acclimation of the coffee tree to other conditions that promote oxidative stress, such as high-irradiance exposure (RAMALHO et al., 1998), becoming important in a quite short (8 h) time (GASCÓ et al., 2004).

Species or cultivars more tolerant to drought generally differ morphologically and/or physiologically, with mechanisms allowing greater production under restricted water supply. Understanding such mechanisms in genotypes naturally adapted to drought could help to improve their agronomic performance. In coffee, some physiological traits have been shown to potentially contribute to yield under drought conditions (DAMATTA, 2004b), but the development of an eficiente breeding method for drought tolerance is still a long-standing objective. A major component of differential adaptation to drought among arabica and robusta coffee genotypes appears to be behavioural, and may be governed by rates of water use and/or efficiency of extraction of soil water (PINHEIRO et al., 2005).

2.6.1 Abscisic acid – ABA, hormone of stress

ABA is an important plant hormone that plays a regulatory role in many physiological processes in plants, such as embryo maturation, seed germination and development, seed and bud dormancy, root growth, fruit ripening, regulation of stomatal aperture and the activation of stress responsive genes (AGARWAL; JHA, 2010). Increased levels of ABA are triggered by a variety of environmental stresses such as drought, salinity, cold, desiccation, heat and wounding. Further, it is also proved that ABA is a major physiological signal that induces drought and high salinity responses (FAROOQ et al., 2009).

ABA is synthesized in response to a reduction in water potential (CHRISTMANN et al., 2007) and the biosynthesis is partitioned between plastids and the cytosol. The oxidative cleavage of the precursor carotenoid 99-cis-neoxanthin to xanthoxin, catalyzed by the plastidial enzyme 99-cis-epoxycarotenoid dioxygenase (NCED), is the committed step for ABA biosynthesis (NAMBARA; MARION-POLL, 2005).

Abiotic stress responses are largely controlled by the hormone ABA, while defence against different biotic assailants is specified by antagonism between the salicylic acid (SA) and jasmonic acid (JA)/ethylene signalling pathways. However, recent findings suggest that ABA acts both synergistically and antagonistically with biotic stress signalling, creating a complex network of interacting pathways with cross-talk at different levels (FUJITA et al., 2006; ASSELBERGH et al., 2008b).

Plants under water stress usually have a higher accumulation of abscisic acid, which exerts various physiological effects on development of plants and has been identified as a messenger in perceptual response pathways of water stress and other environmental stresses, such as low temperatures and high salinity (WAN; LI, 2006; CLEMENT et al., 2008). Some studies have shown that the application of ABA in intact plants can increase your tolerance to stress (YIN et al., 2004).

Increased content of ABA during water stress has been found in all photosynthetic organisms. The biosynthesis of ABA has previously been thought to occur only in the roots, but more recent studies show that ABA is also synthesized in mesophyll cells, vascular tissue and stomata. It has been found that genes regulating at least the last steps in the ABA biosynthesis (NCED and AAO) are the most important and are strongly up regulated during water stress, showing the important role of ABA as a rapid stress response (SEO et al., 2000).

Many stress-responsive genes are upregulated by ABA. The first studies of ABA in osmotic stress signal transduction was the stress induction of several of these genes in the *Arabidopsis*. A general conclusion from these studies was that whereas low-temperature-regulated gene expression is relatively independent of ABA, osmotic stress-regulated genes can be activated through both ABA-dependent and ABA-independent pathways (SHINOZAKI; YAMAGUCHI-SHINOZAKI, 2000).

2.6.2 Low temperature

Occurrence of frosts, even if sporadic, may strongly limit the economic success of the crop. In that case, low temperatures cause the discoloration of leaves, exposure to temperatures below 1°C can result in serious lesions on both the leaves and coffee cherries and below -2°C for durations of 6 hours or more can cause plant death (DESCROIX; SNOECK, 2004).

Arabica coffee trees are severely damaged by frost, and are therefore not suited to regions that experience sub-zero temperatures, even for short periods of time (GAY et al., 2006). Large changes in diurnal temperatures can affect yield and quality, and the maximum tolerance is a range of 19°C (DESCROIX; SNOECK, 2004).

Cold stress, which includes chilling (<20°C) and/or freezing (<0°C) temperatures, adversely affects the growth and development of plants. Chilling and freezing are stresses that show different effects on plants: the first leads to slow biochemical reactions, such as enzyme and membrane transport activities; the second leads to ice crystal formation that can cause the disruption of cell membrane system (CHINNUSAMY et al., 2007).

Low temperature is one of the most important abiotic factors limiting growth, productivity and geographical distribution of agricultural crops. Many plants increase in freezing tolerance in response to low temperature, a phenomenon known as cold acclimation. Cold acclimation is the process that allows hardy plants to develop essential tolerance for cold stress survival through multiple levels of biochemical and cell biological changes. These responses are due to reprogramming of gene expression which results in the adjusted metabolic alterations. The first step in switching on such molecular responses is to perceive the stress as it occurs and to relay information about it through a signal transduction pathway (HEIDARVAND; MAALI AMIRI, 2010).

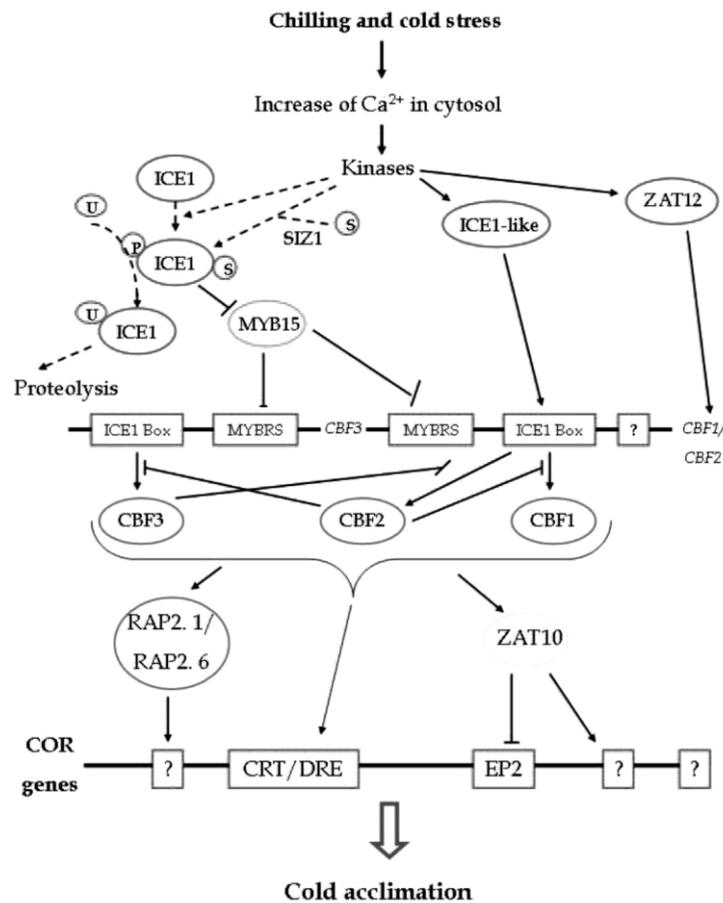
Little is known about cold sensors in plants and details of the early low temperature – signaling pathway are missing. Some data have suggested a role of membrane physical state on cold transduction (HUMPHREY et al., 2007).

Cold stress induces the expression of AP2/ERF family TFs, that is, CBFs, which can bind to *cis*-elements in the promoters of COR genes and activate their expression (CIARMIELLO et al., 2011) (Figure 4). CBFs regulate the expression of genes involved in phosphoinositide metabolism, transcription, osmolyte biosynthesis, ROS detoxification, membrane transport, hormone

metabolism and signalling and many others with known or presumed cellular protective functions (LEE et al., 2005).

A large number of studies have used a transcriptional profiling approach to identify genes in *Arabidopsis* that respond to cold (4°C) and chilling (13°C) temperatures. Results have shown that plants respond to low temperatures by altering mRNA levels of a large number of genes belonging to different and independent pathways. The cold induction of genes involved in calcium signalling, lipid signalling or encoding receptor-like protein kinases are also affected by the *ice1* mutation gene (LEE et al., 2005).

Figure 4 - Cold-responsive transcriptional network in *Arabidopsis*.



Legend: CBFs regulate the expression of COR genes that confer cold tolerance. CBFs might cross-regulate each other's transcription. CBFs induce the expression of ZAT10 which might downregulate the expression of COR genes. Constitutive expressed ICE1 is activated through sumoylation and phosphorylation induced by cold stress. ICE1 activated induce the transcription of *CBFs* and repress *MYB15*. The expression of *CBFs* is negatively regulated by *MYB15* and *ZAT12*. *HOS1* mediates the ubiquitination and proteolysis of ICE1,

thus negatively regulates CBF regulons. Lines ending with bar indicate negative regulation; question mark (?) indicate unknown *cis*-elements; broken arrows indicate post-translational regulation; solid arrows indicate activation; lines ending with bar indicate negative regulation.

Source: Ciarmiello et al, (2011).

In *Arabidopsis*, ICE1 (Inducer of CBF Expression1), a MYC-type bHLH TF, can bind to MYC recognition elements in the CBF3 promoter and is important for the expression of CBF3 during cold acclimation. ICE1 is constitutively expressed and localized in the nucleus, but it induces expression of CBFs only under cold stress. This suggests that cold stress induced post-translational modification is necessary for ICE1 to activate downstream genes in plants (CHINNUSAMY et al., 2003).

The expression of cold-regulated genes is regulated by both ABA independent and ABA-dependent pathways (CHINNUSAMY et al., 2004). Promoter analysis of the cold-regulated genes has shown that they contain sequence elements that mediate the stress induction of the genes. There are some transcription factors which identify these elements and bind it (HEIDARVAND; MAALI AMIRI, 2010).

2.6.3 High temperature

For *C. arabica* the optimums mean annual air temperature range from 18 to 23°C. Above 23°C, fruit development and ripening are accelerated, often leading to loss of quality (CAMARGO, 1985). Continuous exposure to daily temperatures as high as 30°C could result in not only depressed growth but also in abnormalities such as yellowing of leaves (DAMATTA; RAMALHO, 2006). The optimum day/night temperature is 18/22°C, with tolerated extremes for Arabica coffee extending to a 15°C minimum during the night, and between 25°C - 30°C during the day (DESCROIX; SNOECK, 2004).

High temperatures can significantly damage the productivity of coffee plants in several ways. Continuous exposure to temperatures as high as 30°C leads to depressed growth and anomalies, such as the yellowing of leaves, growth of tumors on the stem and during blossoming may cause abortion of flowers, reducing coffee yields. Besides, favor the development of Coffee Leaf Rust (*Hemileia vastatrix*) and fruit blight, while Coffee Berry Disease is more prominent in cool regions (VAN DER VOSSSEN; BERTRAND, 2015).

High temperature is now a major concern for crop production and approaches for sustaining high yields of crop plants under this stress are important agricultural goals (HASANUZZAMAN et al., 2013a).

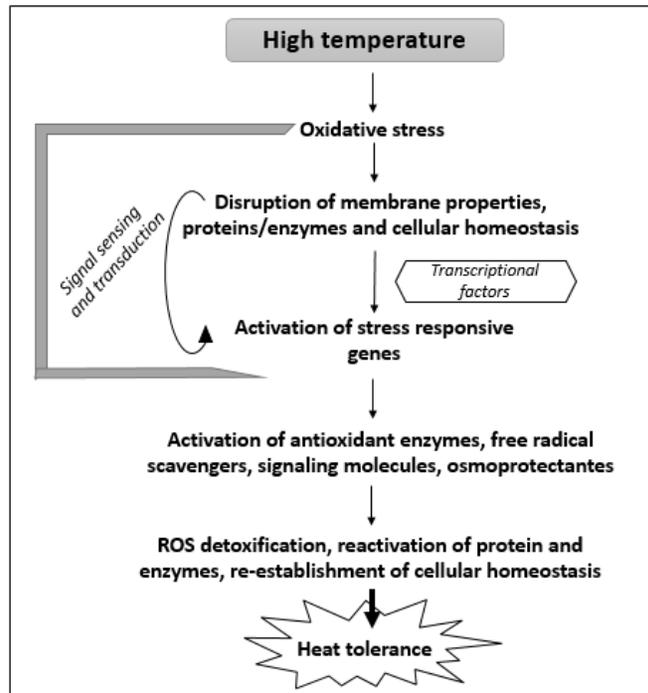
Conditions that exceed the optimal temperature for growth are recognized as heat stress (HS) in living organisms. Plant cells respond to HS by temperature perception mechanisms, signaling transduction pathways and accumulation of conserved heat shock transcription factors (Hsfs), as well as HS-related proteins such as molecular chaperones or heat shock proteins (Hsps) (KOTAK et al., 2007). One of the major consequences of heat stress is the excess generation of reactive oxygen species (ROS), which leads to oxidative stress (HASANUZZAMAN et al, 2013a).

Several papers in the literature cited either in structural level as at the molecular level, the processes occurring in the plant cells during heat stress. Plants alter their metabolism in various ways in response to heat temperature, particularly by producing compatible solutes that are able to organize proteins and cellular structures, maintain cell turgor by osmotic adjustment, and modify the antioxidant system to re-establish the cellular redox balance and homeostasis. At the molecular level, heat stress causes alterations in expression of genes involved in direct protection from heat temperature stress. These include genes responsible for the expression of osmoprotectants, detoxifying enzymes, transporters and regulatory proteins (KRASENSKY; JONAK, 2012).

Transcriptional regulation of HS-responsive nuclear gene expression is one of the main targets of HS-related signal transduction cascades and requires sets of *trans*-acting factors and *cis*-regulatory elements (CREs) in the promoter regions of HS-inducible genes (CHEN et al., 2012). HSFs serve as the terminal components of signal transduction, mediating the expression of HSPs and other HS-induced transcripts. Plants possess multiple HSF-encoding genes, with 21 members defined in *Arabidopsis* (KOTAK et al., 2007).

Upregulation of many genes has been reported to help the plant to withstand the stress conditions which leads to plant adaptation (TUTEJA, 2009). Upon stress plants perceive the external and internal signals through different independent or interlinked pathways which are used to regulate various responses for its tolerance development. Plant adaptation to heat stress includes avoidance and tolerance mechanisms which employ a number of strategies (HASANUZZAMAN et al., 2013a) (Figure 5).

Figure 5 - Schematic illustration of heat induced signal transduction mechanism and development of heat tolerance in plants.



Source: Adapted of Hasanuzzaman et al, (2013a).

2.6.4 Salinity

Salt stress is the exposure of plants to salinity, the main component of which is NaCl. Salt stress can be in one of two forms (1) gradual exposure to increasing levels of NaCl or (2) exposure of plants to low levels of salinity – or it may be a combination of both. Salt shock is an extreme form of salt stress, where plants are exposed suddenly to a high level of salinity. Salt shock rarely occurs in either agricultural practice or in natural ecosystems because increases in NaCl concentration in soils occur gradually, via rising water tables, deep penetration of roots, or slow, seasonal drying of the soil profile and removal of soil water via evaporation and transpiration (SHAVRUKOV, 2013).

Osmotic stress (or the osmotic component of salt stress) occurs immediately when roots come into contact with solutions containing unfavourably high concentrations of salts in hydroponic systems or in soil. But osmotic stress is also a component of the initial stages of drought stress, which similarly involves increasing cellular concentrations of osmolytes and regulation of stomatal conductance (JAMES et al., 2008).

Na⁺ exclusion and tissue tolerance are two common mechanisms of tolerance to the ionic component of salt stress, where one or both of these mechanisms are employed by tolerant plant types (MUNNS; TESTER, 2008).

In contrast, salt shock occurs when plants are suddenly transferred from normal growth solution (without NaCl) into solution containing high concentrations of NaCl. The main component of salt shock is osmotic shock or plasmolysis, especially in root cells (MUNNS, 2002).

Apoplastic solutes, containing high concentrations of Na⁺, can freely flow through the open spaces in root cells and be transported to the shoot with minimal control by the plant. There is consequently rapid activation of many genes, in response to osmotic shock and damaged plasma membrane in root cells and to ionic stress in shoot cells. The mechanism of osmotic shock is universal for all plant species because it results from the physical–chemical effects of loss of cell turgor. Plants from different species, regardless of their level of salt tolerance, will only differ in the degree of damage to the plasma membrane during plasmolysis and in how quickly normal structure and function of affected cells is restored (SHAVRUKOV, 2013).

Highly upregulated expression of some genes in response to osmotic shock can be registered within minutes after sudden exposure of plants to salinity. Many genes identified in experiments involving salt shock are directly related to osmotic shock responses. Cell turgor maintenance, accumulation of soluble sugars, other osmolytes, and water balance are the most important processes of osmotic adjustment and they are controlled by genes with osmotic function (MUNNS; TESTER, 2008).

Large numbers of genes are expressed within a few minutes to several hours following the imposition of a salt shock treatment. These genes are mainly associated with the initial defence of plant cells against plasmolysis/salt shock, including processes such as signal transduction pathways, osmoregulation, and water loss. In contrast, following incremental application of salt, plants respond more smoothly to the osmotic phase of salt stress, with fewer genes associated with this phase. Genes showing altered expression are primarily responsible for osmotic adjustment and osmolyte production (SHAVRUKOV, 2013).

Changes in gene expression using model legume plant (*Louys japonicus*) and gradual salt stress treatment (150 mM NaCl) were analysed over a longer time (16 days) (SANCHEZ et al., 2008). The authors found that the most responsive genes were either related to transcription and signaling pathways (17% of the total number of genes responsive to NaCl), including members of

the transcription factor families AP2/ERF and MYB, membrane and cytoplasmic receptor-like kinases and other classes of kinases, or were transport-related genes (8% of genes). These genes are not likely to be involved in the reaction of plants to osmoregulation but are clearly expected to be responsive to ionic changes.

2.6.5 Photo-oxidative stress

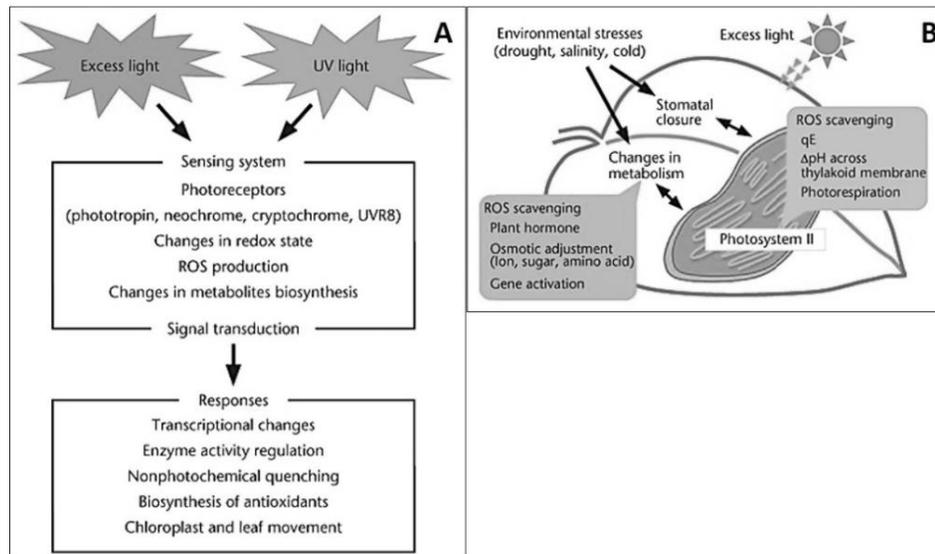
For plants, light is arguably the single most important environmental parameter, as it provides the ultimate source of biological energy. Plays a critical role in regulating plant growth and development through the modulation of expression levels of light-responsive genes that regulate developmental and metabolic processes (QUAIL, 2002b).

Although light is essential for photosynthesis and, thus, crucial for the survival of plants, it can also cause oxidative stress. Exposure of a plant to light exceeding what is utilized in photochemistry leads to inactivation of photosynthetic functions and the production of reactive oxygen species (ROS) such as hydrogen peroxide (H_2O_2), superoxide (O_2^-), hydroxyl radicals and singlet oxygen (1O_2) (NIYOGI, 1999).

The effects of these ROS cause disturbances in the normal redox state of cells can cause toxic effects through the production of peroxides and free radicals that damage all components of the cell, including proteins, lipids, and DNA. Oxidative stress from oxidative metabolism causes base damage, as well as strand breaks in DNA (KALA et al, 2015). Besides excess light, a range of abiotic environmental conditions such as O_3 , salt, toxic metals, and temperature can induce increased production of ROS by limiting the ability of a plant to utilize light energy through photosynthesis (SHINOZAKI; YAMAGUCHI-SHINOZAKI, 2000).

Oxidative stress is described as an imbalance between reactive oxygen species (ROS) and antioxidants in biological systems. It can be triggered by increased ROS formation and / or reduced antioxidant defenses, and a variety of stressful conditions, both biotic and abiotic, triggers an increase in ROS in animal and plant cells (MAHALINGAM; FEDOROFF, 2003) (Figura 6).

Figura 6 – Perception light stress by ROS.



Legend: (A)

Scheme of the sensing and responses to excess light. Light stresses are perceived by photoreceptors and cause changes in metabolite biosynthesis, production of reactive oxygen species (ROS) and redox state. Downstream pathways are activated and control the plant responses such as gene activation, enzyme activity, nonphotochemical quenching, biosynthesis of antioxidants and chloroplast and leaf movements. (B) Plant photoprotection in excess light stress and the environmental stress responses in plants. Photodamaged PSII is repaired by ROS scavenging, Δ pH-dependent qE, and photorespiration in chloroplasts. Stomatal closure, ROS production and metabolic changes under environmental stress affect these repair mechanisms.

Source: Osakabe et al. (2012).

Although toxic at high concentrations, reactive oxygen species act as signaling molecules during plant development, as well as signaling for stress-responsive pathways (MØLLER; SWEETLOVE, 2010).

To avoid the overproduction of ROS inside the cells, the plants have mechanisms that aim to decrease the production of these molecules in stress situations (MITTLER, 2002). The mechanisms used may be via anatomical adaptations, physiological, molecular (JOHNSON et al., 2011) and via alternative oxidases enzymes, which take electrons from the photosynthetic chain to use them in the reduction of intracellular molecular oxygen, thus reducing the flow of electrons and molecular oxygen concentration, both leading to a decrease in ROS production (MITTLER, 2002).

In addition to the mechanisms that aim to decrease ROS production in the plant cell, they also have a complex antioxidant system. This system constitutes a complex range of enzymatic and non-enzymatic antioxidants that regulate ROS, protecting cells from oxidative damage

(VRANOVÁ et al., 2002). Enzymes, including catalase (CAT), superoxide dismutase (SOD), peroxidase (POX), ascorbate peroxidase (APX) and glutathione reductase (GR) and non-enzymatic antioxidants such as tocopherols, ascorbic acid, flavonoids and glutathione work together to regulate amounts of ROS (HUNG et al., 2005).

Among these, the enzymes SoD, Cat and APx are highlighted in the detoxification of hydrogen peroxide and the superoxide ion. The process of detoxification of H₂O₂ catalyzed by the enzyme ascorbate-peroxidase is accompanied by a series of reactions that together form one of the most important antioxidant pathways present in plants, the ascorbate-glutathione cycle (GILL; TUTEJA, 2010).

Classical genetic and molecular approaches have identified various regulators downstream of photoreceptors. Many of these encode TFs, as well as kinases, phosphatases and degradation-pathway proteins. Although some of these regulators are specific for light quality, others regulate signal transduction networks in response to various light signals, representing potential signal integration points. Several basic posttranslational mechanisms are involved in regulating TF activities and the subcellular localization in response to light. The phosphorylation of TFs is a common modification that can influence their ability to bind to promoters (KLIMCZAK et al., 1995).

The HY5, a bZIP transcription factor that is one of the key regulators of cryptochrome and phytochrome controlled photomorphogenesis, is an important component of the UVB-induced signalling network. UVB promotes rapid transcriptional activation of HY5 independently of all known photoreceptors, and loss of HY5 results in the impairment of the transcriptional induction of a subset of UVB-responsive genes (ULM et al., 2004). Given that HY5 appears to regulate the expression of several *Arabidopsis* genes known to respond to abiotic stress conditions (e.g. *CBF1*, *DREB2A*, *RD20* and *MYB59*). It is inferred that HY5 could also be involved in the regulation of photosynthesis by adverse environmental conditions (LEE et al., 2007).

Arabidopsis thaliana leaves exposed to high light accumulate hydrogen peroxide (H₂O₂) in bundle sheath cell chloroplasts as part of a retrograde signaling network that induced ascorbate peroxidase 2 (APX2) (GALVEZ-VALDIVIESO et al., 2009).

Changes in mRNA level of *Arabidopsis* genes after exposure to high light conditions were related by Rossel et al. (2002). The authors identified an increase in expression of known antioxidant genes such as ascorbate peroxidase (APX1) and dehydroascorbate reductase (DHAR),

as well as unknown genes with homologies to regulatory genes and metabolic enzymes. Furthermore, they found that several Heat Shock Protein genes were up-regulated, implicating them in the antioxidant response in addition to their chaperones function.

In response to high light, wild-type *Arabidopsis* plants showed much enhanced expression of CSD1 and CSD2 and higher enzyme activity of MKK5 (mitogen-activated protein kinase kinase 5), which mediated a signal of the high light-induced expression of the genes CSD1 and CSD2. Manipulating MKK5 and thereby up-regulating the levels of CSD1 and CSD2 transcripts can improve plant tolerance to high light stress (XING et al., 2013).

2.6.6 Drought

Several definitions for water deficit are available in the literature. Taiz and Zeiger (2004) define as one of the main stresses that restrict the capacity and efficiency of the plant to perform important biochemical processes, caused by the water of deficit. This can be defined as "any water content of a tissue or cell that is below the highest water content exhibited in the state of greatest hydration."

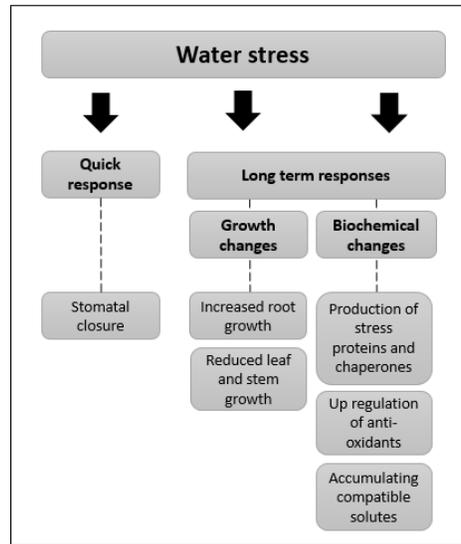
Another definition would be reduced water availability; either by water scarcity (drought) or osmotic stress (high salt concentrations) or water logging; too much water. In nature water stress is common either for long or short periods of time, depending on the local climate. Most plants therefore have some adaptation or response to enhance the growth and survival rate during water stress and subsequent recovery (ARVE et al., 2011).

Water stress may reduce photosynthesis, respiration and ion uptake, change the metabolic and growth patterns in the plant and in severe cases result in plant death. The environments that provide this water deficit are drought, high salinity and low temperature conditions (SHINOZAKI; YAMAGUCHI-SHINOZAKI, 1997; SEKI et al., 2003).

In agriculture drought stress is one of the major problems, causing major crop losses every year as well as loss of aesthetic value in ornamentals. With the global human population rapidly increasing, simultaneously as water scarcity increases, the loss of crop will be even more serious than before. The discovery and development of stress tolerant crops to avoid yield loss during water stress is therefore very important. In response to water stress plants have developed several

different mechanisms that increase the desiccation tolerance and water retention. These responses can be divided into short term and long term responses (Figure 7) (ARVE et al., 2011).

Figure 7 – Plant responses to water stress.



Source: Adapted of ARVE et al. (2011).

2.6.6.1 Long term responses

During prolonged water stress plants must be able to survive with low water content and maintain a minimum amount of water, through water uptake and retention. To cope with prolonged drought stress plants respond with energy demanding processes that alter the growth pattern, chemical content of the plants and the up or down regulation of genes (ARVE et al., 2011).

When the water availability is reduced, plants change the biochemistry to be able to retain as much water as possible and take up whatever water they can. During water stress plants produce and accumulate compatible solutes such as sugars, polyols and amino acid to lower the osmotic potential in the cells to facilitate water absorption and retention. Some of the compatible solutes also contribute to maintaining the conformation of macromolecules by preventing misfolding or denaturation (XIONG; ZHU, 2002). Plants also produce higher levels of the plant stress hormone ABA during water stress and this affects their growth pattern and stress tolerance (ARVE et al., 2011).

A group of proteins called late embryogenesis abundant like (LEA) proteins are also produced during water stress. These LEA-like proteins are highly hydrophilic, glycine-rich and highly soluble and have been found to be regulated by ABA (XIONG; ZHU, 2002). The LEA-like proteins are thought to act as chaperones, protecting enzymatic activities and preventing misfolding and denaturation of important proteins (XIONG; ZHU, 2002).

Some studies show that ABA can function as a signal to reduce leaf growth rate, both when ABA is applied exogenously or generated by water stress. By reducing the leaf expansion, the leaves become smaller and therefore transpire less. In some cases, water stress can even lead to leaf abscission. The reduction of cell volume also concentrates the solutes in the cells and compresses the plasma membranes causing them to increase in thickness (WILKINSON; DAVIES, 2010).

2.6.6.2 Short term response

When plants suddenly encounter drought it is important to respond as quickly as possible. A faster drought response means that less water is lost and the survival rate of the plants is increased. The most important quick response is stomatal closure (FAROOQ et al., 2009).

Development of stomata is often considered one of the most important developments in plant evolution. Much of the water loss occurs via stoma through perspiration, due to foliar exposure to sunlight, and consequent acquisition of sunlight and carbon dioxide (CO₂), which are fundamental to the photosynthetic process (BRODRIBB; McADAM, 2011).

Stomata are small pores present on the epidermis of green tissues that mediate exchanges between the plant and the atmosphere: CO₂ enters through stomatal pore as the carbon source for photosynthesis, while water vapor is released by transpiration. Stomata are surrounded by a pair of highly specialized cells, called guard cells, whose changes of turgor pressure control opening and closure of stomata (SIRICHANDRA et al., 2009). Interestingly, the guard cell transcriptome is particularly rich in TF-encoding genes (BATES et al., 2012).

During water stress the stomatal pore can be closed to reduce water loss. By closing the stomatal pore the water use efficiency is increased (FAROOQ et al., 2009), reducing the amount of water lost per CO₂ molecule assimilated. Several mechanisms work together to close the stomata, such as hydro passive closure and chemical signals from the plant stress hormone ABA. Increased levels of ABA also cause increased hydraulic conductivity in the roots and xylem,

enabling the plants to transport more water and thereby recover more rapidly after water stress (KUDOYAROVA et al., 2011).

2.7 Transcriptome

The transcriptome comprises the complete set of transcripts of a cell, as well as their amount, for a particular specific stage of the development or physiological condition of an organism. Their understanding is essential for the interpretation of the functional elements of the genome, for the discovery of the molecular components of cells and tissues, and for the understanding of stages of development and disease. The main objectives of transcriptomy are to catalog all species of transcripts (mRNAs, non-coding RNAs, small RNAs, etc.); to determine the transcriptional structure of the gene (its initiation sites; 5' and 3' extremities; splice patterns and other post-transcriptional modification) and quantifying changes in gene expression levels of each transcript during development of the organism and in other different conditions (WANG; GERSTEIN; SNYDER, 2009).

The investigation of plant molecular responses to multiple stresses has often focused on overlapping transcriptional patterns. To this effect, several studies have been carried out in which different groups of plants are exposed to either one stress or another in parallel, and their gene expression patterns compared. Overlapping sets of genes that are regulated by both stresses are then identified and proposed to represent a generalized stress response or points of cross-talk between signalling pathways (HUANG et al., 2008).

A complete genome sequence and high-density genetic map of *Coffea canephora* was published recently (DENOEUDE et al., 2014). This will offer opportunities for enhancing breeding progress to increase crop quality and yield, as well as to protect the coffee crop from major losses caused by diseases, insect pests and abiotic stresses related to climatic changes (GONGORA et al., 2015).

Further transcriptome studies in various species have identified genes, hormones, and processes important in controlling plant response to multiple abiotic or multiple biotic stresses and provided targets for the improvement of stress tolerance (WANG et al., 2010; GRIGOROVA et al., 2011).

Most coffee transcriptome sequencing data relies on two major cultivated species (*C. arabica* and *C. canephora*). Sanger EST sequencing projects were developed for *C. arabica*

(VIEIRA et al., 2006; VIDAL et al., 2010; MONDEGO et al., 2011) and *C. canephora* (LIN et al., 2005; PONCET et al., 2006). Transcriptome analysis using next-generation sequence—NGS has been performed in studies investigating biotic and abiotic interactions (FERNANDEZ et al., 2004; COMBES et al., 2013). The two subgenomes contained in the *C. arabica* allotetraploid genome (subgenome *C. canephora*—CaCc and subgenome *C. eugenioides*—CaCe) do not contribute equally to the transcriptome (VIDAL et al., 2010; COTTA et al., 2014).

In *Coffea* sp., EST resources have been developed for various species and tissues including roots, leaves, and fruits (LIN et al., 2005). However, no genomic resources are available for shoot apices, which are considered as key organs for plant development by integrating several signals, such as environmental stimuli as well as hormones (abscisic acid [ABA], auxins, cytokinins) and transcription (TRAAS; VERNOUX, 2002). On the other hand, next-generation sequencing (NGS) provides new opportunities to study transcriptomic responses and to combine high-throughput sequencing with the functional annotation capacity of generated ESTs (TORRES et al., 2008).

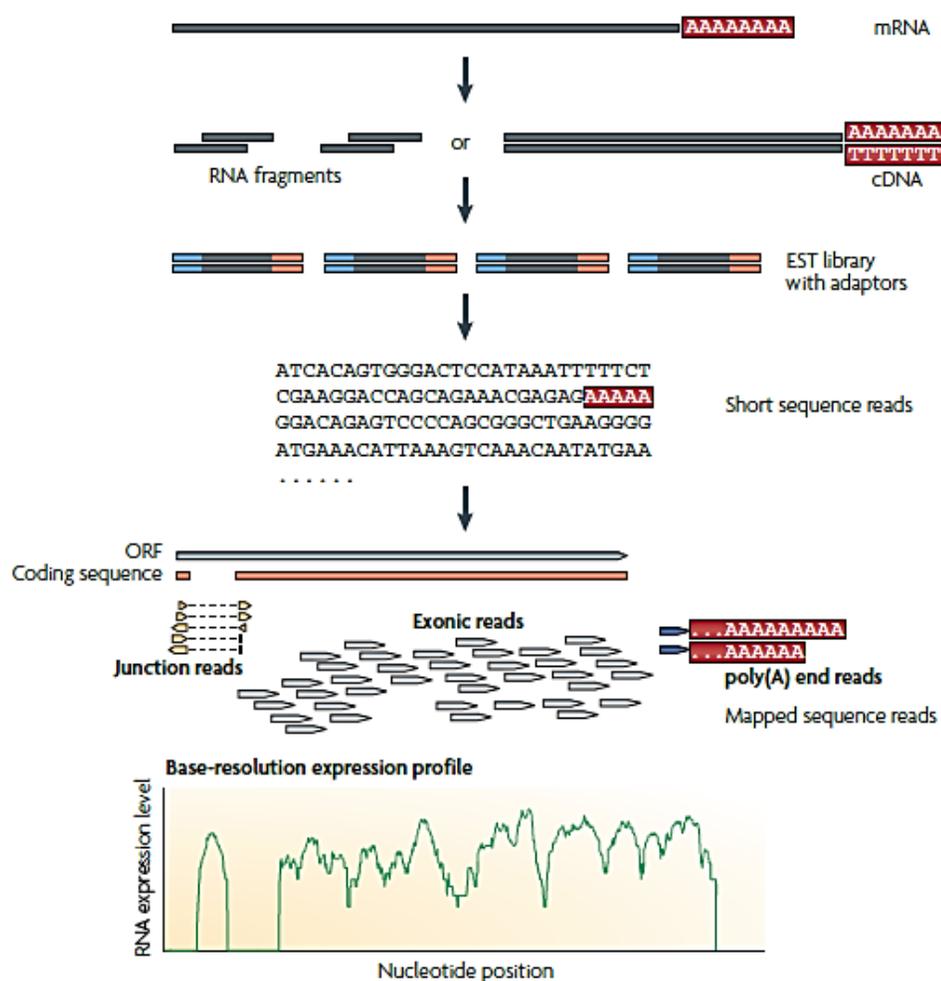
2.8 Resources for genome analysis using RNA-seq data

Contrary to the genome, the cell transcriptome is dynamic and specific for a given developmental stage and physiological condition. The understanding of the transcriptome is essential in the interpretation of the functional elements of the genome and in the development of cellular molecular constituents. The recent development of new high-throughput methods for DNA sequencing has led to the emergence of a new methodology that allows the sequencing of RNA molecules at unprecedented resolutions. This method is called RNA-Seq and has emerged as the preferred technology in the characterization and quantification of cellular transcripts (CRUZ, 2013).

RNA-Seq is the first method based on sequencing that allows a complete transcriptome is searched in large-scale and quantitatively, offering resolution up to a single base for annotation and gene expression levels "digital" in genomic scale, usually at a much lower cost when compared to high density microarray techniques or EST sequencing. It stands out as a valuable tool for understanding transcriptional dynamics, not only during the normal physiological changes associated with the development of the organism, but also in the comparison of normal and diseased tissues (WANG; GERSTEIN; SNYDER, 2009).

Over the years, a wide number of methodologies have been developed with the purpose of profile the transcriptome. One of these methods revolutionized expression profiling by enabling the measurement of thousands of genes simultaneously is the modern high-throughput sequencing-based RNA-Seq technology (Figure 8) (WANG; GERSTEIN; SNYDER, 2009).

Figure 8 - A typical RNA-seq experiment. Briefly, long RNAs are first converted into a library of cDNA fragments through either RNA fragmentation or DNA fragmentation.



Legend: Sequencing adaptors (blue) are subsequently added to each cDNA fragment and a short sequence is obtained from each cDNA using high-throughput sequencing technology. The resulting sequence reads are aligned with the reference genome or transcriptome, and classified as three types: exonic reads, junction reads and poly(A) end-reads. These three types are used to generate a base-resolution expression profile for each gene, as illustrated at the bottom; a yeast ORF with one intron is shown.

Source: Wang, Gerstein and Snyder, (2009).

A further advantage is that RNA-Seq technology can be used not only for gene expression profiling but also for detection of gene fusion events (MAHER et al., 2009), discovery of single nucleotide polymorphisms (LALONDE et al., 2011), investigation of post transcriptional RNA mutations (GARCION et al., 2004), study of alternative splicing events (PAN et al., 2008), discovery of novel transcripts (GUTTMAN et al., 2010) or investigation of allele specific expression (DEGNER et al., 2009).

There are several technologies available to perform high-throughput sequencing of DNA molecules. Currently, the ones that dominate the field are: 454 GS-FLX from Roche Applied Science, Genome Analyzer II from Illumina, Inc. and AB SOLiD from Applied Biosystems. Nevertheless, other technologies are being developed with potentially even higher quality throughputs (e.g. Pacific Biosciences, Helicos). The different technologies require distinct experimental protocols. Although, the essence of these systems is the same: to miniaturize individual sequencing reactions (BENTLEY et al., 2008).

2.9 Expression analysis of RNA - seq data using tools Bioinformatics and Statistics

In this context, bioinformatics and computational biology are interdisciplinary fields that combine computer technology, mathematics and molecular biology to answer fundamental questions in life sciences (HOGEWEG, 2011). Particularly, they present powerful tools that are suitable to analyse data generated from high-throughput sequencing platforms. Consequently, the success of next-generation sequencing (NGS) technologies is tightly related with the creation of efficient computational tools that are able to process the data. In fact, without the means provided by computational tools, the processing of NGS data would be almost impossible (POP; SALZBERG, 2008).

The RNA-seq platform addresses a multitude of applications, including relative expression analyses, alternative splicing, discovery of novel transcripts and isoforms, RNA editing, allele-specific expression and the exploration of non-model-organism transcriptomes (WANG; GERSTEIN; SNYDER, 2009).

One of the primary goals of RNA-sequencing analysis software is to reconstruct the full set of transcripts (isoforms) of genes that were present in the original cells. In addition to the transcript structures, experimenters need to estimate the expression levels for all transcripts. The first step in

the analysis process is to map the RNAseq reads against the reference genome, which provides the location from which the reads originated (MORTAZAVI et al., 2008).

In contrast to DNA-sequence alignment, RNA-seq mapping algorithms have two additional challenges. First, because genes in eukaryotic genomes contain introns, and because reads sequenced from mature mRNA transcripts do not include these introns, any RNA-seq alignment program must be able to handle gapped (or spliced) alignment with very large gaps. Second, the presence of processed pseudogenes, from which some or all introns have been removed, may cause many exon-spanning reads to map incorrectly (PEI et al., 2012).

In order to handle this problem, the software TopHat2 uses a two-step procedure. TopHat is a popular spliced aligner for RNA-seq experiments can align reads of various lengths produced by the latest sequencing technologies, while allowing for variable-length indels with respect to the reference genome (KIM et al., 2013).

Of particular interest is the discovery of differentially expressed genes across different conditions (e.g., tissues, perturbations) while optionally adjusting for other systematic factors that affect the data-collection process. There are a number of subtle yet crucial aspects of these analyses, such as read counting, appropriate treatment of biological variability, quality control checks and appropriate setup of statistical modeling. Several variations have been presented in the literature, and there is a need for guidance on current best practices (YU et al., 2013; CHU, 2014).

A crucial component of such an analysis is the statistical procedure used to call differentially expressed genes. There are two protocols widely used for this task: DESeq (ANDERS; HUBER, 2010) and edgeR (ROBINSON; McCARTHY; SMYTH, 2010), both of which are available as packages of the Bioconductor software development project. For RNA-seq data, the strategy taken is to count the number of reads that fall into annotated genes and to perform statistical analysis on the table of counts to discover quantitative changes in expression levels between experimental groups (ANDERS; HUBER, 2010).

OVERVIEW

One of the possible strategies to better prepare coffee producers to this future challenge is the development of new varieties that could cope with higher temperatures and water limitations, and remain highly productive contributing to the long-term sustainability of coffee cultivation in lands potentially affected by climate change.

In several plant species, the application of *DREB* genes in crop improvement has achieved promising results to desiccation tolerance engineering, however knowledge on natural diversity of these genes and its association to phenotypic variability in coffee is little known.

Unlike the genome, the cell transcriptome is dynamic and specific for a given cell developmental stage or physiological condition. Understanding the transcriptome is essential for interpreting the functional elements of the genome and revealing the molecular constituents of cells, Enabling the discovery and study of promising new genes that will participate in the improvement of many economically important plant species that are being affected by climate change.

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SECOND PART

ARTICLES

ARTICLE 1

Differential fine tune regulation of gene expression in coffee leaves by *CcDREB1D* promoter haplotypes under water deficit

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1 **Full title:** Differential fine tune regulation of gene expression in coffee leaves by *CcDREB1D*
 2 promoter haplotypes under water deficit

3
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32
 33 **Running title:** Fine tuning of coffee *DREB1D* expression under water deficit

34
 35 **Highlight:** Fine tuning of *DREB1D* expression in stomata guard cells under water deficit is
 36 mediated differentially by promoter haplotypes from sensitive and tolerant coffee genotypes.

37 **Abstract**

38 Despite the importance of the *DREB1D* gene (also known as *CBF4*) in plant responses to water
39 deficit and cold stress, studies analyzing its regulation by transgenic approaches are lacking. In the
40 current work, a functional study of three *CcDREB1D* promoter haplotypes (called HP15, HP16 and
41 HP17) isolated from drought-tolerant and drought-sensitive clones of *Coffea canephora* was
42 carried out on plants of *C. arabica* stably transformed by *Agrobacterium tumefaciens* by analyzing
43 their ability to regulate the expression of the *uidA* reporter gene in response to water deficit
44 mimicked by PEG (-2.0 MPa) and low relative humidity (RH) treatments. A deletion analysis of
45 their corresponding 5'-upstream regions revealed the increased specificity of GUS activities in the
46 PEG and low RH treatments, with high expression in leaf mesophyll and guard cells in full-length
47 constructions. RT-qPCR assays also revealed that the HP16 haplotype (specific to the clone tolerant
48 to water deficit) had stronger and earlier activity compared to the HP15 and HP17 haplotypes. As
49 most of the *cis*-regulatory elements involved in ABA-dependent and -independent networks, tissue-
50 specificity and light regulation, are common to these haplotypes, we propose that their
51 organization, as well as the nucleic polymorphisms present outside these boxes, may play a role in
52 modulating activities in guard cells.

53
54 **Key words:** Coffee, *DREB1D* gene, genetic transformation, promoter haplotypes, *uidA* reporter
55 gene, water deficit.

56
57 **Abbreviations:**
58 ABA: abscisic acid; ABRE: ABA-responsive element; CBF: cold-binding factor; CREs: *cis*-
59 regulatory elements; DOF: DNA-binding one zinc finger; DRE: dehydration-responsive element;
60 DREB: dehydration responsive element binding transcription factor; GUS: β -glucuronidase; ICE:
61 inducer of CBF expression; PEG: polyethylene glycol; RH: relative humidity; SNP: single-
62 nucleotide polymorphism; VPD: vapor pressure deficit.

63

64 **Introduction**

65 Climate change leads to increasingly extreme temperatures and drought periods which are major
66 abiotic factors affecting coffee production (DaMatta and Ramalho, 2006). Recent modelling
67 studies delivered warnings on the threat of the occurrence of severe droughts and high temperatures
68 on coffee production by increasing attacks by pest and pathogens (Avelino *et al.*, 2004; Jaramillo
69 *et al.*, 2011; Magrach and Ghazoul, 2015). These climatic events that already affect coffee
70 production are planned to modify areas suitable for future coffee cultivation crops (Davis *et al.*,
71 2012; Bunn *et al.*, 2015; Craparo *et al.*, 2015). Drought is a limiting factor that affects flowering
72 and yield of coffee (DaMatta and Ramalho, 2006), as well as bean development and biochemical
73 composition, hence the final quality of the beverage (Silva *et al.*, 2005; Vinecky *et al.*, 2016).
74 Increased [CO₂] in air is also a key factor for coffee plant acclimation to high temperature,
75 strengthening photosynthetic pathway, metabolism and antioxidant protection, modifying gene
76 transcription and mineral balance (Ramalho *et al.*, 2013; Martins *et al.*, 2014, 2016; Ghini *et al.*,
77 2015; Rodrigues *et al.*, 2016). In such a context, understanding the genetic determinism of coffee
78 adaptation to climate change became essential for creating new varieties (Cheserek and Gichimu,
79 2012; van der Vossen *et al.*, 2015).

80 Within the *Coffea* genus, substantial genetic variability exists in relation to drought tolerance,
81 particularly in the cultivated species *C. canephora* (Montagnon, 2000). This species, also
82 commercially known as 'Robusta', is diploid ($2n = 2x = 22$), autogamous and phylogenetically
83 separated into distinct groups according to their geographical origin in the inter-tropical region of
84 Africa (Cubry *et al.*, 2013). For instance, the Congolese group contains sub-group 1 (SG1),
85 originating from the coastal lowlands and relatively tolerant to water deficit, while plants belonging
86 to sub-group 2 (SG2) are more sensitive to water deficit. In Brazil, breeding programs implemented
87 in the last decade on *C. canephora* Conilon (related to SG1, Montagnon *et al.*, 2012) identified
88 several drought-tolerant (D^T) and drought-sensitive (D^S) clones that were intensively characterized
89 (Lima *et al.*, 2002; Pinheiro *et al.*, 2004; Praxedes *et al.* 2006). Among their anatomical and
90 physiological traits, better root development and greater water use efficiency were highlighted as
91 important factors of tolerance to water deficit in D^T clones (Pinheiro *et al.*, 2005).

92 In order to investigate the molecular determinism of tolerance to water deficit in coffee, several of
93 these *C. canephora* clones (such as D^T clone 14 and D^S clone 22) were used to identify candidate
94 genes whose expression was induced by drought stress (Marraccini *et al.*, 2011, 2012; Vieira *et al.*,

95 2013). Of those genes, *CcDREB1D* (encoding the Dehydration Responsive Element Binding
96 transcription factor 1D) was of outstanding interest since its expression was up-regulated under
97 conditions of water deficit in leaves of D^T clone 14 but not in those of D^S clone 22 (Marraccini *et*
98 *al.*, 2012; Vieira *et al.*, 2013).

99 The *DREB* gene family includes key transcription factors involved in responses to various abiotic
100 stresses, such as water deficit, cold or salt stress, regulating the expression of essential responsive
101 genes (Lata and Prasad, 2011; Sakuma *et al.*, 2012). DREB factors act downstream of ABA-
102 dependent and -independent signal transduction pathways in abiotic stress responses. Indeed, the
103 overexpression of *DREB* genes in several genetically engineered plants leads to up-regulation of
104 cold-regulated genes and osmotic-stress responsive genes, resulting in increased abiotic stress
105 tolerance (Agarwal *et al.*, 2006a). Several DREB transcription factors have also been functionally
106 characterized in model plants. In *Arabidopsis thaliana* and rice, for instance, overexpression of
107 *DREB1* and *DREB2* have shown promising results in inducing cold, salt and tolerance to water
108 deficit (Novillo *et al.*, 2004; Ito *et al.*, 2006; Lim *et al.*, 2007; Kim *et al.*, 2011). However, *DREB*
109 genes have been less extensively characterized in non-model (e.g. perennial) plants, particularly
110 due to laborious genetic transformation protocols often limiting plant regeneration.

111 Even though *DREB1/CBF* (cold-binding factor) gene expression is mainly induced by cold, it was
112 also reported to be involved in the control of plant development and response to other stress stimuli
113 (Lata and Prasad, 2011). The expression of *AtDREB1D* (also known as *AtCBF4*) is rapidly induced
114 by water deficit but not by cold in *A. thaliana* (Haake *et al.*, 2002). Expression of *CBF4* genes was
115 also reported to be up-regulated in response to low temperatures, water deficit, and salinity in
116 different *Vitis* species (Xiao *et al.*, 2008; Zandkarimi *et al.*, 2015) and in *Medicago truncatula* (Li
117 *et al.*, 2011). The fact that overexpression of the *DREB1D/CBF4* gene increased tolerance to water
118 deficit in transgenic plants of *Arabidopsis* (Haake *et al.*, 2002) and *Glycine max* (Guttikonda *et al.*,
119 2014), suggests that it plays an important role in plant responses to abiotic stress.

120 Despite the importance of *DREB* genes in plant response pathways to abiotic stress, limited number
121 of studies analyzed the regulation of their corresponding promoters. Promoter regions of
122 *AtDREB1C* (Zarka *et al.*, 2003), *OsDREB1B* (Gutha and Reddy, 2008), *GmDREB3* (Chen *et al.*,
123 2009) and *AtDREB2C* (Chen *et al.*, 2012) were shown to be sufficient for regulating the
124 transcription of *uidA* reporter gene by abiotic stresses in transgenic *A. thaliana*. More recently,
125 Fang *et al.* (2015) also demonstrated that a 1278-bp of the *FeDREB1* promoter from the common

126 buckwheat enhanced GUS activities by drought in leaves of transgenic tobacco. However,
127 functional characterization of *DREB1D* promoter has neither been reported. In an attempt to better
128 understand the tissular and environmental regulation of *CcDREB1D* promoter activity, as well as
129 the regulation of allele-specific expression depending on the haplotype sequence, our study set out
130 to (i) isolate and compare the promoter haplotypes of *CcDREB1D* genes from D^T clone 14 and D^S
131 clone 22 of *C. canephora*, (ii) evaluate their ability to regulate expression of the *uidA* reporter gene
132 by monitoring GUS histochemical activity in transgenic plants of *C. arabica* subjected to different
133 abiotic stresses, and (iii) analyze the expression of *uidA* and endogenous *CcDREB1D* genes in
134 leaves of these coffee plants.

135

136 **Materials and methods**

137

138 *Plant Material and Growing Conditions*

139 The D^T clone 14 and D^S clone 22 of *C. canephora* Conilon were previously described (Marraccini
140 *et al.*, 2011, 2012). For *Agrobacterium tumefaciens*-mediated transformation experiments, *C.*
141 *arabica* var. Caturra plants were grown in *in vitro* conditions with a 12 h photoperiod (20 $\mu\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$
142 light intensity) at 26°C and 80% RH.

143

144 *DNA extraction and isolation of CcDREB1D promoter haplotypes*

145 Leaves of D^T clone 14 and D^S clone 22 of *C. canephora* Conilon were used to extract genomic
146 DNA and isolate *CcDREB1D* promoters. Genomic DNA was extracted from 20 mg of ground
147 leaves as previously described by Cotta *et al.* (2014). For each coffee clone, *CcDREB1D* promoter
148 haplotypes were amplified independently by PCR with high fidelity *Taq* Platinum® DNA
149 polymerase according to the supplier's instructions (Invitrogen) (initial denaturation: 94°C-2 min
150 followed by 30 cycles: 94°C-30 s, 68°C-30 s, 72°C-3 min and a final extension step: 72°C-10 min)
151 using 100 ng of genomic DNA and 0.2 μM of the DREB-F1/DREB-R1 primers (Supplementary
152 Table 1). Amplified products were cloned into the pCR2.1-TOPO vector (Invitrogen) and
153 propagated in the *E. coli* DH5 α strain. As *C. canephora* is a diploid species, recombinant plasmids
154 were extracted from 12 independent *E. coli* colonies in order to identify the two haplotypes of

155 *CcDREB1D* promoter regions for each clone that were further double-strand sequenced using
156 M13F and M13R universal primers and internal DREB-F2 and DREB-R2 primers (Table 2).

157

158 *Bioinformatics analyses*

159 The sequence of the unique gene *Cc02_g03430* (*CcDREB1D*: GSCOCP00020227001) from *C.*
160 *canephora* (Denoëud *et al.*, 2014), available at Coffee Genome Hub (<http://coffee-genome.org/>),
161 was analyzed using the PlantPAN (<http://plantpan.mbc.nctu.edu.tw>, Chang *et al.*, 2008) and the
162 PlantProm DB (<http://www.softberry.com>, Shahmuradov *et al.*, 2005) web interfaces. The DREB-
163 F1/DREB-R1 primers (Supplementary Table 1) were designed to amplify the region (-1,308/+153
164 in Fig. 1) of *CcDREB1D* haplotypes from D^T clone 14 and D^S clone 22 that contained most of *cis*-
165 regulatory elements (CREs) supposed to be essential for their regulation by abiotic stress. The same
166 web interfaces were used to predict the presence of CREs in the HP15, HP16 and HP17 haplotypes
167 of the *CcDREB1D* promoters (Table 1). The significance of the putative CREs was evaluated
168 through a maximum threshold of core similarity (equal to 1.0) and matrix similarity of 0.75.

169

170 *Construction of recombinant vectors*

171 Binary vectors were generated by PCR using high fidelity *Taq* Platinum DNA polymerase
172 amplification and recombinant plasmids harboring the HP15, HP16, and HP17 haplotypes of
173 *CcDREB1D* promoters, as templates. The PCR reactions used the forward primers DREB-L,
174 DREB-M and DREB-S carrying the *HindIII* restriction site and the reverse primer DREB-R
175 carrying the *BglIII* restriction site (Table 2). The position of the DREB-R primer made it possible
176 to include the entire 5'-UTR region of the *CcDREB1D* gene in the final constructions. PCR
177 reactions were carried out using 10 ng of plasmids, *Taq* Platinum DNA polymerase and primers as
178 previously described, except that the first 10 amplification cycles were carried out at an annealing
179 temperature of 60°C to ensure primer mismatch (calculated with the PrimerQuestSM® software,
180 Integrated DNA Technologies - IDT). The resulting PCR products were sub-cloned into the pGEM-
181 T Easy vector (Promega, Madison, WI, USA), digested with *HindIII* and *BglIII* and cloned in the
182 pBI121 vector (Clontech, Palo Alto, CA, USA) double-digested by the same enzymes. Following
183 ligation and transformation in the *E. coli*, the recombinant constructions were named according to
184 their haplotype (HP) of the *CcDREB1D* promoter and length (S: short, M: medium and L: long),
185 namely as pHP16S and pHP17S (+182/-608, relative to ATG of the *uidA* reporter gene), pHP16M

186 (+182/-966) and pHP15L, pHP16L and pHP17L (+182/-1,308) (Fig. 2B). Each recombinant vector
187 was then transferred independently by electroporation into competent cells of the disarmed *A.*
188 *tumefaciens* strain LBA1119. After each cloning step, recombinant vectors were systematically
189 extracted with the Wizard® Plus SV Minipreps DNA Purification System and *CcDREB1D*
190 promoter fragments were verified by double-strand sequencing (Genome Express, France).

191
192 *Agrobacterium tumefaciens-mediated transformation and regeneration of C.*
193 *arabica*

194 *A. tumefaciens* strain LBA1119 harboring the recombinant vectors was grown at 28°C for 24 h in
195 YMB (Yeast Extract Mannitol Agar) selective medium with rifampicin (25 mg.l⁻¹) and kanamycin
196 (50 mg.l⁻¹). Transgenic lines of *C. arabica* were generated by *A. tumefaciens*-mediated
197 transformation using twelve-month-old embryogenic calli competent for transformation as
198 previously described (Etienne, 2005; Ribas *et al.*, 2011). At least 8 independent putatively
199 transformed cell lines were obtained for the six pHP constructions, as well as for coffee events
200 transformed by the pBI121 (*CaMV35S:uidA*) and pBI101 (*uidA*-promoterless) vectors used as
201 positive and negative controls, respectively. Each kanamycin resistant callus regenerated
202 cotyledonary embryos giving plantlets after 4-5 months which were cultivated on MS medium with
203 active charcoal (1 g.l⁻¹) under sterile conditions prior to testing for fast dehydration experiments.
204 Once regenerated, DNA was extracted from leaf explants and successively tested by i) PCR using
205 the *nptII*- (kanamycin) and *uidA*-specific primers to confirm T-DNA integration in genomic DNA
206 of *C. arabica*-transformed plantlets, and ii) RT-QPCR to select transgenic lines harboring a single
207 insertion of T-DNA (data not shown) representing around 50 % of T1 transgenic coffee lines (Ribas
208 *et al.* 2011).

209
210 *Abiotic assays used to study transformed plants of C. arabica*

211 Regulation of the *CcDREB1D* promoter haplotypes was studied in primary transformants (T1) of
212 *C. arabica* grown *in vitro* and subjected to the abiotic treatments described below. For each
213 construction, 64 plantlets from 8 independent transformation events were arranged in eight batches
214 of 8 plants. In each assay, each plant batch was subjected independently to water deficit for 0, 3, 6,
215 12 or 24 h, with 0 h (10 am, i.e. after 2h of light exposure) corresponding to ‘no stress conditions’.

- 216 • Fast dehydration

217 Genetically transformed *in vitro* plantlets with two to four pairs of leaves were placed in a laminar
218 flow cabinet under a $0.49 \text{ m}\cdot\text{s}^{-1}$ air flow for 1 h until reaching a visible dehydrated state. Each pHP
219 construction was composed of five plantlets corresponding to independent transformation events.

220
221 • Low RH assay (vapor pressure deficit)
222 A vapor pressure deficit (D) was induced by 9% RH at 27°C under controlled conditions. A
223 theoretical D was calculated applying the formula $D = \left(1 - \left(\frac{RH}{100}\right)\right) * P_{WS}$ (kPa), where P_{WS}
224 (saturated vapor pressure) = 3779 Pa at 28°C . To create a 9% RH, 400 mL of KOH supersaturate
225 solution was poured into the lower compartment of a 5L- bioreactor (Matis®, CID Plastiques,
226 France) which remained sealed throughout the experiment. Plantlets were placed in the upper
227 compartment of the bioreactor in 55 mm Petri dishes having their upper part exposed to the outside
228 environment and radicles immersed in MS (Murashige and Skoog, 1962) medium through a small
229 hole in the Petri dish cover. Seven plants were placed inside the bioreactor in the resulting 9% RH.

230
231 • PEG assay
232 Osmotic potential was fixed at -2.0 MPa by adding polyethylene glycol (PEG) (molecular weight
233 6000; Sigma) to the MS medium, at 25°C , using the empirical equations defined by Michel and
234 Kaufmann (1973). Batches of 7 plantlets were placed over 60 mg of vermiculite fully imbibed with
235 40 mL of PEG diluted in MS solution in sterile plastic Magenta® boxes.

236
237 *GUS staining*
238 Histochemical β -glucuronidase (GUS) assays were performed with different tissues (root, stem,
239 apical meristem and leaf) derived from the same plant as those immersed in GUS staining solution
240 (100 mM sodium phosphate buffer, pH 7.2, 10 mM sodium EDTA, 0.1% Triton X-100, and 1
241 $\text{mg}\cdot\text{ml}^{-1}$ 5-bromo-4-chloro-3-indolyl-D-glucuronic acid (Sigma) and 2.5 mM potassium
242 ferrocyanidine). After infiltrating (vacuum for $2 \times 10 \text{ min}$) the staining solution in plant tissues, the
243 samples were incubated at 37°C for 24 h, and then rinsed with water before image acquisition taken
244 with a Retiga 2000R camera (G-Imaging Co., Wetzlar, Germany).

245
246 .

247 *Histology to reveal β -glucuronidase in organs of transgenic coffee plants*

248 Prior to observation, the GUS-stained samples were fixed in fixative (50% methanol and 10%
249 acetic acid) at 4°C for 24 h, rinsed with water and then dehydrated (10 min in 50% ethanol, 10 min
250 in 70% ethanol and 10 min in 90% ethanol). After observation with a Nikon binocular SMZ 1500
251 loupe, samples were embedded in 6% agarose for subsequent sections in a Microm HM650V
252 vibratome. For bright field microscopy observation, 50 μ m-thick leaf sections were examined using
253 a DM600 Leica microscope (Leica Microsystems GmbH, Wetzlar, Germany) and photographed.
254 GUS expression patterns appeared highly conserved for the 8 lines transformed with the same
255 promoter construction.

256

257 *Proportion of GUS-stained guard cells in PEG and low RH assays*

258 For bright field microscopy observation, GUS-stained leaves were fixed (50% methanol and 10%
259 acetic acid) at 4°C for 24h, rinsed with water and incubated for at least 3 days in clearing solution
260 (chloral hydrate: glycerol: water solution (4:1:2, v/v/v) to remove all leaf pigments. Prior to
261 observation, tissues were rinsed with 70% ethanol and assembled in microscope slides for
262 photography as described before. The proportion of GUS-stained guard cells on the abaxial
263 epidermis of coffee leaves was calculated to estimate *CcDREB1D* promoter activity. For each pHP-
264 construction, the same three independent transgenic lines were studied along the entire kinetics.
265 The proportion of GUS-stained guard cells (p) was obtained by $p = x/n$, where x is the number
266 of stained guard cells and n the total number of guard cells (= 150) per leaf. These values were
267 assessed in 24 x 36 mm areas distributed in six pre-delimited leaf zones. For each pHP construction,
268 three leaves from plants of 3 independent transformation events were sampled for each time (0, 3,
269 6, 12 and 24 h) in the PEG and low RH assays, and the respective proportion means (from 450
270 guard cells) and standard deviations for each time point were calculated.

271

272 *RNA isolation*

273 Total RNA was extracted from leaves frozen in liquid nitrogen which were further ground and
274 treated as described previously (Breitler *et al.*, 2016). RNA quantification was performed using a
275 NanoDrop™ 1000 Spectrophotometer (Waltham, MA, USA).

276

277

278 *Real-time RT-PCR assays*

279 PCR experiments were performed as previously described by Marraccini *et al.* (2012). Primers
 280 (Supplementary Table S1) were designed using Primer Express software (Applied Biosystems).
 281 Data were analyzed using SDS 2.1 software (Applied Biosystems) to determine cycle threshold
 282 (Ct) values. The specificity of the PCR products generated for each set of primers was verified by
 283 analyzing the T_m (dissociation) of amplified products. PCR efficiency (E) was estimated using
 284 absolute fluorescence data captured during the exponential phase of amplification of each reaction
 285 with the equation $(1+E) = 10(-1/\text{slope})$ (Ramakers *et al.*, 2003). Expression levels were calculated
 286 by applying the formula $(1+E)^{-\Delta\Delta\text{Ct}}$ where $\Delta\text{Ct}_{\text{target}} = \text{Ct}_{\text{target gene}} - \text{Ct}_{\text{CaGAPDH}}$ and $\Delta\Delta\text{Ct} = \Delta\text{Ct}_{\text{target}}$
 287 $- \Delta\text{Ct}_{\text{reference sample}}$, with the T0 samples being used as references for each construction. Gene
 288 expression levels were normalized with the expression *CaGAPDH* (GB accession number
 289 GW445811) gene as the endogenous control (Cruz *et al.*, 2009).

290

291 *Accession Numbers*

292 Sequence data for the HP15, HP16, and HP17 haplotypes of *CcDREB1D* promoters can be found
 293 in the GenBank database under accession numbers KM281308, KM281309 and KM281311,
 294 respectively.

295

296 **Results**

297

298 *Comparison of DNA motifs and CREs between the different CcDREB1D promoter* 299 *haplotypes isolated from drought-tolerant and drought-sensitive clones*

300 The comparison of *CcDREB1D* promoter regions of *C. canephora* drought-tolerant (D^T) clone 14
 301 and drought-sensitive (D^S) clone 22 revealed the existence of three haplotypes called HP15, HP16
 302 and HP17, diverging from each other by several SNPs and INDELs (Fig. 1, Supplementary Table
 303 S1 and Fig. S1). The HP15 haplotype was common (without any SNPs) to both clones, while HP16
 304 was specific to D^T clone 14 and HP17 specific to D^S clone 22 (Fig. 2A). Upstream of the first
 305 nucleotide (+1) of the *CcDREB1D* mRNA, a sliding window comparison of the haplotype
 306 sequences revealed two highly polymorphic domains (between base pairs -627/-806 and -932/
 307 1,121) concentrating most of the nucleotide variability (Fig. 2B), while the -115/-188 region was

308 highly conserved between the three haplotypes, and the -188/-520 region was conserved between
309 HP15 and HP16. Several CREs were also observed (Table 1) such as ABA-responsive (ABRE),
310 dehydration-responsive (DRE), inducer of CBF expression region 2 (ICEr2), DOF (DNA-binding
311 one zinc finger) binding sites (required for gene-specific expression in guard-cells), GT-1 binding
312 sites (essential for light-inducible expression), the CG-1 element (also known as CAMTA:
313 calmodulin binding transcription activator) and the conserved motif 6 (CM6), considered as a
314 repressor of DREB1/CBF activation.

315 The comparison of *CcDREB1D* haplotypes revealed that the ICer2 (+115/+120) and DOF (-1,017/-
316 1,013) DNA boxes present in HP15 and HP16 were missing in HP17 (Table 1). However, HP17
317 displayed some elements, such as one MYBCORE (+9/+14) and one MYC (-340/-335) element,
318 as well as two GT-1 binding sites (-489/-484 and -1,179/-1,174) not present in the HP15 and HP16
319 haplotypes (Table 1). Except for these differences, the HP15, HP16 and HP17 haplotypes had all
320 CREs in common.

321 322 *Tissular activities of dehydration-induced CcDREB1D promoters in C. arabica* 323 *transgenic plants*

324 The activities of *CcDREB1D* promoter haplotypes were observed by comparing the GUS staining
325 detected in *C. arabica* plants transformed by the short (pHP16S and pHP17S, Fig. 3A, 3B), the
326 medium (pHP16M, Fig. 3C) and the full-length (pHP15L, pHP16L and pHP17L, Figs. 3D to 3F)
327 constructions and subjected to fast dehydration.

328 No staining was observed in dehydrated wild type plants and pBI101-transformed plants, whereas
329 strong staining was seen in dehydrated plants transformed by pBI121 (Supplementary Fig. S2). In
330 dehydrated pHP16S-transformed coffee plants, intense GUS staining was detected in root vascular
331 tissues, while weak staining was observed in stem vascular tissues (Figs. 3B1, 3B2). In meristems,
332 longitudinal-sections revealed weak GUS activity in leaf primordia and auxiliary buds, while
333 intense staining was observed in apical meristems (Fig. 3B3). Strong GUS activities were also
334 detected in leaf epidermis, as well as in spongy and palisade parenchyma (Fig. 3B4). GUS activities
335 in pHP17S-transformed plants were similar (at spatial, tissue and cell-specific levels) to those
336 observed in pHP16S-transformed plants (Fig. 3A2, 3A4), except in roots where no staining was
337 seen (Fig. 3A1).

338 For the pHP16L, pHP15L and pHP17L constructions, no GUS staining was observed in roots,
339 stems, and meristems (Figs. 3D1 to D3, 3E1 to E3, 3F1 to F3, respectively). Microscopic analyses
340 of leaf transverse sections revealed GUS staining in palisade and spongy parenchyma of pHP15L-
341 transformed plants (Fig. 3E4), and in several guard cells and stomata of pHP16L-transformed
342 plants (Fig. 3D4).

343 The effects of promoter length were observed by comparing GUS activities in plants transformed
344 by the pHP16S, pHP16M and pHP16L constructions (Figs. 3B, 3C, 3D, respectively). For example,
345 GUS activities were detected in leaves in pHP16M plants, particularly in the epidermis, in spongy
346 and palisade parenchyma, and in the guard cells (Fig. 3C4) whereas non-tissue specificity of
347 staining was observed with pHP16S-transformed plants (Fig. 3B4). The greatest tissue-specificity
348 of GUS staining was shown for the plants transformed with the pHP16L construction, with
349 histochemical staining observed in guard cells only (Fig. 3D4). The comparison of pHP17S- and
350 pHP17L-transformed plants showed drastic reduction of GUS staining with the longer promoter
351 (Figs. 3A, 3F, respectively).

352
353 *CcDREB1D* promoters target *uidA* gene expression in guard cells of *C. arabica*
354 *under water deficit*

355 In order to fine-tune the GUS staining results previously observed during the fast-drying assay,
356 transformed coffee plants were subjected to controlled water deficit mimicked by low RH (9%)
357 and further analyzed to investigate GUS coloration in abaxial leaf surfaces. As controls, no staining
358 was detected in plants transformed with the pBI101 vector (Fig. 4A) whereas strong staining was
359 detected in guard and epidermis cells of leaves from pBI121- transformed plants (Fig. 4B). Under
360 unstressed conditions, both epidermal cells and stomata were completely unstained in plants
361 transformed by pHP17S, pHP17L, pHP16S and pHP16L (Figs. 4C, E, G and I). After 24 hours of
362 reduced RH, GUS activities were clearly detected in guard cells of the same transgenic plants (Figs.
363 4D, F, H and J). GUS staining also appeared to be more intense in the colored stomata of pHP16L-
364 transformed plants (Fig. 4J) than in those of pHP16S-transformed plants (Fig. 4H). For the three
365 constructions, our results also clearly showed that in the same abaxial leaf area, stomata with
366 stained guard cells coexisted with completely unstained stomata under a low RH, as observed for
367 the HP16 haplotype (Figs. 4G, 4I). Longitudinal and cross-sections of the pHP16L constructions
368 were also made to immunolocalize GUS proteins at cellular level by using anti- β -glucuronidase

369 polyclonal antibody. This clearly detected GUS protein in guard cells with fluorescence signals
370 that were highly concentrated in their thickened inner wall (Suppl. Fig. S3A). Fluorescence signals
371 were not detected in all guard cells, but were observed in subsidiary cells, in spongy and palisade
372 parenchyma cells, and in epidermis cells (Suppl. Fig. S3B).

373
374 *The activity of the HP16L CcDREB1D promoter was up-regulated by the low RH*
375 *and PEG assays in leaves of transgenic C. arabica*

376 In order to see whether the nucleic polymorphisms existing in the three longest haplotypes (HP15L,
377 HP16L and HP17L) of the *CcDREB1D* promoter influenced the regulation of these sequences, the
378 activity of these promoters was evaluated by calculating the proportion of GUS-stained guard cells
379 on leaf abaxial regions in a time-course response to water deficit assays simulated by PEG
380 (equivalent to -2.0 MPa) and to low RH assays (Fig. 5). For the pHP16L- and pHP17L-transformed
381 plants, the proportion of GUS-stained guard cells increased in the first hours of PEG treatment,
382 reaching 20% and 10% maximum on average after 12 h and 6 h, respectively (Fig. 5). However,
383 the proportion of GUS-stained guard cells remained relatively low and stable during the PEG assay
384 for pHP15L-transformed plants. For the low RH assay, around 20% of guard cells were GUS-
385 stained after 24 h of treatment in pHP16L-transformed plants. This percentage was reached after 6
386 h in pHP15L-transformed plants and gradually decreased over the time course to reach 5% after 24
387 h of stress. In pHP17L-transformed plants, the proportion of GUS-stained guard cells increased
388 slowly to reach a mean of 10% after 12 h of low RH or after 5 h of PEG treatment and decreased
389 thereafter.

390
391 *uidA and CcDREB1D gene expression*

392 The monitoring of the of the *uidA* gene expression in leaves of coffee plants transformed by the
393 pHP15L, pHP16L and pHP17L and subjected to PEG treatment showed low variability for each
394 construction at each time of the water deficit bioassay. Similar expression profiles were obtained
395 for all the constructions, showing a gradual increase in *uidA* gene expression with PEG treatment,
396 which reached maximum expression after 6 h (pHP16L and pHP17L) or after 12 h (pHP15L) and
397 declined thereafter (Fig. 6A). The main differences observed between the constructions concerned
398 relative expression values in the first hours of stress (3 h and 6 h), with *uidA* gene expression being
399 higher in pHP16L-transformed plants than in pHP15L- and pHP17L-transformed plants. As

400 controls, expression of the *CaDREB1D* endogenous gene increased with PEG treatment, reaching
401 a peak at 6 h and then decreased gradually afterwards (Fig. 6B).

402

403 **Discussion**

404 In our study, we investigated the responses of different haplotypes of the *CcDREB1D* (CBF4)
405 promoters of *C. canephora* to PEG and low RH treatments by analyzing their ability to regulate
406 the expression of the *uidA* reporter gene in stably transformed *C. arabica* plants.

407

408 *Multiple CREs are involved in the regulation of CcDREB1D promoter haplotypes*

409 The results presented here indicate that the HP15, HP16 and HP17 haplotypes of *CcDREB1D*
410 promoters harbored several abiotic-stress responsive CREs in common, including those involved
411 in tissue-specific and light regulation. They also contained ABRE and DRE elements, thereby
412 suggesting possible regulation of these promoters by both ABA-dependent and -independent
413 networks (Haake *et al.*, 2002; Yamaguchi-Shinozaki and Shinozaki, 2005). Interestingly, the co-
414 occurrence in parallel strands and opposite direction of ABRE) and DRE elements within 100 bp,
415 identified herein for all haplotypes, is the most active structural organization observed for these
416 CREs in drought-responsive genes (Mishra *et al.*, 2014). If we consider that DRE elements share a
417 common DNA motif with LTRE (low-temperature responsive element), a functional implication
418 of this gene could be expected in coffee plant responses to cold stress, as observed for its
419 homologous genes in several plants (Zhang *et al.*, 2004; Ito *et al.*, 2006; Mao and Chen, 2012).
420 This is also reinforced by the fact that the three *CcDREB1D* haplotypes reported here also contain
421 other CREs such as one ICER2 box (except in the HP17 haplotype) and eight MYC-related binding
422 sites (CANNTG), which are both motifs known to play a key role in the regulation of DREB1/CBF
423 genes by cold-stress. Regarding MYC-related DNA motifs, two of them contained the preferable
424 target sequence CAAATG (-842/-837 for all HPs and -340/-335 only for HP17) of the positive
425 regulator ICE1 (inducer of CBF expression 1).

426 The three *CcDREB1D* haplotypes also contained several MYB-binding sites that can act as either
427 an enhancer or repressor of promoter activity. One of them (MYB1AT: AAACCA) is a putative
428 DNA binding site of the MYB15 trans-acting factor known to regulate negatively the expression
429 of DREB1D/CBF genes (Agarwal *et al.*, 2006b). The remaining MYB boxes are potential positive
430 regulators of DREB1/CBF expression, especially MYB2AT (YAACTG) binding sites required for

431 activation of salt- and dehydration-responsive genes (Urao *et al.*, 1993; Abe *et al.*, 2003). *In silico*
432 analyses of the *CcDREB1D* haplotypes also revealed the occurrence of several DOF-binding sites,
433 already reported as key DNA motifs mediating guard cell-specific gene expression, and of several
434 GT-1 binding sites essential for light-inducible expression, mainly located in the last 500 bp region
435 (-921/-1,183) of the *CcDREB1D* promoters (Plesch *et al.*, 2001; Galbiati *et al.*, 2008; Yang *et al.*,
436 2008; Gardner *et al.*, 2009; Cominelli *et al.*, 2011).

437
438 *Modular organization of DOF and light-induced DNA elements may account for*
439 *preferential expression of CcDREB1D promoter haplotypes in guard cells*

440 Previous studies showed that *OsDREB1B*, *AtDREB1C*, *AtDREB2C* and *FeDREB1* promoters
441 contained all CREs necessary for enhancing gene expression by several abiotic within the proximal
442 regions (up to 1 kb) of these promoters (Zarka *et al.*, 2003; Gutha and Reddy, 2008; Chen *et al.*,
443 2009; Chen *et al.*, 2012; Fang *et al.*, 2015). The results of GUS activities presented here in
444 transgenic coffee plants transformed by the short (S: +182/-608), medium (M: +182/-966) or full-
445 length (L: +182/-1,308) sequences of *CcDREB1D* haplotypes, clearly revealed the importance of
446 the CREs located in the -608/-1,308 region in regulating the expression of the *uidA* reporter gene
447 in a tissue-specific manner. Indeed, while ubiquitous and strong GUS activities were observed in
448 different tissues of coffee plants transformed by the shortest constructions (pHP16S and pHP17S),
449 fine-tuned regulation of the *uidA* reporter gene was observed in coffee plants transformed by the
450 full-length constructions (pHP15L, pHP16L and pHP17L), with GUS activities restricted to leaf
451 mesophyll and guard cells under stressed conditions. In that case, the degree of *CcDREB1D*
452 haplotype activity in guard cells also appeared to increase with the number of DOF-binding sites,
453 from the short (6 sites) to the full-length (11/12 sites) promoter regions. One possible explanation
454 could be an increase in the numbers of regulatory sites recognized by trans-acting factors known
455 in the longest constructions of *CcDREB1D* haplotypes. For example, of the five MYB DNA boxes
456 found within the -608/-1308 region, three MYBCORE and one MYB2AT are positive regulators
457 and one (MYB1AT) is a putative binding site of MYB15 trans-acting factor known to repress
458 *DREB1/CBF* genes (Agarwal *et al.*, 2006b) but also to regulate guard cell stomatal closure under
459 drought conditions (Ding *et al.*, 2009). The presence of such DNA boxes could explain the low
460 GUS activities observed in coffee plants transformed with the longest constructions (pHP15L,
461 pHP16L and pHP17L) compared to those observed in plants transformed with the shortest

462 constructions (pHP16S and pHP17S). The increase in leaf-specific GUS activities observed from
463 the short to the medium and full-length constructions, also tallied with the fact that cluster
464 arrangements of DOF-binding sites are essential in determining guard cell-specific gene expression
465 (Galbiati *et al.*, 2008; Yang *et al.*, 2008). However, this does not preclude the participation of other
466 CREs functioning conjointly with DOF-binding sites to fine-tune the transcriptional regulation of
467 gene expression in guard cells, as previously proposed in grape *VvMYB60*, potato *KST1* and cotton
468 *GbSLSP* promoters, for example (Plesch *et al.*, 2001; Gardner *et al.*, 2009; Cominelli *et al.*, 2011;
469 Galbiati *et al.*, 2011; Han *et al.*, 2013). Of the CREs that could play such roles, it is worth noting
470 the presence of several GT-1 DNA binding sites in the *CcDREB1D* promoter haplotypes, with three
471 of them (one in the medium (-608/-966) and two in the distal (-966/-1,308) regions of the
472 *CcDREB1D* promoters) closely located to DOF-binding elements. Therefore, GT-1 DNA binding
473 sites could participate together with DOF elements in forming such clusters necessary for targeting
474 guard cell-specific gene expression.

475
476 *The CcDREB1D promoter haplotypes respond differentially to RH and PEG abiotic*
477 *stress*

478 Few studies already reported the existence of functional variation between haplotypes (or alleles)
479 of plant promoters in regulating gene expression differentially (de Meaux *et al.*, 2005; Takeshima
480 *et al.*, 2016). The results presented here regarding the proportion of GUS-stained guard cells
481 measured in transgenic coffee lines subjected to low water potential (PEG treatment) clearly
482 showed greater activity for the HP16 and HP17 full-length haplotypes compared to very low
483 activity for the HP15L haplotype. However, the activity of all the full-length *CcDREB1D*
484 haplotypes was up-regulated under low air relative humidity (RH 9%). In that case, a slight increase
485 in GUS-stained guard cells was observed in pHP15L- and pHP17L-transformed plants, while this
486 proportion increased slightly but regularly in pHP16L-transformed plants in the RH treatment. The
487 different time courses of GUS-stained guard cells observed between the HP15, HP16 and HP17
488 haplotypes during the PEG and RH treatments clearly revealed differences in the fine-tuning of the
489 regulation of these *CcDREB1D* promoters, which were undoubtedly related to the nucleic
490 polymorphism identified between these sequences directly affecting CREs and/or altering their
491 cluster organization (see discussion below). Our results also indicated that the PEG and RH
492 treatments affected the time-course activity of the HP16 and HP17 haplotypes of *CcDREB1D*

493 promoters differently. For example, GUS-stained guard cells were detected earlier in pHP16L- and
494 pHP17L-transformed plants in response to the PEG treatment compared to the RH treatment. PEG
495 treatment is known to mediate low Ψ_w by reducing the osmotic potential of the nutrient solution,
496 thereby mimicking low soil water potential which leads to a water deficit in the whole plant (Blum,
497 2014). Following the perception of water deficit by roots (major ABA producing organs), ABA
498 would rapidly amplify the drought signal and activate the *CcDREB1D* promoter in guard cells,
499 probably through the involvement of ABRE regulatory elements. The fact that exogenous ABA
500 up-regulated the expression of the *uidA* and *CaDREB1D* gene mainly in stomata guard cells of
501 pHP16L-transformed coffee plants but also of genes from the supports the idea that this
502 phytohormone plays a key role in coffee responses to water deficit (Torres *et al.*, 2016). While
503 ABA induces early responses of *CcDREB1D* promoters by root-sensing water deficit, an inverse
504 drought stimulus (where water stress is “sensed” by aerial parts rather than roots) is expected to
505 delay the response of the *CcDREB1D* promoter to water deficit. To simulate such conditions, our
506 transgenic coffee plants were subjected to a water deficit of -2.0 MPa mimicked by the low RH
507 treatment, which is known to increase the leaf-to-air water vapor pressure deficit (VPD), to
508 generate evaporative demand and, consequently, to elevate the transpiration rate (Farooq *et al.*,
509 2009). The increase over time in GUS-stained guard cells in pHP16L- and pHP17L-transformed
510 plants with the low RH (high VPD) treatment tends to support the existence of such a mechanism.
511 However, while the frequency of GUS activity in guard cells increased gradually with a low RH in
512 pHP16L-transformed plants, it increased in the first 12 h only and then decreased slowly in
513 pHP17L-transformed plants, thereby demonstrating that these haplotypes differed in activity and/or
514 regulation.

515
516 *The nucleic polymorphisms existing in CcDREB1D haplotypes are probably*
517 *involved in modulating promoter activities*

518 The comparison of HP15, HP16 and HP17 haplotype sequences revealed that (1) they had most of
519 the essential CREs in common, and that (2) HP15 and HP16 were more closely related than HP17
520 regarding INDEL-type polymorphisms. By comparing CREs between HP16 and HP15, very few
521 differences were observed regarding the boxes known to recognize key transcription factors
522 involved in abiotic stress. The fact that these haplotypes of *CcDREB1D* promoters are regulated in
523 a different manner in controlling the expression of the *uidA* reporter gene suggests that the

524 nucleotide polymorphisms observed outside of the CREs might be responsible for these
525 differences, as could be the case for the 2 bp insertion (AA: -1,033/-1,032) in HP16, with this
526 INDEL being localized close to GT1 (-1,037/-1,027) and DOF (-1,017/-1,013) DNA binding sites.
527 Several other polymorphisms, localized close to essential CREs, were also identified mainly in the
528 polymorphic domains -627/-806 and -932/-1,121. Within the -627/-806 region, the presence of (1)
529 MYB- and MYC-like regulatory elements, respectively known as activator and repressors of
530 transcription by drought, and (2) a tandem (x3) repeat of the GAAWTT unidentified motif (-722/-
531 739) only present in the HP16 haplotype is worth noting. Compared to the HP16 sequence, a G to
532 A transition (in position -1,013) in the HP17 haplotype, leading to the loss of one DOF domain, is
533 also worth noting. Since DOF transcription factors are involved in the regulation of various plant
534 processes (Le Hir and Bellini, 2013), such as stomata functioning, for example (Gardner *et al.*,
535 2009; Negi *et al.*, 2013), this might explain the differences in activity observed between these two
536 haplotypes under PEG and low RH assays.

537
538 *Drought stress up-regulates the expression of CcDREB1D haplotypes in transgenic*
539 *plants of C. arabica*

540 The increase in GUS activities observed in the water deficit mimicking treatments in leaves of
541 transgenic coffee plants transformed by the pHP15L, pHP16L and pHP17L constructions was
542 confirmed by monitoring expression of the *uidA* reporter gene. For all the haplotypes, *uidA*-specific
543 transcripts were accumulated after 3 h to 12 h of PEG treatment (maximum after 6-12h) and
544 declined thereafter. Although similar in terms of profiles, differences in promoter strength were
545 found, with the HP16L promoter being stronger and occurring sooner (significant expression after
546 3h) than the other two haplotypes. It is worth noting that the accumulation of *uidA* transcripts
547 followed quite well the expression profile of the endogenous *CaDREB1D* gene which was also up-
548 regulated in the PEG treatment. In that case, the quantity of *CaDREB1D* transcripts detected after
549 24 h of treatment was very weak and did not differ from that before water deficit treatment.
550 *CaDREB1D* transcripts were almost barely detectable after 6h. Our results strongly suggest that
551 the transient expression of the *CaDREB1D* gene under water deficit directly reflected the transitory
552 activity of *DREB1D* promoters.

553 Even though the expression of *DREB1/CBF* genes has been commonly reported to be mainly
554 induced by cold, our results clearly indicated that the expression of the *DREB1D* gene of *C. arabica*

555 was rapidly induced by water deficit mimicking treatments and that the up-regulated expression of
556 this gene was controlled at transcriptional level. These results also showed that the different
557 promoter haplotypes of the *CcDREB1D* gene of the diploid *C. canephora* were correctly
558 recognized by the transcriptional machinery of the allotetraploid species *C. arabica*.

559 Summarizing, the variations in intensities and staining patterns between the three haplotypes tested
560 during this study suggested that HP16 (isolated from D^T clone 14 of *C. canephora*) had greater
561 strength, as well as longer activity under low RH conditions compared to HP15 (found in both D^T
562 clone 14 and D^S clone 22) and HP17 (isolated from D^S clone 22) haplotypes. These characteristics
563 might explain the higher stomatal conductance (g_s), and consequently, the more efficient
564 mechanisms in controlling the transpiration rate observed under water deficit in D^T clone 14
565 compared to D^S clone 22 (Marraccini *et al.*, 2012). Based on the results of this study, work is now
566 on-going to analyze the genetic diversity of *DREB1D* promoters in other D^T and D^S plants of the
567 *Coffea* species with the objective to identify molecular markers for breeding drought resistant
568 cultivars, and also to study the effects of other types of abiotic stress (e.g. high temperature and
569 light intensities, cold stress, etc.) on the regulation and activity of the *CcDREB1D* haplotypes.

570

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582

583 **Author contributions:** G.S.C.A. cloned and sequenced the *CcDREB1D* promoter haplotypes,
584 made the constructions and analyzed these sequences. G.S.C.A., L.F.T., H.E and E.D. carried out

585 the genetic transformation of *C. arabica*, applied abiotic stress, and carried out GUS staining and
586 microscopy analyses with the help of F.G. L.F.T. extracted RNA samples used by J-C.B. to perform
587 qPCR experiments and analyse the results together with T.J. H.E, B.B., A.C.A and P.M. designed
588 the study, drew up the experimental design and implemented it. G.S.C.A., A.C.A, T.J., P.M. and
589 H.E. wrote the article which was approved by all the authors.

590

Table 1. *Cis*-regulatory elements (CREs) present in the *CcDREB1D* promoter haplotypes.

	CREs	Sequence	TFs	Gene	Stress condition	Ref.	Sites	pHP15	pHP16	pHP17
ABRE	ABRELATERD1	ACGTG	bZIP	<i>erd1</i>	Water deficit, ABA	6	-1,272/-1,268 (+)	+	+	+
	ABRE-LIKE	BACGTGKM	bZIP	<i>rd29</i>	Water deficit, ABA	2	-557/-550 (-) -347/-340 (+)	+	+	+
DRE	DRE	CCGNC	ERF/AP2	<i>rd29A</i>	Water deficit, cold	1	-267/-263 (-)	+	+	+
	LTRECOREATCOR15	CCGAC	ERF/AP2	<i>cor15A</i>	Water deficit, cold	6	-1,228/-1,223 (-) -1,012/-1,007 (-) -695/-690 (-) +9/+14 (-)	+	+	+
MYB	MYBCORE	CNGTTR	bHLH	<i>rd22</i>	Water deficit, ABA	6	-1,248/-1,243 (+)	+	+	+
	MYB1AT	WAACCA	bHLH (MYB15)	<i>rd22</i>	Water deficit, ABA	6	-1,235/-1,230 (+) -575/-570 (-) +104/+109 (-)	+	+	+
MYC	MYB2AT	YAACTG	bHLH (MYB2)	<i>rd22</i>	Water deficit, ABA	6	-1,273/-1,268 (+) -842/-837 (+) -575/-570 (+) -387/-382 (+) -347/-342 (+) -340/-335 (+) -220/-215 (+) +104/+109 (+)	+	+	+
	MYCCONSENSUSAT	CANNTG	bHLH (ICE1)	<i>rd22</i>	Water deficit	6	+115/+120 (+)	+	+	-
ICE	ICEr2	ACTCCG	bHLH (ICE)	<i>CBF2/DREB1C</i>	Cold	7	-1,263/-1,258 (+) -1,255/-1,250 (+) -148/-143 (+)	+	+	+
CG-1	CG-1	VCGCGB	bHLH (CAMTA/SR1)	<i>PAL1</i>	CaM/Ca ²⁺ -inducible	3	-626/-617 (+)	+	+	+
CM6	CM6	AAGATTGTCA	unknown	<i>unknown</i>	Water deficit, ABA	5				

Table 1 (continued).

CREs	Sequence	TFs	Gene	Stress condition	Ref.	Sites	pHP15	pHP16	pHP17	
						-1,286/-1,281 (-)	+	+	+	
						-1,179/-1,174 (+)	-	-	+	
						-1,137/-1,132 (+)	+	+	+	
						-1,129/-1,124 (+)	+	+	+	
						-1,037/-1,027 (+)	+	+	-	
						-1,005/-999 (+)	+	+	+	
GT-1	GT-1	GRWAAW	bHLH	<i>RBCS</i>	Light-inducible	6	-938/-933 (-)	+	+	+
							-921/-916 (+)	+	+	+
							-912/-907 (-)	+	+	+
							-899/-894 (-)	+	+	+
							-564/-559 (-)	+	+	+
							-538/-533 (+)	+	+	+
							-489/-484 (+)	-	-	+
							+143/+148 (-)	+	+	+
							-1,183/-1,179 (+)	+	+	+
							-1,017/-1,013 (+)	+	+	-
							-1,000/-996 (+)	+	+	+
							-968/-964 (+)	+	+	+
							-929/-925 (-)	+	+	+
DOF	DOF	WAAAG	ZF	<i>KST1</i>	Water deficit, ABA	4	-925/-921 (+)	+	+	+
							-584/-580 (+)	+	+	+
							-529/-525 (+)	+	+	+
							-487/-483 (+)	+	+	+
							-236/-232 (+)	+	+	+
							+49/+53 (-)	+	+	+
							+94/+98 (-)	+	+	+
							-1,230/-1,226 (-)	+	+	+
							-552/-548 (-)	+	+	+
							-506/-502 (+)	+	+	+
W-BOX	W-BOX	TTGAC	ZF	<i>NPR1</i>	Wounding	6	-482/-478 (-)	+	+	+
							-403/-399 (-)	+	+	+
							-231/-227 (+)	+	+	+
							+2/+6 (-)	+	+	+

674 **Table 2.** List of primers used in this study.

675 Legend: ^(a): primers used to amplify HP15, HP16 and HP17 haplotype promoters from the
 676 *CcDREB1D* (*Cc02_g03430*) gene of *Coffea canephora* (Denoëud et al., 2014). ^(b): internal
 677 primers used for sequencing to verify the sequence of *CcDREB1D* promoters. ^(c), ^(d) and ^(e):
 678 forward primers used to amplify the short (S: +182/-608), medium (M: +182/-966) and long
 679 (L: +182/-1.308) fragments of the *CcDREB1D* promoter haplotypes, respectively. The
 680 *Hind*III restriction site of these primers is underlined. ^(f): reverse primer used with to
 681 introduce the *Bgl*III restriction site. Other primer pairs were used in RT-qPCR experiments
 682 to determine gene expression levels of *uidA* (GUS-F/R) and *CcDREB1D* (DREBA09-F/R).
 683 ^(g): the primer pair GAPDH-F/R was used to amplify the transcripts of *CaGAPDH*
 684 (glyceraldehyde-3-phosphate dehydrogenase) gene used as reference to standardize the
 685 results of RT-qPCR experiments.

686

Primers	Sequences
DREB-F1 ^(a)	5' ACTCCTAGTAAGCGGCACGTTGTT 3'
DREB-R1 ^(a)	5' TGGCTTTGCAGGCATTGACTACG 3'
DREB-F2 ^(b)	5' TCGTGCATTCAACAGCACCGTCA 3'
DREB-R2 ^(b)	5' CCTTTCGTGGTTGTCTCTTGACCT 3'
S-DREB1D ^(c)	5' TAATTCCA <u>AAGCTTT</u> GTCTGAAGT 3'
M-DREB1D ^(d)	5' AAGAGAACAACA <u>AAGCTT</u> CTTGT 3'
L-DREB1D ^(e)	5' TCCTAGTA <u>AAGCTT</u> CACGTTGT 3'
R-DREB1D ^(f)	5' TGTTGAGAAATGGTT <u>AGATCT</u> TGAA 3'
GUS-F	5' GCACTAGCGGGACTTTGCAA 3'
GUS-R	5' CGCGAAGCGGGTAGATATCA 3'
DREBA09-F	5' CAATGCCTGCAAAGCCAATTA 3'
DREBA09-R	5' TTTTCCTGCCTGCACGTTTC 3'
GAPDH-F ^(g)	5' TTGAAGGGCGGTGCAA 3'
GAPDH-R ^(g)	5' AACATGGGTGCATCCTTGCT 3'

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698 **Figure legends**

699

700 **Fig. 1.** Consensus sequence of of *CcDREB1D* promoter haplotypes.

701 The consensus sequence contains all single nucleotide polymorphisms (SNPs, in gray) and
 702 Insertion/deletions (INDELs, lower case underlined with horizontal brackets) found in the
 703 HP16 and HP17 haplotypes of *CcDREB1D* promoters. The nucleotides are numbered (on the
 704 right) on each lane using the first nucleotide (+1) of the *CcDREB1D* mRNA sequence (based
 705 on RNAseq data evidence available in the Coffee Genome Hub) as the start of numbering.
 706 CREs are identified by boxes with their corresponding name below excepted DOF/guard-cell
 707 (underlined), W-BOX (underlined and italics) and ABRE-LIKE (bold). Horizontal arrows
 708 indicate the primers (Table 2) used to amplify the full-length and truncated versions of coffee
 709 *CcDREB1D* promoter sequences (Fig. 2C). The TCA microsatellite GAAWTT unidentified
 710 motif and the putative TATA box are also indicated (bold and brackets). The 19 bp in italics
 711 upstream the ATG (+174) of the β -glucuronidase correspond to the multiple cloning site of the
 712 pBI121 vector.

713

714 **Fig. 2.** Haplotypes of *CcDREB1D* promoters of *C. canephora*.

715 (A): *CcDREB1D* haplotypes found in drought-tolerant (D^T) clone 14 (HP15/HP16) and
 716 drought-sensitive (D^S) clone 22 (HP15/HP17) of *C. canephora*. Color code for haplotypes:
 717 HP15 (white), HP16 (black) and HP17 (gray). (B): Graphic representation of nucleotide
 718 variability detected in *CcDREB1D* promoter haplotypes. The x axis corresponds to the bases of
 719 the HP15 sequence (Fig. 1). The y axis corresponds to the frequency of polymorphic sites (S)
 720 observed in the HP16 (continuous line) and HP17 (dotted line) haplotypes compared to the
 721 HP15 sequence used as a reference. (C): Schematic representation of the *CcDREB1D*
 722 haplotypes analyzed in transgenic plants of *C. arabica*. The schematic map of *CcDREB1D*
 723 (*Cc02_g03430*) is given in the upper part together with the DREB primers (F1, R1 in brackets,
 724 see Table 2) used to amplify the *CcDREB1D* promoter haplotypes. Plasmid names used for
 725 stable transformation of *C. arabica* are given for each construction, indicating the haplotype
 726 studied with its corresponding length (L, long; M, medium and S, short). The fragments were
 727 amplified using the forward primers (including the *Hind*III [AAGCTT] restriction site)
 728 corresponding to L-DREB1D (L, white square), M-DREB1D (M, white star) and S-DREB1D
 729 (S, white triangle) and the reverse primer R-DREB1D (including the *Bg*III [AGATCT]
 730 restriction site) indicated by a black point and further cloned in front of the *uidA* reporter gene.

731 pBI121 (CaMV35S:*uidA* gene construct) and pBI101 (*uidA* promoterless gene) were used as
 732 positive and negative controls of GUS enzymatic activities, respectively.

733

734 **Fig. 3.** Histochemical localization of GUS activity in different tissues of transgenic *C. arabica*
 735 subjected to dehydration.

736 Columns 1: root; 2: stem; 3: meristem; 4: leaf. The tissues belong to plants regenerated from
 737 constructions pHP17S (A), pHP16S (B), pHP16M (C), pHP16L (D), pHP15L (E) and pHP17L
 738 (F). The histochemical localization of GUS activity in control plants (WT, pBI101 and pBI121)
 739 is given in Supplementary Fig. S2. The scales (in μm) are given for each image. Arrows indicate
 740 GUS-staining restricted to specific cells and tissues: leaf epidermis (le), guard cell (gc),
 741 parenchyma (p), vascular tissue (vt), apical meristem (am).

742

743 **Fig. 4.** Histochemical localization of GUS activity in guard cells of *C. arabica* subjected to low
 744 air relative humidity (RH 9%).

745 Guard cells visualized by bright field microscopy (20 x magnification) on the abaxial detached
 746 epidermis of coffee leaves. The explants were from coffee plants transformed by pBI101 (A,
 747 negative control), pBI121 (B, positive control), pHP17S (C, D), pHP17L (E, F), pHP16S (G,
 748 H) and pHP16L (I, J). Unstressed conditions for C, E, G and I. Stressed conditions (9 % RH)
 749 for A, B, D, E, H and J.

750

751 **Fig. 5.** Proportions of GUS-stained guard cells: water deficit-induced regulation of GUS
 752 activity in mature leaf stomata driven by *CcDREB1D* promoter haplotypes.

753 Evolution of GUS-stained guard cells' proportions following 0, 3, 6, 12 and 24 h of exposure
 754 to PEG (equivalent to -2.0 MPa) and low air relative humidity (RH 9%) treatments in pHP15L-
 755 , pHP16L- and pHP17L- transformed coffee plants. Box-and-whisker plot display variation in
 756 proportions of coffee plants for each time assessed. The lower and upper hinges represent the
 757 first and third quartile respectively, the bold line represents the median, and the whiskers
 758 represent the smallest and the greatest values.

759

760 **Fig. 6.** Expression profiles of the *uidA* and *CaDREB1D* genes in leaves of transgenic *C. arabica*
 761 during water deficit.

762 Expression of the *uidA* (A) and *CaDREB1D* (B) genes was tested in leaves of coffee plants
 763 transformed by the pHP15L (white isobars), pHP16L (light gray isobars) and pHP17L (dark
 764 gray isobars) constructions and subjected to 0, 3, 6, 12 and 24 h osmotic stress (PEG treatment)

765 by RT-qPCR experiments using the GUS-F/R and DREBA09-F/R primer pairs, respectively.
 766 Expression levels are indicated in relative quantification using the expression of the *CaGAPDH*
 767 gene as a reference. The results are expressed using T0 samples as internal calibrators for each
 768 construction. The relative quantification values correspond to the mean of at least three
 769 biological repetitions analyzed by 3 technical replicates \pm SD. The significance of expression
 770 level differences was evaluated using the pairwise Wilcoxon rank test (non-parametric test).
 771 Treatments sharing the same letter are not significantly different.

772

773 **Table 1.** *Cis*-regulatory elements (CREs) present in the *CcDREB1D* promoter haplotypes.

774 The *cis*-regulatory elements present in the *CcDREB1D* promoter consensus sequence (Fig. 1),
 775 involved in osmotic- and cold-stress responsive gene expression, were identified using
 776 PlantPAN (<http://plantpan.mbc.nctu.edu.tw>, Chang *et al.*, 2008) and the TSSP
 777 (<http://www.softberry.com>, Shahmuradov *et al.*, 2005) web interfaces. Experimental results
 778 supporting the involvement of CREs in the regulation of gene expression by abiotic stresses are
 779 also available in the literature (Ref.: 1, Sakuma *et al.*, 2002; 2, Yamaguchi-Shinozaki and
 780 Shinozaki, 2005; 3, Silva, 1994; 4, Plesch *et al.*, 2001; 5, Doherty *et al.*, 2009; 6, Higo *et al.*,
 781 1999; 7, Chinnusamy *et al.*, 2004). Base abbreviations (IUPAC notation) are as follows: B =
 782 [not A], K = [G,T], M = [A,C], N = [any nucleotide], R = [A,G], V = [not T], W = [A,T], Y =
 783 [C,T]. TFs: transcription factors. CREs were found either in sense (+) or antisense (-) DNA
 784 strands.

785

786 **Table 2.** List of primers used in this study.

787

788 **Supplementary Data**

789 **Supplementary Fig. S1.** Nucleic alignments of *CcDREB1D* haplotypes (HP15, HP16 and
 790 HP17) with the *CcDREB1D* consensus sequence (see Fig. 1).

791 **Supplementary Fig. S2.** Histochemical localization (root, stem, meristem, leaf) of GUS
 792 activity in dehydrated control plants of *C. arabica*. No staining in untransformed plants (A:
 793 wild type) and in pB101-transformed plants (B) while strong staining was observed in all tissues
 794 of pB121-transformed coffee plants. The scales (in μ m) are given for each image.

795 **Supplementary Fig. S3.** Confocal laser scanning microscopy (CLSM) micrographs from leaf
 796 cross-sections with immunolocalization of GUS protein. Leaves of pHP16L-transformed plants

797 were sampled after 24 h of exposure to 9% RH. Fluorescence immunolabeling of GUS proteins
798 was carried out with 5% bovine serum albumin (BSA) in PBS (blocking buffer, 3 h), anti- β -
799 glucuronidase rabbit antibody (1:200 in blocking buffer, overnight at 4°C), PBS (3 × 15 min
800 washing). Leaves were also treated with anti-GUS rabbit antibody and then with a secondary
801 anti-rabbit IgGs mouse antibody coupled to an Alexa Fluor® 488 probe (4 $\mu\text{g}\cdot\text{ml}^{-1}$ in 2% BSA
802 in PBS, 1 h in the dark), and PBS (3 × 15 min washing). Sections were mounted in PBS and
803 observed under an LSM510 META NLO Axiovert 200M Inverted Microscope (Carl Zeiss,
804 Germany), equipped with a Chameleon Ultra II laser (Coherent, Glasgow, UK) with the
805 following settings: laser 488 nm, BP 500-530 nm. Micrograph deconvolution of spectrum
806 signals was achieved using the Linear Unmixing technique (A and B). Cells testing positive for
807 GUS green fluorescence are indicated by white arrows. Epidermal cell (ec); guard cell (gc);
808 parenchyma cell (pc).

809

810 **Supplementary Table S1.** List of nucleic polymorphisms found in the HP15, HP16, and HP17
811 haplotypes of *CcDREB1D* coffee promoters.

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Figure 1

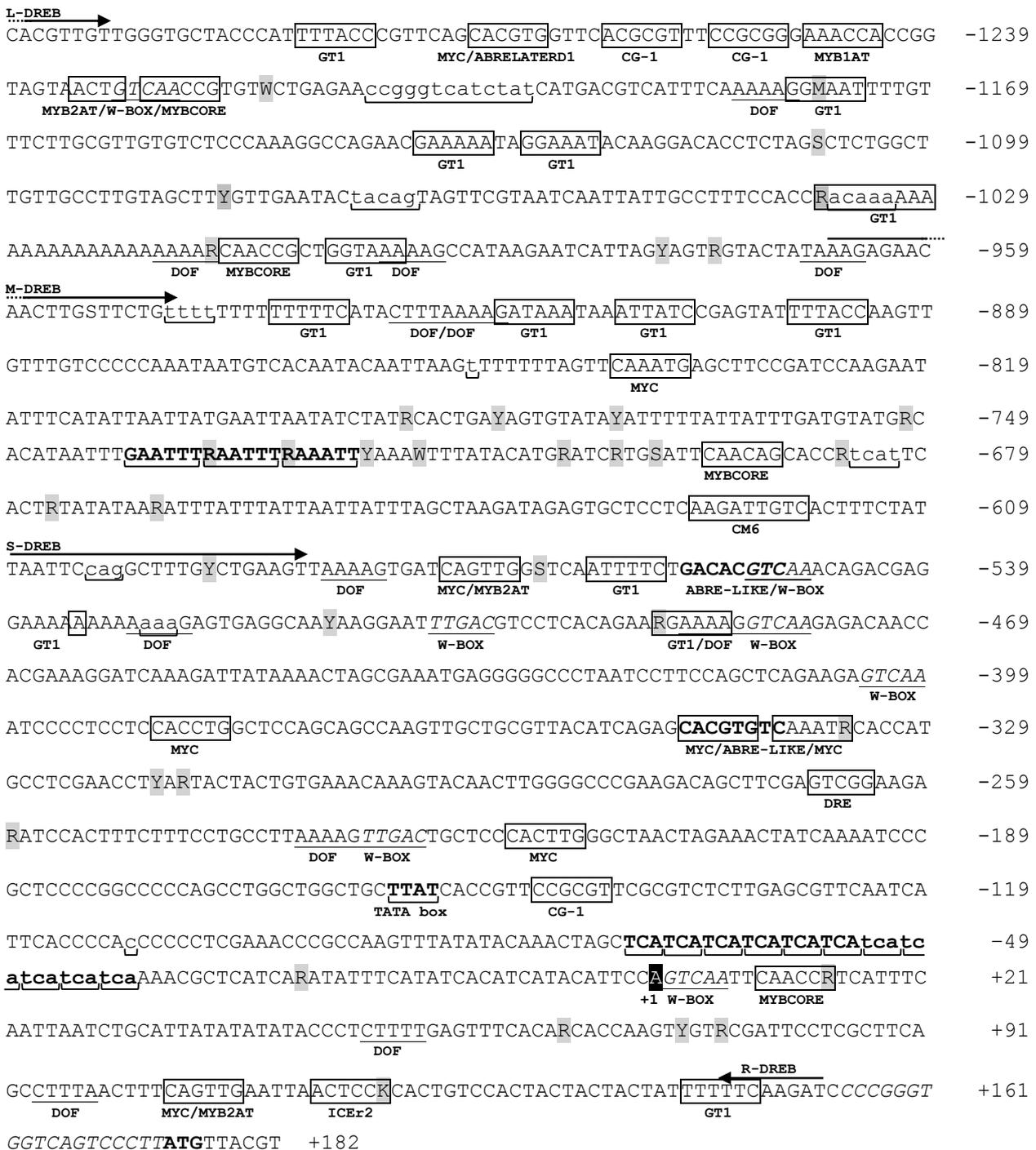


Figure 2

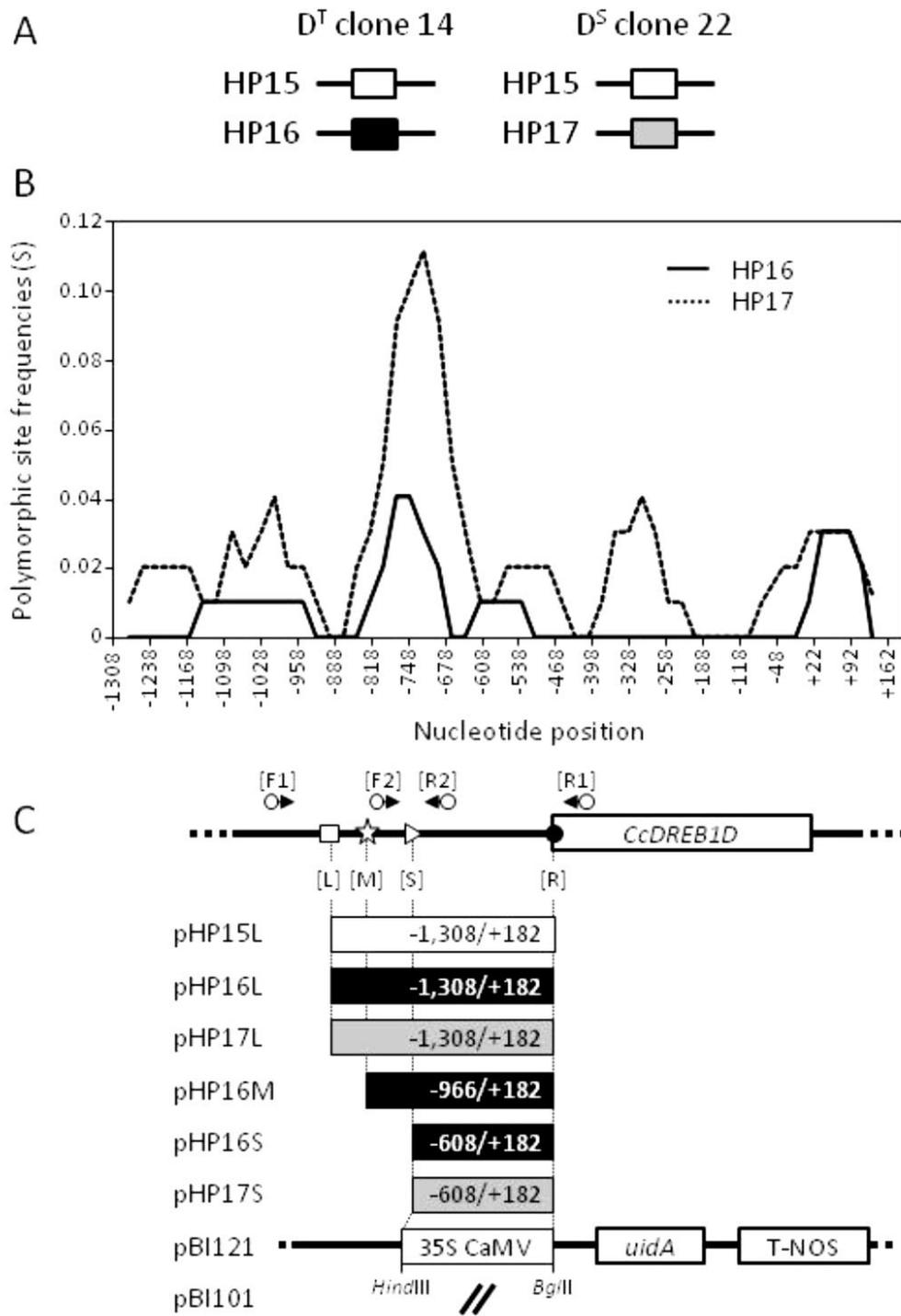


Figure 3

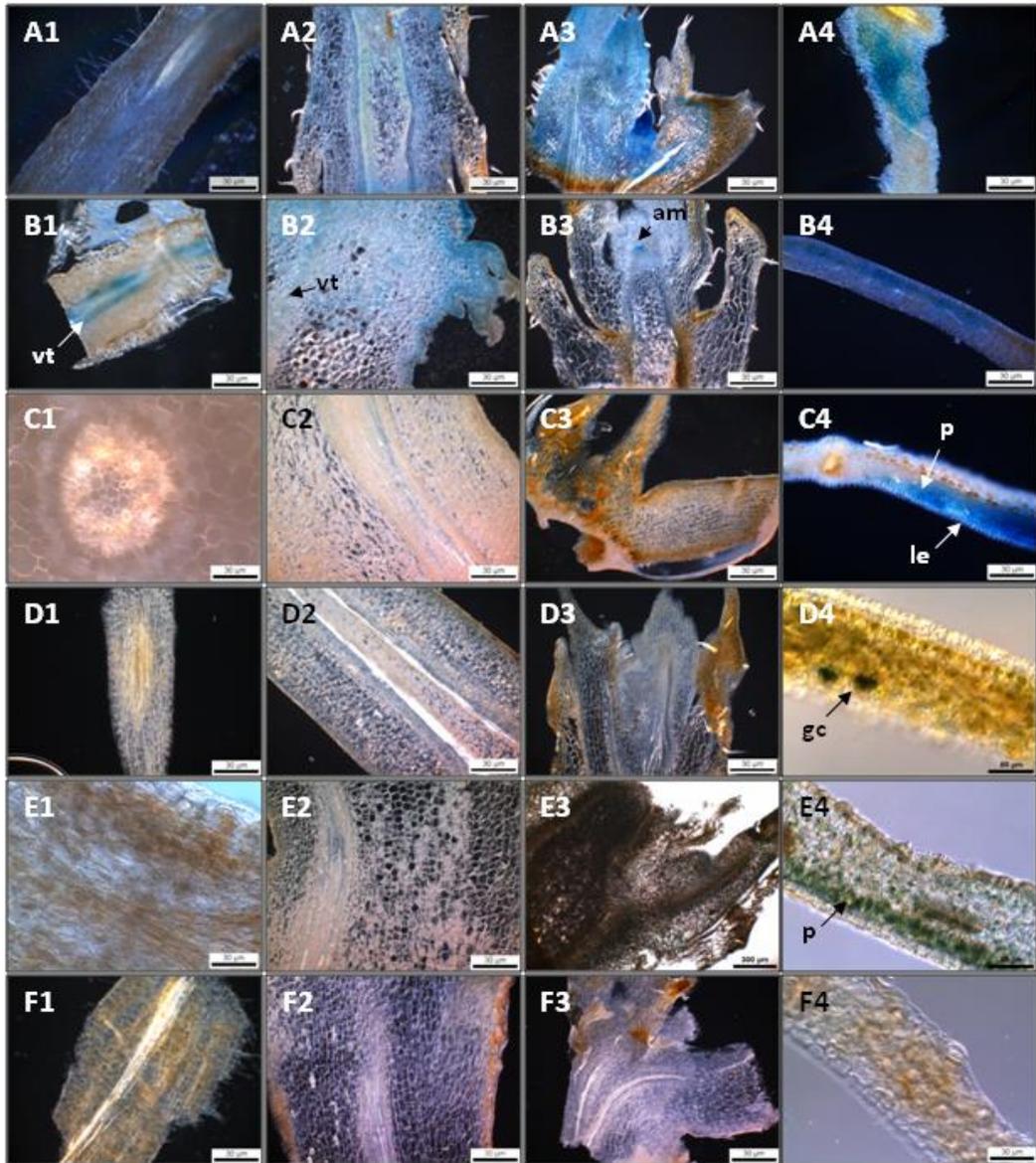


Figure 4

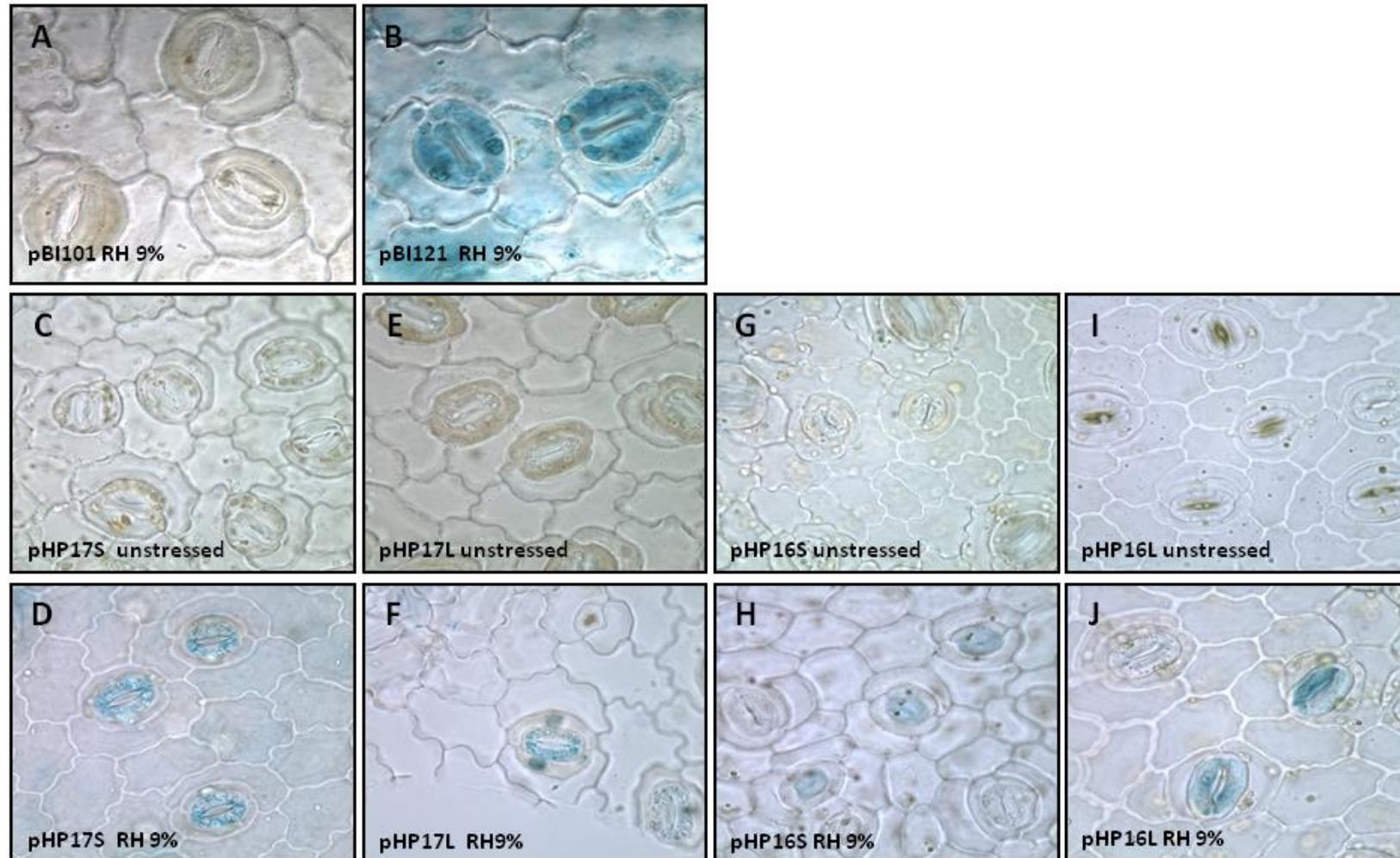


Figure 5

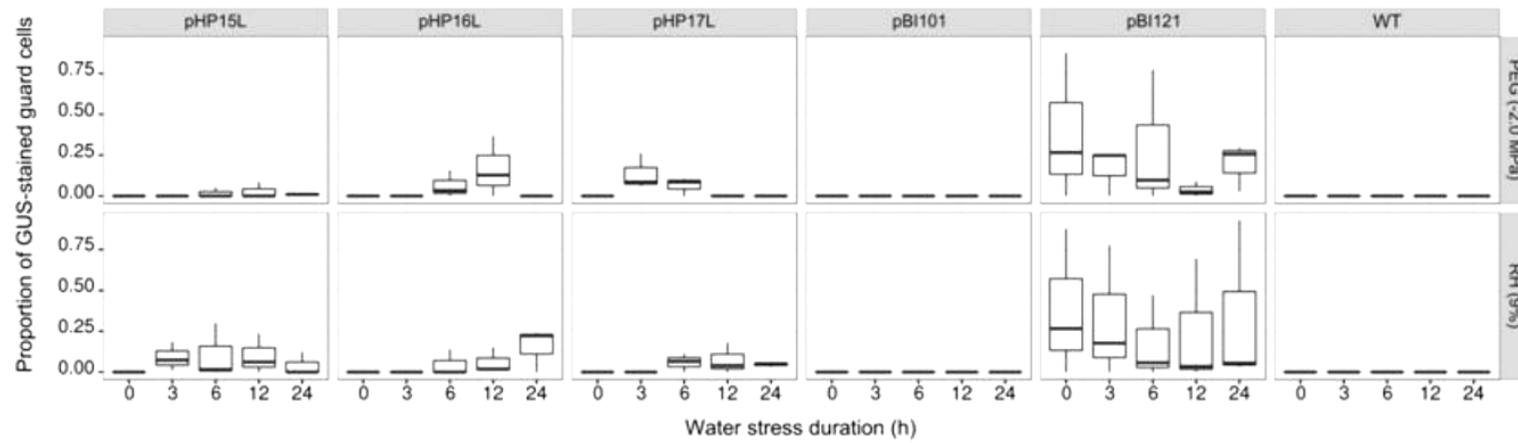
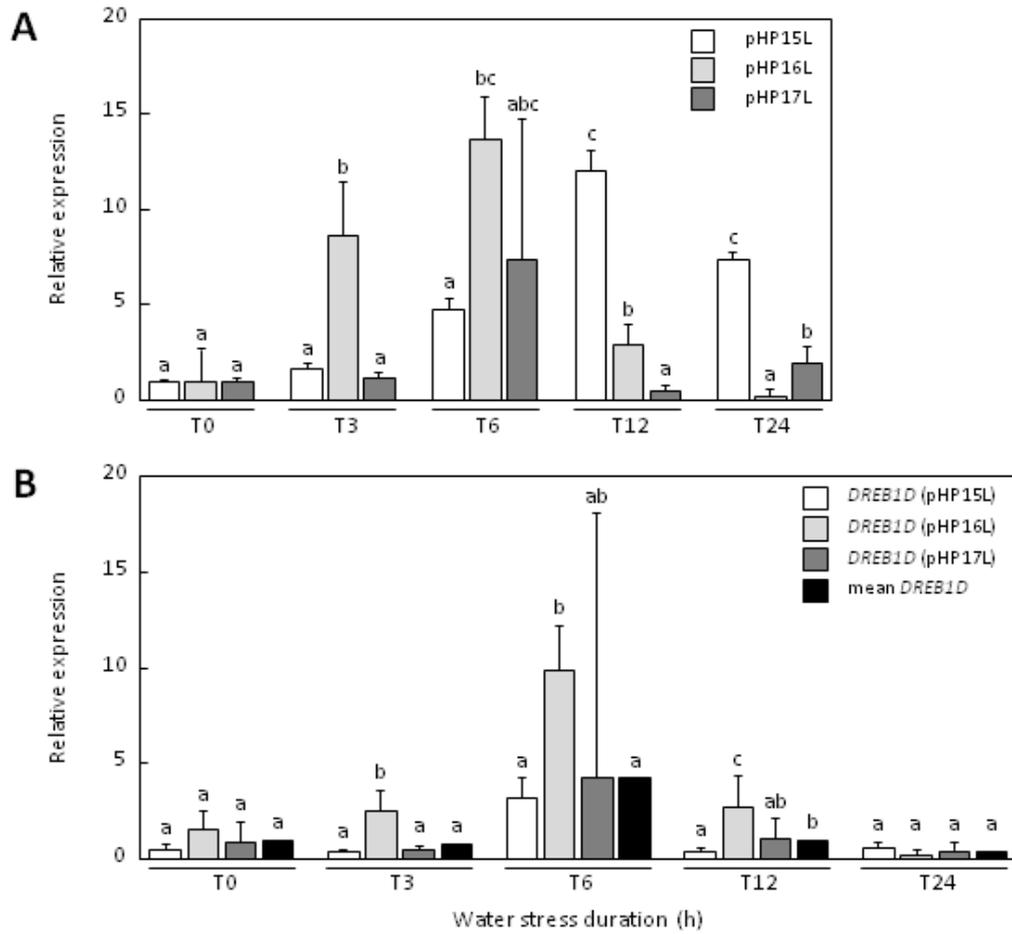


Figure 6



Supplementary Figure S1

Consensus -1239
 pHP17L CACGTTGTTGGGTGCTACCCATTTTACCCGTTTCAGCACGTGGTTCACGCGTTTTCCGCGGGAAACCACCGG -1239
 pHP15L CACGTTGTTGGGTGCTACCCATTTTACCCGTTTCAGCACGTGGTTCACGCGTTTTCCGCGGGAAACCACCGG -1239
 pHP16L CACGTTGTTGGGTGCTACCCATTTTACCCGTTTCAGCACGTGGTTCACGCGTTTTCCGCGGGAAACCACCGG -1239

Consensus . . . W. cgggtcatctatc M -1169
 pHP17L TAGTAACTGTCAACCGTGTCTGAGAAC-----ATGACGTCATTTCAAAAAGGAAATTTTGT -1182
 pHP15L TAGTAACTGTCAACCGTGTACTGAGAACC GGTCATCTATCATGACGTCATTTCAAAAAGGCAATTTTGT -1169
 pHP16L TAGTAACTGTCAACCGTGTACTGAGAACC GGTCATCTATCATGACGTCATTTCAAAAAGGCAATTTTGT -1169

ConsensusS -1099
 pHP17L TTCTTGCGTTGTGTCTCCCAAAGGCCAGAACGAAAAATAGGAAATACAAGGACACCTCTAGGCTCTGGCT -1112
 pHP15L TTCTTGCGTTGTGTCTCCCAAAGGCCAGAACGAAAAATAGGAAATACAAGGACACCTCTAGCCTCTGGCT -1099
 pHP16L TTCTTGCGTTGTGTCTCCCAAAGGCCAGAACGAAAAATAGGAAATACAAGGACACCTCTAGCCTCTGGCT -1099

Consensus . . . Y acagtRacaaa -1029
 pHP17L TGTTGCCTTGTAGCTTTGTTGAATACT----AGTTCGTAATCAATTATTGCCTTTCCACCAACAAAAAA -1047
 pHP15L TGTTGCCTTGTAGCTTTGTTGAATACTACAGTAGTTCGTAATCAATTATTGCCTTTCCACCG-----AAA -1034
 pHP16L TGTTGCCTTGTAGCTTCGTTGAATACTACAGTAGTTCGTAATCAATTATTGCCTTTCCACCG---AAAAA -1032

Consensus R Y. R . . . -959
 pHP17L AAAAAAAAAAAAAAAAAACAACCGCTGGTAAAAAGCCATAAGAATCATTAGTAGTGGTACTATAAAGAGAAC -977
 pHP15L AAAAAAAAAAAAAAAAAAGCAACCGCTGGTAAAAAGCCATAAGAATCATTAGTAGTAGTACTATAAAGAGAAC -964
 pHP16L AAAAAAAAAAAAAAAAAAGCAACCGCTGGTAAAAAGCCATAAGAATCATTAGCAGTAGTACTATAAAGAGAAC -962

Consensus . . . S tttt -889
 pHP17L AACTTGGTTCTGTTTTTTTTTTTTCATACTTTAAAAGATAAAATAAATTATCCGAGTATTTTACCAAGTT -907
 pHP15L AACTTGGTTCTG----TTTTTTTTTTCATACTTTAAAAGATAAAATAAATTATCCGAGTATTTTACCAAGTT -898
 pHP16L AACTTGGTTCTG----TTTTTTTTTTCATACTTTAAAAGATAAAATAAATTATCCGAGTATTTTACCAAGTT -896

Consensus t -819
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 pHP15L GTTTGTCCCCCAAATAATGTCACAATACAATTAAG-TTTTTTAGTTCAAATGAGCTTCCGATCCAAGAAT -829
 pHP16L GTTTGTCCCCCAAATAATGTCACAATACAATTAAGTTTTTTTAGTTCAAATGAGCTTCCGATCCAAGAAT -826

Consensus R Y . . . Y . . . R -749
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pHP15L ATTTTCATATTAATTATGAATTAATATCTATACACTGATAGTGTATACATTTTTATTATTTGATGTATGGC -759
 pHP16L ATTTTCATATTAATTATGAATTAATATCTATACACTGATAGTGTATATTTTTATTATTTGATGTATGGC -756

Supplementary Figure 1 (continued)

Consensus . . R .R Y .W . R R S. . Rtcat -679
 pHP17L ACATAATTTGAATTTGAATTTAAAATTTAAAATTTTATACATGAATCATGGATTCAACAGCACCATCATTTC -697
 pHP15L ACATAATTTGAATTTAAAATTTGAAATTTCAAATTTTATACATGGATCGTGCATTCAACAGCACCG----TC -693
 pHP16L ACATAATTTGAATTTGAATTTGAAATTTCAAATTTTATACATGAATCGTGCATTCAACAGCACCG----TC -690

Consensus . R .R -609
 pHP17L ACTGTATATAAGATTTATTTATTAATTATTTAGCTAAGATAGAGTGCTCCTCAAGATTGTCACCTTCTAT -627
 pHP15L ACTATATATAAAATTTATTTATTAATTATTTAGCTAAGATAGAGTGCTCCTCAAGATTGTCACCTTCTAT -623
 pHP16L ACTATATATAAAATTTATTTATTAATTATTTAGCTAAGATAGAGTGCTCCTCAAGATTGTCACCTTCTAT -620

Consensus . cag . Y . S . . -539
 pHP17L TAATTCAGGCTTTGCTGAAGTTAAAAGTGATCAGTTGGGTCAATTTTCTGACACGTCAAACAGACGAG -557
 pHP15L TAATTCAGGCTTTGCTGAAGTTAAAAGTGATCAGTTGGGTCAATTTTCTGACACGTCAAACAGACGAG -553
 pHP16L TAATTC---GCTTTGCTGAAGTTAAAAGTGATCAGTTGGGTCAATTTTCTGACACGTCAAACAGACGAG -553

Consensus .aaa . Y . R. . -469
 pHP17L GAAAAAAAAAAGAGTGAGGCAACAAGGAATTTGACGTCCTCACAGAAAGAAAAGGTCAAGAGACAACC -487
 pHP15L G---AAAAAAAAAGAGTGAGGCAATAAGGAATTTGACGTCCTCACAGAAAGAAAAGGTCAAGAGACAACC -486
 pHP16L G---AAAAAAAAAGAGTGAGGCAATAAGGAATTTGACGTCCTCACAGAAAGAAAAGGTCAAGAGACAACC -486

Consensus -399
 pHP17L ACGAAAGGATCAAAGATTATAAACTAGCGAAATGAGGGGGCCCTAATCCTTCCAGCTCAGAAGAGTCAA -417
 pHP15L ACGAAAGGATCAAAGATTATAAACTAGCGAAATGAGGGGGCCCTAATCCTTCCAGCTCAGAAGAGTCAA -416
 pHP16L ACGAAAGGATCAAAGATTATAAACTAGCGAAATGAGGGGGCCCTAATCCTTCCAGCTCAGAAGAGTCAA -416

Consensus R -329
 pHP17L ATCCCTCCTCCACCTGGCTCCAGCAGCCAAGTTGCTGCGTTACATCAGAGCAGCTGTCAAATGCACCAT -347
 pHP15L ATCCCTCCTCCACCTGGCTCCAGCAGCCAAGTTGCTGCGTTACATCAGAGCAGCTGTCAAATACACCAT -346
 pHP16L ATCCCTCCTCCACCTGGCTCCAGCAGCCAAGTTGCTGCGTTACATCAGAGCAGCTGTCAAATACACCAT -346

Consensus . . Y R -259
 pHP17L GCCTCGAACCTCAGTACTACTGTGAAACAAAGTACAACCTTGGGGCCCGAAGACAGCTTCGAGTCGGAAGA -277
 pHP15L GCCTCGAACCTTAATACTACTGTGAAACAAAGTACAACCTTGGGGCCCGAAGACAGCTTCGAGTCGGAAGA -276
 pHP16L GCCTCGAACCTTAATACTACTGTGAAACAAAGTACAACCTTGGGGCCCGAAGACAGCTTCGAGTCGGAAGA -276

Consensus R -189
 pHP17L GATCCACTTTCTTTCTGCCTTAAAAGTTGACTGCTCCCACTTGGGCTAACTAGAAACTATCAAAATCCC -207
 pHP15L AATCCACTTTCTTTCTGCCTTAAAAGTTGACTGCTCCCACTTGGGCTAACTAGAAACTATCAAAATCCC -206
 pHP16L AATCCACTTTCTTTCTGCCTTAAAAGTTGACTGCTCCCACTTGGGCTAACTAGAAACTATCAAAATCCC -206

Supplementary Figure 1 (continued)

Consensus -119
 pHP17L GCTCCCCGGCCCCAGCCTGGCTGGCTGCTTATCACCGTTCGCGTTCGCGTCTCTTGAGCGTTCAATCA -137
 pHP15L GCTCCCCGGCCCCAGCCTGGCTGGCTGCTTATCACCGTTCGCGTTCGCGTCTCTTGAGCGTTCAATCA -136
 pHP16L GCTCCCCGGCCCCAGCCTGGCTGGCTGCTTATCACCGTTCGCGTTCGCGTCTCTTGAGCGTTCAATCA -136

Consensus . C. atcatc -49
 pHP17L TTCACCCACCCCCCTCGAAACCCGCCAAGTTTATATACAAACTAGCTCATCATCATCATCATCATCATC -67
 pHP15L TTCACCCCA-CCCCCTCGAAACCCGCCAAGTTTATATACAAACTAGCTCATCATCATCATCATC----- -73
 pHP16L TTCACCCACCCCCCTCGAAACCCGCCAAGTTTATATACAAACTAGCTCATCATCATCATCATC----- -72

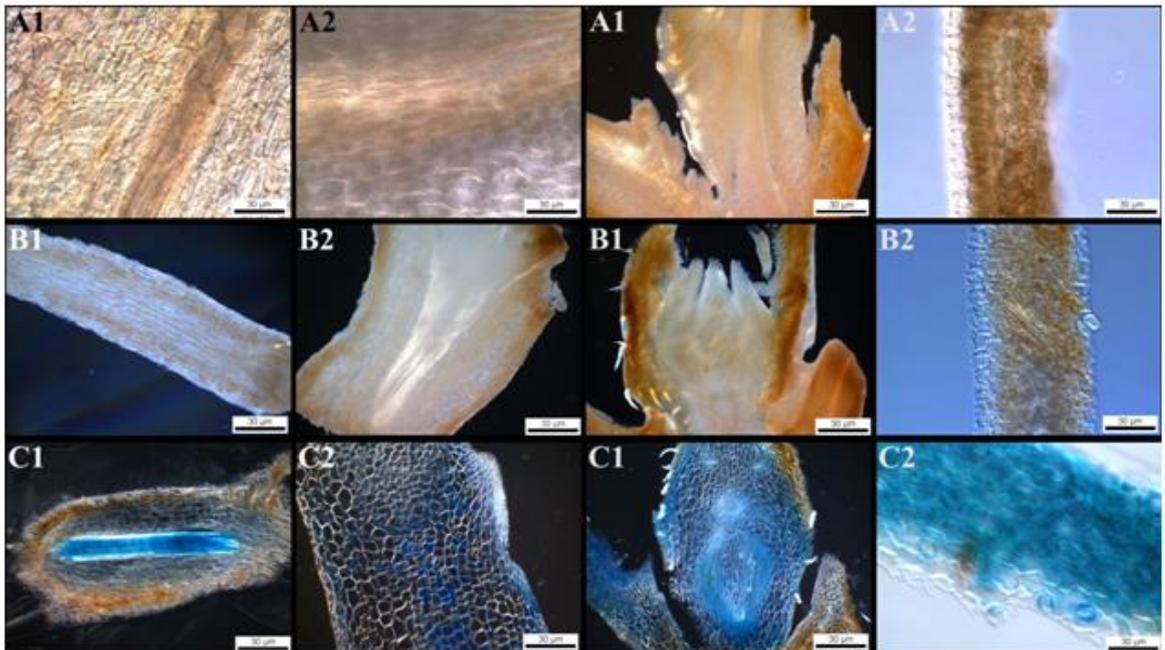
Consensus atcatcatc . . R R +21
 pHP17L ATCATCATCAAACGCTCATCAGATATTTTATATACATCATACTCCAGTCAATTCAACCGTCATTTTC +3
 pHP15L -----AAAACGCTCATCAAATATTTTATATACATCATACTCCAGTCAATTCAACCATCATTTTC -12
 pHP16L -----AAAACGCTCATCAAATATTTTATATACATCATACTCCAGTCAATTCAACCATCATTTTC -11

Consensus R . Y R . +91
 pHP17L AATTAATCTGCATTATATATATATACCTCTTTTGGAGTTTACAAACACCAAGTTCGTACGATTCCCTCGCTTCA +73
 pHP15L AATTAATCTGCATTATATATATATACCTCTTTTGGAGTTTACAGCACCAAGTTCGTGCGATTCCCTCGCTTCA +58
 pHP16L AATTAATCTGCATTATATATATATACCTCTTTTGGAGTTTACAAACACCAAGTTGTACGATTCCCTCGCTTCA +59

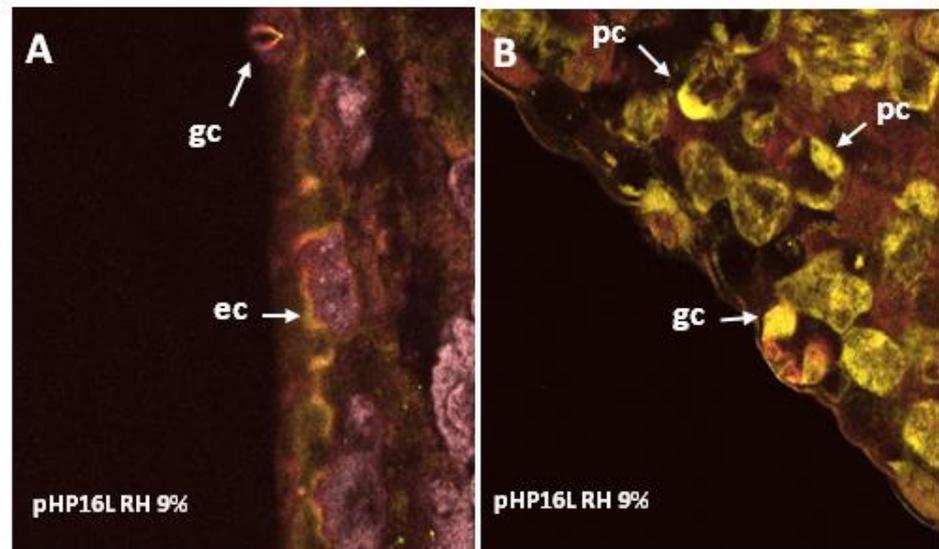
Consensus K +161
 pHP17L GCCTTTAACTTTTCAGTTGAATTAACCTTCACTGTCCACTACTACTACTATTTTTTCAAGATCCCCGGGT +143
 pHP15L GCCTTTAACTTTTCAGTTGAATTAACCTTCACTGTCCACTACTACTACTATTTTTTCAAGATCCCCGGGT +128
 pHP16L GCCTTTAACTTTTCAGTTGAATTAACCTTCACTGTCCACTACTACTACTATTTTTTCAAGATCCCCGGGT +129

Consensus +182
 pHP17L GGTCAGTCCCTTATGTTACGT +164
 pHP15L GGTCAGTCCCTTATGTTACGT +149
 pHP16L GGTCAGTCCCTTATGTTACGT +150

Supplementary Fig. 2



Suppl. Fig. 3



Supplementary Table 1. List of nucleic polymorphisms found in the HP15, HP16, and HP17 haplotypes of *CcDREB1D* coffee promoters.

Polymorphism	Consensus Sequence	Variant (s)	Polymorphism Type	Minimum	Maximum	Length	HP15	HP16	HP17
A -> T	W	T	SNP (transversion)	-1,219	-1,219	1	A	A	T
-CCGGGTCATCTAT	CCGGGTCATCTAT	-----	Deletion	-1,211	-1,199	13	CCGGGTCATCTAT	CCGGGTCATCTAT	-----
C -> A	M	A	SNP (transversion)	-1,177	-1,177	1	C	C	A
C -> G	S	G	SNP (transversion)	-1,107	-1,107	1	C	C	G
T -> C	Y	C	SNP (transition)	-1,082	-1,082	1	T	C	T
-TACAG	TACAG	----	Deletion	-1,072	-1,068	5	TACAG	TACAG	----
-ACAAA	---AA	ACAAA	Insertion	-1,036	-1,032	5	----	---AA	ACAAA
G -> A	R	A	SNP (transition)	-1,013	-1,013	1	G	G	A
T -> C	Y	C	SNP (transition)	-984	-984	1	T	C	T
A -> G	R	G	SNP (transition)	-980	-980	1	A	A	G
C -> G	S	G	SNP (transversion)	-957	-957	1	C	C	G
(TT)4 -> (TT)6	----	TTTT	Insertion (tandem repeat)	-946	-943	4	----	----	TTTT
(T)6 -> (T)7	-	T	Insertion (tandem repeat)	-853	-853	1	-	T	T
A -> G	R	G	SNP (transition)	-788	-788	1	A	A	G
T -> C	Y	C	SNP (transition)	-781	-781	1	T	T	C
C -> T	Y	T	SNP (transition)	-772	-772	1	C	T	T
G -> A	R	A	SNP (transition)	-750	-750	1	G	G	A
A -> G	R	G	SNP (transition)	-733	-733	1	A	G	G
G -> A	R	A	SNP (transition)	-727	-727	1	G	G	A
C -> T	Y	T	SNP (transition)	-721	-721	1	C	C	T
A -> T	W	T	SNP (transversion)	-717	-717	1	A	T	T
G -> A	R	A	SNP (transition)	-706	-706	1	G	A	A
G -> A	R	A	SNP (transition)	-702	-702	1	G	G	A
C -> G	S	G	SNP (transversion)	-699	-699	1	C	C	G

Polymorphism	Consensus Sequence	Variant (s)	Polymorphism Type	Minimum	Maximum	Length	HP15	HP16	HP17
G -> ATCAT	R----	ATCAT	Insertion	-685	-681	5	G----	G----	ATCAT
A -> G	R	G	SNP (transition)	-675	-675	1	A	A	G
A -> G	R	G	SNP (transition)	-667	-667	1	A	A	G
-CAG	CAG	---	Deletion	-602	-600	3	CAG	---	CAG
T -> C	Y	C	SNP (transition)	-593	-593	1	T	T	C
G -> C	S	C	SNP (transversion)	-568	-568	1	G	C	G
(AAA)3 -> (AAA)4	---	AAA	Insertion (tandem repeat)	-528	-526	3	---	---	AAA
T -> C	Y	C	SNP (transition)	-514	-514	1	T	T	C
A -> G	R	G	SNP (transition)	-489	-489	1	A	A	G
A -> G	R	G	SNP (transition)	-335	-335	1	A	A	G
T -> C	Y	C	SNP (transition)	-317	-317	1	T	T	C
A -> G	R	G	SNP (transition)	-315	-315	1	A	A	G
A -> G	R	G	SNP (transition)	-258	-258	1	A	A	G
(C)5 -> (C)6	-	C	Insertion (tandem repeat)	-109	-110	1	-	C	C
(TCA)6 -> (TCA)11	-----	ATCATCATCATCATC	Insertion (tandem repeat)	-53	-39	15	-----	-----	ATCATCATCATCATC
A -> G	R	G	SNP (transition)	-26	-26	1	A	A	G
A -> G	R	G	SNP (transition)	+14	+14	1	A	A	G
G -> A	R	A	SNP (transition)	+64	+64	1	G	A	A
C -> T	Y	T	SNP (transition)	+73	+73	1	C	T	C
G -> A	R	A	SNP (transition)	+76	+76	1	G	A	A
G -> T	K	T	SNP (transversion)	+120	+120	1	G	G	T

Supplementary Table 1 (continued)

ARTICLE 2

Differential and specific expression patterns of three coffee *CcDREB1D* promoter haplotypes under different abiotic stresses

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3 haplotypes under different abiotic stresses

4

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22 Running title: Expression of *CcDREB1D* promoter haplotypes under different abiotic stresses

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26

1 **ABSTRACT**

2 **Background and Aims** *DREB* transcription factors play important roles in regulating the
3 expression of genes in response to a variety of abiotic and biotic stresses, consisting of two
4 subclasses, *DREB1* and *DREB2*, involved in signal transduction pathways under low
5 temperature and dehydration, respectively. The aim of this work was to perform a functional
6 analysis of three *CcDREB1D* promoter haplotypes isolated from drought-tolerant and
7 susceptible clones of *C. canephora* in stably transformed plants of *C. arabica* subjected to
8 different abiotic stresses.

9 **Methods** The activities and regulation of *CcDREB1D* promoter haplotypes (pHP15L, pHP16L,
10 pHP17L), together with the expression of the *CaDREB1D* endogenous gene of *C. arabica*, were
11 studied by performing GUS histochemical assays in different tissues of transformed coffee
12 plants subjected to drought, cold, heat, photo-oxidative and exogenous application of abscisic
13 acid (ABA) and monitoring the expression of *uidA* reporter gene by RT-qPCR experiments.

14 **Key Results** Staining results clearly highlighted the fact that specific expression of each
15 haplotype occurred for the different stresses in plant tissues/organs (almost exclusively in
16 leaves and apical meristems) of transformed plants of *C. arabica*. This enabled to fully
17 characterize the specificity of the pHP16L haplotype, with expression levels ranging from low
18 (cold, photo-oxidative) to the high levels (low RH, ABA treatment) – compared to other
19 haplotypes - depending of abiotic stress applied. These results were confirmed by the amount
20 of *uidA* transcripts in pHP16L transformed plants that clearly increased under cold and drought
21 (low RH) conditions, as well as (but to a lower extent) under 24h of ABA treatment. These
22 results also revealed the specific activity of the promoter *CcDREB1D* in guard cells of stomata
23 when subjected to most of the abiotic stresses. The different proportions of GUS-stained guard
24 cells observed between the HP15, HP16 and HP17 haplotypes during the different abiotic

1 treatments clearly revealed the differences of these *CcDREB1D* promoters in the fine-tuning of
2 their regulation.

3 **Conclusions** The variations of GUS-stained guard cells and leaf *uidA* gene expression profiles
4 observed between the three *CcDREB1D* promoter haplotypes in transgenic coffee plants
5 subjected to cold and drought conditions, suggest that the nucleic polymorphisms previously
6 identified among these sequences are probably responsible in fine-tuning of their regulation in
7 response to abiotic stress.

8

9 **Keywords:** abiotic stress, *Coffea arabica* L., *DREB*, β -glucuronidase, gene expression,
10 histochemical assay, promoter.

11

12

1 INTRODUCTION

2 Most of the traditional cultivars of *Coffea arabica* are high yielding and reputed to produce
3 outstanding cup quality under optimal conditions of climate, soil and crop management.
4 However, almost all of them are highly susceptible to the major coffee pests and diseases
5 (Jaramillo *et al.*, 2011) which makes them increasingly difficult to maintain - for economic and
6 ecological reasons - in many regions particularly exposed to future climate changes (Bunn *et*
7 *al.*, 2015; Van der Vossen *et al.*, 2015).

8 Plants have evolved to live in different environments by developing specific mechanisms that
9 allow them to cope with abiotic (drought, high salt, and temperature change) and biotic stresses,
10 while maintaining growth and production. Signal specificity is achieved through the precise
11 interplay between components of each pathway, particularly the hormones (abscisic acid-ABA,
12 salicylic acid-SA and jasmonic acid-JA), TFs (transcription factors), HSFs (heat shock factors),
13 ROS (reactive oxygen species), and small RNAs (Atkinson and Urwin, 2012).

14 In higher plants, DREB (*Dehydration responsive element binding*) transcription factors are
15 extensively studied because they play important roles in regulating the expression of genes in
16 response to a variety of abiotic and biotic stresses (Shinozaki and Yamaguchi-Shinozaki, 2007;
17 Khan, 2011). These genes belong to the AP2/ERF (Apetala2-ethylene responsive factor) family
18 of transcription factors, consisting of two subclasses. *DREB* genes are commonly separated in
19 *DREB1* and *DREB2* families, involved in signal transduction pathways under low temperature
20 and dehydration, respectively (Agarwal *et al.*, 2006; Lata and Prasad, 2011).

21 Even if mainly induced by cold, expression of *DREB1D* (also known as *CBF4*) was also
22 reported to be up-regulated by drought in *Arabidopsis* (Haake *et al.*, 2002), *Medicago*
23 *truncatula* (Li *et al.*, 2011), as well as in different grape species and varieties (Xiao *et al.*, 2008;
24 Zandkarimi *et al.*, 2015). Overexpression of the *DREB1D/CBF4* gene was shown to increase

1 tolerance to drought, cold and/or salt in transgenic plants (Haake *et al.*, 2002; Li *et al.*, 2011;
2 Guttikonda *et al.*, 2014), highlighting its key role in plant responses to abiotic stress.
3 Among the coffee candidate genes whose expression profiles were up-regulated by drought
4 stress, the *CcDREB1D* was of outstanding interest because its expression was greatly enhanced
5 under drought conditions in leaves of the drought-tolerant (D^T) clone 14 but not in those of the
6 drought-susceptible (D^S) clone 22 of *C. canephora* cv. Conilon (Marraccini *et al.*, 2012; Vieira
7 *et al.*, 2013). More recently, Thioune *et al.* (2017) also showed a rapid up-regulated expression
8 of *CcDREB1* in leaves of *C. canephora* subjected to a sharp drop in relative humidity (RH). In
9 order to understand the regulation of this gene, its promoter haplotypes from the D^T clone 14
10 and D^S clone 22 were characterized (Alves *et al.*, 2017). This revealed the existence of three
11 haplotypes (HP15, HP16 and HP16) diverging from each other by several SNPs and INDELS,
12 with HP15 haplotype being common to both clones, while HP16 and HP17 were specific of the
13 clones 14 and 22, respectively. The function and regulation of these promoter haplotypes were
14 studied by analyzing their ability to regulate the expression of the *uidA* reporter gene in response
15 to drought stress mimicked by low relative humidity (RH) and PEG osmotic treatments in
16 transgenic plants of *C. arabica* var. Caturra (Alves *et al.*, 2017). These results evidenced that
17 HP16 was able to drive high expression of *uidA* gene in leaf mesophyll and guard cells in a
18 stronger and earlier manner compared to the HP15 and HP17 haplotypes. The nucleic
19 polymorphism detected in these sequences should explain the differences of expression and
20 regulation observed between these haplotypes. In a recent study analyzing the genetic diversity
21 of *CcDREB1D* promoter haplotypes, it was demonstrated that HP15 and HP16 haplotypes
22 clustered in the sub-group 1 (SG1) of Congolese from *C. canephora*, while the HP17 haplotype
23 was more phylogenetically related to the sub-group 2 (SG2) of Congolese (Torres *et al.*, 2016).
24 Interestingly, plants of SG1 group of *C. canephora*, to which Brazilian Conilon cultivars are

1 phylogenetically linked (Montagnon *et al.*, 2012), are known to be more drought-tolerant than
2 those of SG2 usually considered as drought-susceptible (Montagnon, 2000).

3 In an attempt to better understand the tissular localization and regulation of *CcDREB1D*
4 promoter haplotypes (pHP15L, pHP16L and pHP17L), our study set out to analyze their ability
5 to regulate the expression of the *uidA* reporter gene in *C. arabica* transgenic plants subjected to
6 different abiotic stresses such as low and high temperatures, water stress mimicked by low
7 relative humidity (RH), application of exogenous ABA and photo-oxidative stress mimicked
8 by high irradiance.

9

10 **MATERIALS AND METHODS**

11 *Recombinant vectors*

12 The pHP15L, pHP16L and pHP17L constructions used in this study (Fig. 1) were previously
13 described by Alves *et al.* (2017). The pBI121 (CaMV35S::*uidA*) and pBI101 (*uidA*-
14 promoterless) vectors (Clontech, Palo Alto, CA, USA) were used as positive and negative
15 controls, respectively. These constructions were transferred independently by electroporation
16 into competent cells of the disarmed *Agrobacterium tumefaciens* strain LBA1119. After each
17 cloning step, recombinant vectors were systematically extracted with the Wizard® Plus SV
18 Minipreps DNA Purification System and the sequences of *CcDREB1D* promoter fragments
19 were verified by double-strand sequencing (Genome Express, France).

20

21 *Regeneration of C. arabica transgenic plants*

22 Embryogenic calli from *C. arabica* var. Caturra were obtained as described by Etienne (2005)
23 and were placed in proliferation conditions during twelve months in view of selecting
24 proembryogenic masses (PEMs) for *Agrobacterium*-mediated genetic transformation
25 experiments (Ribas *et al.*, 2011). Transgenic lines of *C. arabica* var. Caturra were generated by

1 *A. tumefaciens*-mediated transformation as previously described by Ribas *et al.* (2011). Briefly,
2 *A. tumefaciens* strain LBA1119 harboring the recombinant vectors were grown at 28°C for 24
3 h in YMB selective medium (Yeast Extract Mannitol Agar) with rifampicin (25 mg L⁻¹) and
4 kanamycin (50 mg L⁻¹). Three highly competent embryogenic callus cultures of 12 month-old
5 were placed in baby food jars and immersed with 10 mL of *A. tumefaciens* suspension (OD₆₀₀
6 = 0.6) for 10 min. Bacterial suspension was then removed and the inoculated calli were co-
7 cultivated at 20°C for five days in the dark. For decontamination, the co-cultivated calli were
8 rinsed twice with 20 mL sterile water and further placed on a rotary shaker at 30 rpm for 3 h
9 with on half-strength MS (Murashige and Skoog, 1962) embryogenic callus production medium
10 (ECP, Etienne, 2005) containing 1.2 g L⁻¹ cefotaxime. The liquid was removed and the calli
11 rinsed one last time with ECP medium for 15 min. Finally, calli were blotted on dry filter paper
12 to remove excess bacterial solution and were subsequently placed in Petri dishes containing
13 ECP medium with 500 mg L⁻¹ cefotaxime. After decontamination, the embryogenic cultures
14 were subcultured every four weeks twice on half-strength MS ‘R’ regeneration medium
15 containing 17.76 µM 6-benzylaminopurine and 100 mg L⁻¹ kanamycin and decreasing
16 cefotaxime concentrations (250, 125 mg L⁻¹) and twice on ‘M’ maturation medium (Etienne,
17 2005) containing 1.35 µM 6-benzylaminopurine (6-BA), 100 mg L⁻¹ hygromycin and 125 mg
18 L⁻¹ cefotaxime. The other subcultures were carried out on half-strength MS ‘M’ maturation
19 medium containing 1.35 µM 6-BA devoid of cefotaxime and kanamycin until plantlets
20 developed. Each kanamycin resistant callus regenerated multiple cotyledonary embryos.
21 Plantlets with both leaf pairs and roots were cultivated on MS medium with active charcoal (1g
22 L⁻¹) in baby food jars under sterile conditions until bioassays. During the entire regeneration
23 process, the cultures were maintained under a 12 h photoperiod (20 µM m⁻² s⁻¹ light intensity)
24 at 26°C and 80% RH. Once regenerated, DNA was extracted from small leaf explants and tested
25 by PCR using *nptIII*- (kanamycin) and *uidA*-specific primers to confirm T-DNA integration in

1 genomic DNA of *C. arabica*-transformed plantlets (data not shown). The numbers of T-DNA
2 insertions in plants regenerated from each transgenic line were assessed through Southern blot
3 analyzes. Only plants regenerated from lines showing a unique insertion were conserved for
4 further bioassays on abiotic stresses. Transgenic plants were cultivated in Gerber flasks at 26°C
5 on MS medium without growth regulators under a 12 h photoperiod ($70 \mu\text{M m}^{-2} \text{s}^{-1}$).

6

7 *Abiotic stresses experiments*

8 The objective was to understand the conditions of expression of the different haplotypes of the
9 *DREB1D* promoter under a representative range of the most common abiotic stresses. Before
10 applying the different stresses, transgenic plants were cultured in baby food jars in optimal
11 environmental conditions under a 12 h photoperiod ($70 \mu\text{M m}^{-2} \text{s}^{-1}$) at 26°C under 80% RH.
12 The drought, cold, heat, photo-oxidative and ABA stresses were performed as described below.
13 To minimize possible effects of circadian clock (subset of biological rhythms with period), all
14 experiments with the different stresses were started in the morning period at 10 a.m. after 2 h
15 of light period. All the stresses were applied during 12 h except ABA that was applied for longer
16 periods of 24 and 48 h. Phenotyping was performed at the end of these periods through
17 histochemical characterization. All experiments were carried out with the plants transformed
18 with the three different haplotypes and designed as follows: for each haplotype subjected to a
19 particular abiotic stress, four plants derived from independent transformation events were
20 studied, one plant per baby food jar representing an independent replicate.

21 *Drought stress:* Drought was mimicked by low (9%) relative humidity (RH). This atmosphere
22 was created using 500 mL of KOH supersaturate solution that was poured in the lower
23 compartment of a temporary immersion bioreactor (Matis®, CID Plastiques, France)
24 (Supplementary data, Fig. S1). Coffee transformed plants were placed in the upper
25 compartment over 55 mm Petri dishes having their upper part exposed to outside environment

1 and radicles immersed on MS medium with active charcoal (1 g L^{-1}) through a small hand-made
2 hole on the Petri dish cover (one plant per Petri dish). To avoid any water vapor exchange
3 between MS medium and outside bioreactor atmosphere, the hand-made hole was further closed
4 with high-vacuum silicone grease (Dow Corning®, Sigma) and Petri dishes were sealed with
5 plastic film. Batches of 10 plants were then placed inside the bioreactor in a resulting
6 atmosphere 9% RH for 12 h in the growth chamber with a 26°C temperature.

7 *Cold stress:* The baby food jars were directly transferred from the growth chamber with a 26°C
8 temperature into a cold chamber at 5°C for 12 h.

9 *Heat stress:* The baby food jars were quickly transferred from the growth chamber with a 26°C
10 temperature to a stove at 40°C for 12 h.

11 *Photo-oxidative stress:* The baby food jars were kept in the same culture chamber conditions,
12 but light intensity was suddenly increased from 70 to $200\ \mu\text{M m}^{-2}\text{ s}^{-1}$ without any change in the
13 spectrum. All the other culture parameters were unmodified. The plants were exposed for 12 h
14 to this high light before histochemical characterization.

15 *Abscisic acid:* The plants were transferred from the ‘M’ maturation medium into baby food jars
16 containing the same medium supplemented with 10^{-5} M ABA (Sigma Aldrich, St Louis, USA).
17 The baby food jars were maintained under the same environmental conditions for 24 or 48 h.

18

19 *GUS staining*

20 In view of histochemical characterization of expression patterns of *CcDREB1D* haplotype
21 promoters under abiotic stresses, GUS staining was performed after 12 h (drought, heat, cold
22 and photo oxidative), 24 and 48 h (ABA) in leaves, apical buds and roots of transgenic (four
23 biological repetitions for each of the three haplotypes) and control (pBI101- and pBI121-
24 transformed) coffee plants. The collected material was immersed in GUS staining solution (100
25 mM sodium phosphate buffer, pH 7.2, 10 mM sodium EDTA, 0.1% Triton X-100, and 1 mg

1 mL⁻¹ 5-bromo-4-chloro-3-indolyl-D-glucuronic acid [Sigma] and 2.5 mM potassium
2 ferrocyanidine), infiltrated by 10 min of vacuum, incubated at 37°C for 24 h, and then rinsed
3 with ethanol 70%.
4 Prior to observation the GUS-stained samples were fixed in fixative (50% methanol and 10%
5 acetic acid) at 4°C for 24 h. The tissues were rinsed with water and then dehydrated for 10 min
6 in 50% ethanol, 10 min in 70% ethanol and 10 min in 90% ethanol. After observation with a
7 Nikon binocular SMZ 1500 loupe, samples were embedded in 6% agarose for subsequent
8 sections in a Microm HM650V vibratome. For bright field microscopy observation, 50 µm-
9 thick leaf sections were examined using a DM600 Leica microscope (Leica Microsystems
10 GmbH, Wetzlar, Germany). Pictures were taken with a Retiga 2000R camera (G-Imaging Co.,
11 Wetzlar, Germany).

12

13 *Proportion of GUS-stained guard cells in abiotic stress assays*

14 For bright field microscopy observation, GUS-stained leaves were fixed in fixative (50%
15 methanol and 10% acetic acid) at 4°C for 24 h. The tissues were rinsed with water and incubated
16 for at least 3 days in clearing solution (chloral hydrate: glycerol: water solution (4:1:2, v/v/v)
17 to remove all leaf pigments. Prior to observation, tissues were rinsed with 70% ethanol and
18 assembled in microscope slides. Whole leaves were examined using a DM600 Leica
19 microscope (Leica Microsystems GmbH, Wetzlar, Germany). Pictures were taken with a Retiga
20 2000R camera (G-Imaging Co., Wetzlar, Germany). The proportion of GUS-stained guard cells
21 on the abaxial epidermis of coffee leaves was calculated to estimate the activity of *CcDREB1D*
22 promoter haplotypes. The proportion of GUS-stained guard cells (p) was obtained by $p = x/n$,
23 where x is the number of stained guard cells and n the total number of guard cells (= 150)
24 observed per leaf. These values were assessed in 24 x 36 mm areas distributed in six pre-

1 delimited leaf zones. For each pHP construction, four leaves from plants of 4 independent
2 transformation events were sampled for each abiotic stress.

3

4 *Gene expression assays*

5 The expression of *uidA* and *CaDREB1D* genes was checked in leaves of pHP16L-transformed
6 plants subjected to above described abiotic stresses. After 3 h of stress exposure, leaves were
7 collected, transferred in liquid nitrogen and then kept at -80°C until RNA extraction. Total RNA
8 was extracted from tissues ground in liquid nitrogen and treated as described by Breitler *et al.*
9 (2016). RNA quantification was performed using a NanoDrop™ 1000 Spectrophotometer
10 (Waltham, MA, USA). RNA quality and integrity were verified by the Agilent 2100
11 Bioanalyzer (Agilent Technologies) ensuring RIN values equal to and greater than 7.0. For
12 cDNA synthesis kit was used Taq® The One RT-qPCR (New England Biolabs, Ipswich, USA).

13

14 *Real-time RT-PCR assays*

15 Quantitative PCR was carried out with synthesized single-strand cDNA described above using
16 the protocol recommended for the use of 7500 Fast Real-Time PCR Systems (Applied
17 Biosystems, Foster City, CA, USA). cDNA preparations were diluted (1/20) and tested by
18 qPCR. Primers were designed using Primer Express software (Applied Biosystems) and
19 preliminarily tested for their specificity and efficiency against a mix of cDNA (data not shown).
20 PCR reactions were performed with 1 µL of diluted ss-cDNA and 0.2 µM (final concentration)
21 of each primer in a final volume of 10 µL with SYBR green fluorochrome (SYBRGreen qPCR
22 Mix-UDG/ROX, Invitrogen). The reaction mixture was incubated for 2 min at 50°C (Uracil
23 DNA-Glycosylase treatment), then 5 min at 95°C (inactivation of UDGase), followed by 40
24 amplification cycles of 3 s at 95°C and 30 s at 60°C (annealing and elongation). Data were
25 analyzed using 7500 Fast Software v2.0.6 (Applied Biosystems) to determine cycle

1 threshold (Ct) values. Specificity of the PCR products generated for each set of primers was
2 verified by analysing the T_m (dissociation) of amplified products. Gene expression levels were
3 normalized to expression level of *CaGAPDH* as a constitutive reference gene (de Carvalho *et*
4 *al.*, 2013). Expression was expressed as relative quantification by applying the formula $(1+E)^{-\Delta\Delta C_t}$,
5 where $\Delta C_{t_{target}} = C_{t_{target\ gene}} - C_{t_{reference\ gene}}$ and $\Delta\Delta C_t = \Delta C_{t_{target}} - \Delta C_{t_{internal\ calibrator}}$.

6

7 RESULTS

8 *GUS enzymatic activity regulated by the three DREB1D promoter haplotypes under a variety*
9 *of abiotic stresses*

10 The regulation of HP15, HP16 and HP17 promoter haplotypes of the *CcDREB1D* gene was
11 studied by analyzing β -glucuronidase (GUS) enzyme activity in leaves, apical buds and roots
12 of *C. arabica* transgenic plants subjected to different abiotic stresses (Fig. 2, Table 2). Whatever
13 the stress applied, strong GUS staining was observed in leaves and apical buds in the pBI121-
14 transformed coffee plants used as a positive control (see Supplementary file Fig. S2, Table 2).
15 In contrast, and whatever the abiotic stress conditions applied, no GUS activity was detected in
16 pBI101-transformed coffee plants (negative control).

17

18 *Drought stress*

19 Faint GUS staining was observed mainly around the secondary veins in leaves of pHP15L-
20 (Figs. 2 A1 and A7) and pHP16L-transformed coffee plants (Figs. 2 A3 and A9) subjected to
21 low RH. Under higher magnification, several GUS-stained guard cells were also observed in
22 leaves of these two transgenic lines. However, GUS activities were not detected in leaves of
23 pHP17L-transformed coffee plants (Figs. 2 A5 and A11). In apical buds, GUS staining was
24 stronger in pHP16L-transformed plants (Figs. 2 A4 and A10) than in those of plants
25 transformed by pHP15L (Figs. 2 A2 and A8). On the other hand, no GUS staining was observed

1 in leaves (Figs. 2 A5 and A11) and apical buds (Figs. 2 A6 and A12) of pHP17L-transformed
2 plants. Results are summarized in Table 2. For the three *CcDREB1D* promoter haplotypes, no
3 staining was observed in the roots.

4

5 *Cold stress*

6 No GUS staining was observed in leaves of pHP15L- (Figs. 2 B1 and B7) and pHP16L-
7 transformed plants (Figs. 2 B3 and B9) subjected to cold treatment. On the contrary, weak GUS
8 activity was noticed in leaves of pHP17L-transformed coffee (Figs. 2 B5 and B11). In apical
9 buds, faint GUS staining was observed in pHP15L- (Figs. 2 B2 and B8) and pHP17L-
10 transformed plants (Figs. 2 B6 and B12), while moderate GUS staining was detected in
11 pHP16L-transformed plants (Figs. 2 B4 and B10). For all *CcDREB1D* promoter haplotypes, no
12 GUS activities were observed in the roots as summarized in Table 2.

13

14 *Heat stress*

15 Whatever the *CcDREB1D* promoter haplotype, no GUS expression was observed in leaves
16 (Figs. 2 C1, C3, C5, C7, C9 and C11) and roots of transformed plants subjected heat stress
17 (Table 2). Moderate GUS staining was observed in the apical buds of pHP15L- (Figs. 2 C2 and
18 C8) and pHP16L- (Figs. 2 C4 and C10) transformed plants. Compared to these haplotype
19 responses, higher GUS staining was detected in the apical buds of pHP17L-transformed plants
20 (Figs. 2 C6 and C12).

21 *ABA assay*

22 After 24 h of ABA treatment, faint GUS staining was systematically observed in leaves of
23 pHP15L- (Figs. 2 D1 and D3), pHP16L- (Figs. 2 D3 and D9) and pHP17L- (Figs. 2 D5 and
24 D11) transformed coffee. GUS staining was considered as weak, medium and strong in apical
25 buds of pHP15L- (Figs. 2 D2 and D8), pHP16L- (Figs. 2 D4 and D10) and pHP17L- (Figs. 2

1 D6 and D12) transformed plants, respectively (Table 2). Strong GUS staining was also observed
2 in roots of pHP15L-transformed plants (Figs. 3 A and B), while no GUS activity was detected
3 in those of plants transformed by pHP16L and pHP17L constructions (Table 2). After 48 h of
4 ABA treatment, GUS staining was considered as weak in leaves of pHP16L-transformed plants
5 (Figs. 2 E3 and E9) and moderate in those of pHP15L-transformed plants (Figs. 2 E1 and E7).
6 In apical buds, moderate and strong GUS activities were observed in pHP15L- (Figs. 2 E2 and,
7 E8), and pHP16L-transformed plants (Figs. 2 E4 and E10), respectively. However, and
8 whatever the tissues tested, no GUS staining was detected in the pHP17L-transformed plants
9 (Figs. 2 E5, E6, E11 and E12). For the three *CcDREB1D* promoter haplotypes, no GUS staining
10 was detected in roots (Table 2).

11

12 *Photo-oxidative stress*

13 Under photo-oxidative stress, intense GUS staining was observed in apical buds, particularly
14 in pHP16L- (Figs. 2 F4 and F10) and pHP17L- (Figs 2 F6 and F12) transformed plants and to
15 a lesser extent, in those transformed by pHP15L (Figs. 2 F2 and F8). Weak GUS staining was
16 also observed in leaves of pHP15L- (Figs. 2 F1 and F7) and pHP17L- (Figs. 2 F5 and F11)
17 transformed plants while no GUS activities were observed in leaves of pHP16L-transformed
18 plants (Figs. 2 F3 and F9). As summarized in Table 2, GUS activities were not detected in roots
19 of all pHP-transformed plants.

20

21 *Proportion of GUS stained guard cells in leaves subjected to abiotic stresses*

22 In order to assess how HP15L, HP16L and HP17L *CcDREB1D* promoter haplotypes are
23 regulated, the activity of these sequences was evaluated by analyzing the proportion of GUS-
24 stained guard cells on leaf abaxial regions of pHP-transformed coffee plants subjected to
25 different abiotic stress. GUS-stained guard cells were mainly observed under low RH and ABA-

1 48 h treatments in the leaves of pHP15L- (Figs. 4A and D) and pHP16L- (Figs. 4B and E)
2 transformed coffee plants. In pHP17L-transformed plants, GUS-stained guard cells were
3 observed under cold (Fig. 4C) and photo-oxidative (Fig. 4F) treatments. As expected, a high
4 proportion of GUS-stained guard cells was observed in the leaves of pBI121-transformed coffee
5 plants (positive control, Fig. 4G). Moreover, no GUS-stained guard cells were observed in
6 pBI101-transformed plants and in untransformed (WT) coffee plants (negative controls, Figs.
7 4H and I), as well as in pHP-transformed plants under unstressed (control) conditions (Fig. 5).
8 However, a high proportion of GUS-stained guard cells was observed in pHP16L-transformed
9 plants, particularly under low RH (47%) and ABA (24 and 48 h, $\pm 20\%$) conditions, but also to
10 a lesser extend under photo-oxidative and cold treatments ($< 7\%$) (Fig. 5). In pHP17L-
11 transformed plants, highest proportions of GUS-stained guard cells were detected under cold
12 (13%) and photo-oxidative (8%) treatments. On the other hand, and whatever the stress
13 conditions, the proportion of GUS stained cells remained always relatively low ($< 6\%$) in
14 pHP15L-transformed plants. As a positive control, the proportion of GUS-stained guard cells
15 was always high ($> 80\%$) in pBI121-transformed plants while no GUS-stained guard cells
16 were detected in pBI101-transformed plants.

17

18 *RT-qPCR expression*

19 Since highest proportions of GUS-stained guard cells were observed for pHP16L-transformed
20 plants, these plants were used to check the leaf expression of *uidA* and *CaDREB1D* endogenous
21 genes under cold, heat, low RH, photo-oxidative and ABA (24 h) treatments by RT-qPCR
22 experiments (Fig. 6). Under unstressed conditions, *uidA* and *CaDREB1D* transcripts were
23 undetected. On the opposite, the transcripts of these two genes were highly accumulated under
24 cold and RH treatments. Compared to these two stress conditions, the up-regulated expression

1 of *uidA* and *CaDREB1D* observed under heat, photo-oxidative and ABA treatments was
2 considered as weaker.

3

4 **DISCUSSION**

5 Among the genes involved in the plant responses to stress conditions, the expression of many
6 of them was shown to be under the control of the *DREB* factor pathway (Zhou *et al.*, 2010; Lata
7 and Prasad, 2011). Despite the importance of these genes, a limited number of *DREB* promoters
8 were analyzed by transgenic approaches. Because functional characterization of *DREB1D*
9 promoter has neither been reported elsewhere, the main purpose of this work was to study the
10 regulation of three different promoter haplotypes of *CcDREB1D* coffee gene through their
11 capacity to control the expression of the *uidA* reporter gene in transgenic plants of *C. arabica*
12 subjected to most important abiotic stresses.

13 The results of GUS staining presented here indicated that the pHP15L, pHP16L and pHP17L
14 promoter haplotypes did not function in leaves, apical buds and roots of unstressed coffee
15 plants. However, blue-stained tissues were detected in transgenic coffee transformed by all
16 *DREB* promoter haplotypes when these plants were subjected to drought (mimicked by low
17 RH), cold, heat, photo-oxidative and ABA treatments. For example, strong GUS staining was
18 observed in roots of pHP15L-transformed coffee plants under 24 h of ABA treatment but also
19 in apical buds of pHP16L-transformed plants subjected to ABA (48 h) and drought, as well as
20 in those of pHP17L-transformed plants subjected to ABA (24 h), heat and photo-oxidative
21 stress treatments. These results are similar to those already reported for promoters *AtDREB1C*
22 (Zarka *et al.*, 2003), *AtDREB2C* (Chen *et al.*, 2012), *OsDREB1B* (Gutha and Reddy, 2008),
23 *GmDREB3* (Chen *et al.*, 2009), and *FeDREB1* (Fang *et al.*, 2015) showing that proximal
24 regions (up to 1.3-kb) harbored all *cis*-regulatory elements (CREs) essential to correctly
25 regulate the expression of *uidA* reporter gene in transgenic plants. Moreover, the fact that high

1 accumulation of the *uidA* transcripts in pHP16L-transformed plants under cold and drought was
2 concomitant with the increase of *CaDREB1D* endogenous transcripts also indicated that the
3 leaf transcriptional machinery of the allotetraploid *C. arabica* correctly recognized and
4 regulated the *CcDREB1D* promoter of *C. canephora* diploid species, therefore suggesting that
5 the molecular mechanisms for upstream regulation of *CcDREB1D* promoters and its ortholog
6 are conserved between closely related species (Rusconi *et al.*, 2013).

7 GUS staining results presented here also clearly highlighted the fact that HP15, HP16 and HP17
8 haplotypes responded in different manners regarding the same abiotic treatments and the
9 considered tissues. For example, GUS staining referred as medium and weak was observed in
10 leaves of pHP15L- and pHP16L-transformed plants subjected to 48 h of ABA and drought
11 conditions, respectively. On the other hand, GUS staining was noticed as medium and strong
12 in apical buds of the same plants and conditions. However, in both tissue and stress treatments,
13 GUS-stained guard cells were neither observed in pHP17L-transformed plants. For the three
14 *DREB1D* haplotypes, no GUS staining was detected in guard-cells of transformed coffee plants
15 under unstressed condition. However, the proportion of GUS-stained guard cells varies
16 regarding the *DREB1D* promoter haplotypes and stress conditions applied to transgenic coffee
17 plants. For example, the proportion of GUS-stained guard-cells was higher in pHP16L-
18 transformed plants subjected to drought but also to exogenous ABA (after both 24 h and 48 h
19 of treatment) than to that measured in pHP15L- and pHP17L-transformed plants. These results
20 were similar to those previously reported from the same plants by Alves *et al.* (2017) that
21 showed a higher proportion of GUS-stained guard cells in pHP16L- than in pHP15L- and
22 pHP17L-transformed coffee lines subjected to low water potential mimicked by PEG
23 (equivalent to -2.0 MPa). The different proportions of GUS-stained guard cells observed
24 between the HP15, HP16 and HP17 haplotypes during the different abiotic treatments clearly
25 revealed the differences of these *CcDREB1D* promoters in the fine-tuning of their regulation.

1 This increased proportion of GUS stained guard cells observed in pHP16L-transformed coffee
2 plants subjected to abiotic stress was also confirmed by analyzing the expression of the *uidA*
3 reporter gene. In that case, the amount of *uidA* transcripts clearly increased under cold and
4 drought (low RH) conditions, as well as (but to a lower extend) under 24h of ABA treatment.
5 Once again, these results are in accordance with those already reported with the same coffee
6 lines by Alves *et al.* (2017), showing a peak of *uidA* reporter gene in pHP16L-transformed
7 plants after 3 h of PEG treatment while *uidA* expression peaks were observed after 6 h and 12
8 h in pHP17L- and pHP15L-transformed plants, respectively. The results presented here in
9 pHP16L-transformed plants also showed that the *uidA* transcripts were barely detected after 3
10 h of heat and photo-oxidative treatments in pHP16L-transformed plants, therefore confirming
11 the low proportion (up to the absence) of GUS-stained guard cells under these treatments.
12 However, the low *uidA* gene expression observed after 3 h of ABA treatment did not match
13 with the relatively high proportion of GUS-stained stomata observed after 24 h and 48 h of
14 ABA treatment. The up-regulated expression of the *uidA* reporter occurring after 3 h of ABA
15 treatment could explain such a situation. In that sense, it is noteworthy that *Arabidopsis* genes
16 containing the *DREB1A/CBF3* motif in their promoter were mainly upregulated in after 6 h of
17 ABA treatment (Huang *et al.*, 2007).

18 Several guard cell-specific genes were already reported (Leonhardt *et al.*, 2004; Wang *et al.*,
19 2011; Virilouvet and Fromm, 2015). Interestingly, the guard cell transcriptome is particularly
20 rich in transcription factor-encoding genes such as *DREB*, *WRKY*, *MYB* and *MYC*, for example
21 (Hachez *et al.*, 2011; Bates *et al.*, 2012; Baldoni *et al.*, 2015). For a grand majority, functional
22 analyses of their promoters showed that expression was not strictly restricted to guard cells, but
23 guard cell-preferred, with expression often also observed in mesophyll cells, as well as in leaf
24 veins and trichomes, for example (Han *et al.*, 2013). However, guard cell-exclusive expression
25 was reported for *Arabidopsis* promoters of *GCI* (formally At1g22690 encoding a GASA9

1 gibberellin regulated cysteine rich protein), *CYP86A2* and *MYB60* genes (Galbiati *et al.*, 2008;
2 Yang *et al.*, 2008; Francia *et al.*, 2008; Cominelli *et al.*, 2011). In addition to its guard cell
3 specificity, the activity of *AtMYB60* promoter, but also of *VvMYB60* promoter from *Vitis*
4 *vinifera*, was also shown to be rapidly down-regulated by ABA (Cominelli *et al.*, 2011; Galbiati
5 *et al.*, 2011; Rusconi *et al.*, 2013). On the other hand, and even though its promoter was not
6 functionally characterized, *CYP86A2* gene expression was reported to be up-regulated by ABA
7 and dehydration treatments (Francia *et al.*, 2008). One of the characteristics of these guard-cell
8 specific promoters is that they share in common several CREs, at least clusters of [T/A]AAAG
9 DOF (DNA binding with One Finger)-binding site evidenced to drive guard cell-specific gene
10 expression (Plesch *et al.*, 2001; Cominelli *et al.*, 2011). Computational analyses also showed
11 that the classical G-box containing the classical ABA-regulated elements (ABRE)
12 BACGTGKM (where B=C/G/T, K=G/T and M=A/C) was overrepresented in guard cell
13 specific promoters ABA-upregulated but missed in guard cell specific promoters ABA-
14 downregulated (Leonhardt *et al.*, 2004; Wang *et al.*, 2011). Interestingly, the HP15, HP16 and
15 HP17 *CcDREB1D* promoter haplotypes all contained (in their long versions, L) three ABRE-
16 like and several DOF motifs (Alves *et al.*, 2017), together with MYC, MYB and ICE2 DNA
17 motifs all known in participating to drought-, cold- and abscisic acid-regulated gene expression
18 (Abe *et al.*, 2003; Fursova *et al.*, 2009).

19 To our knowledge, the results presented here are the first demonstrating guard-cell up-regulated
20 expression of a *DREB1D* promoter in response to ABA, cold and drought stresses, mainly in
21 pHP16L-transformed coffee plants. However, heat shock and high-light treatments appeared
22 less efficient in up-regulating the expression of this haplotype. The fact that *DREB* genes
23 responded in different manners to abiotic stress was already reported in the literature. For
24 example, the expression of *AtDREB1D* (also known as *AtCBF4*) was up-regulated under
25 drought but not by cold in *Arabidopsis* (Haake *et al.*, 2002). However, cold and drought up-

1 regulated the expression of *DREB1D* in *Vitis* sp. (Xiao *et al.*, 2008; Zandkarimi *et al.*, 2015)
2 and *Medicago truncatula* (Li *et al.*, 2011). The presence/absence in these promoters of CREs
3 specifically involved for each abiotic stress probably explain such responses (Abe *et al.*, 2003;
4 Fursova *et al.*, 2009).

5 The studies demonstrating haplotypes (or alleles) of a same promoter function differentially are
6 also very restricted in plants (de Meaux *et al.*, 2005; Takeshima *et al.*, 2016). In the present
7 work, the comparison of GUS staining in stomata guard cells, leaves, roots and apical buds
8 together with the results of *uidA* gene expression in pHP-transgenic plants clearly demonstrated
9 that the three *CcDREB1D* promoter haplotypes responded in different manners to abiotic stress.
10 For example, *uidA* gene expression after 24 h of ABA treatment was higher in pHP16L-
11 transformed plants than in pHP17L- and pHP15L-transformed plants. Similar observations
12 were made using the same transgenic lines when they were subjected to drought stress
13 mimicked by low RH (Alves *et al.*, 2017). Since the HP16 haplotype was isolated from D^T
14 clone 14 of *C. canephora*, this could explain why *CcDREB1D* gene expression was highly up-
15 regulated by drought in leaves of this clone but not in those of D^S clone 22 harboring HP15 and
16 HP17 haplotypes (Marraccini *et al.*, 2012). The fact that HP16 haplotype clustered genetically
17 to *CcDREB1D* haplotypes found in the drought-tolerant plants of Congolese sub-group 1 (SG1)
18 from *C. canephora* is also worth noting (Torres *et al.*, 2016). Since the sequences of ABRE
19 regulatory elements, as well as those of many other DNA boxes (e.g. dehydration-responsive
20 elements-DRE) are very conserved in HP15, HP16 and HP17 *CcDREB1D* promoter haplotypes,
21 it was proposed that single nucleotide polymorphisms (SNPs) and/or insertion/deletions
22 (INDELs) detected in these haplotypes close or between essential CREs might explain the
23 differences of regulation observed between these sequences.

24 In roots, water deficiency up-regulated the expression of *DREB1* genes in pine (Lorenz *et al.*,
25 2011), soybean (Ha *et al.*, 2015) and poplar (Cohen *et al.*, 2010). The fact that higher root-to-

1 shoot ratio or larger number and longer roots were observed in transgenic plants overexpressing
2 *DREB* genes also demonstrated the key role of these genes in root system development (Janiak
3 *et al.*, 2016). Interestingly, Pinheiro *et al.* (2005) showed that the D^T clones 14 of *C. canephora*
4 had higher root depth than D^S clones, therefore suggesting that root system architecture
5 contributed to drought tolerance in coffee. Even though no expression of *CcDREB1D* was
6 detected in roots of drought-stressed D^S and D^T clones of *C. canephora* (Costa *et al.*, to be
7 submitted), the results presented here clearly showed ABA-induced GUS staining in roots of
8 pH15L-transformed plants. The fact that drought up-regulates *CcNCED3* expression in roots of
9 *C. canephora* also suggests for a key role of ABA in these coffee tissues. Altogether, these
10 results presented here clearly highlighted the function of *CcDREB1D* promoter haplotypes in
11 regulating by different manners the expression of the *uidA* reporter gene in guard cells of
12 transgenic coffee plants, thereby suggesting a key role for this gene in controlling the molecular
13 responses to drought in coffee.

14

15 CONCLUSION

16 The specific and spatio-temporal expression of the *pCcDREB1D* occurred in plant
17 tissues/organs of transformed plants of *C. arabica*, and the specific activity of the promoter
18 *CcDREB1D* in guard cells of stomata during cold, heat, photo-oxidative, water stress and ABA
19 exogenous application were detected. The expression of *uidA* gene explicitly observed in cold
20 and drought conditions, and *CaDREB1D* gene also observed under the same conditions and
21 additional to the exogenous ABA conditions suggests that nucleic polymorphisms identified
22 among the different *DREB1D* promoter haplotypes interfere with the expression and perhaps
23 regulation of these sequences. RNA-Seq data are underway to confirm these histochemical
24 results.

25

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10

11 **FIGURE LEGENDS**

12 Figure 1 - Schematic representation of the *CcDREB1D* promoter constructions used to
 13 transform *C. arabica*. Plasmid names used for stable transformation of *C. arabica* are given for
 14 each construction, indicating the *CcDREB1D* promoter haplotype (HP) studied. The HP16L
 15 and HP17L haplotypes were cloned from D^T clone 14 and D^S clone 22 of *C. canephora*,
 16 respectively, while the HP15L was found in both clones. These promoters were amplified using
 17 the forward (L, white square), and reverse (R, black circle) primers and cloned in *Hind*III and
 18 *Bgl*II of digested pBI121 as previously described (Alves *et al.*, 2007). The pBI121
 19 (CaMV35S:*uidA*) and pBI101 (*uidA* promoterless gene) vectors were used as positive and
 20 negative controls for GUS expression, respectively.

21 Figure 2 - Histochemical localization of GUS activity in *C. arabica* var. Caturra transgenic
 22 plants transformed independently by *CcDREB1D* promoter haplotype constructions, named
 23 pHP15L, pHP16L and pHP17L, and subjected to low relative humidity (RH 9%) (A), cold (B),
 24 heat (C), ABA (D, 24 h; E, 48 h), and photo-oxidative (E) treatments. For each construction
 25 and stress condition, GUS staining performed in leaves and apical buds was analyzed by

1 binocular loupe (1-6, with bar scales of 3.0 mm for leaves and 1.5 mm for apical buds) and by
2 bright field microscopy of organs in longitudinal- or cross-sections (7-12, with bar scales of 80
3 μm for leaves and 300 μm for apical buds). These images correspond to the most representative
4 patterns of GUS staining observed for each pHP construction.

5 Figure 3 - Histochemical localization of GUS activity in roots of *CcDREB1D* promoter
6 haplotype HP15L (pHP15L)-transformed plants of *C. arabica* subjected ABA 10^{-5} M treatment
7 during 24 h. A: Binocular loupe image (bar = 1.5 mm). B: bright field microscopy of root tissues
8 in cross-section (bar = 40 μm). Tissue abbreviations: Rc: root cap; Ep: epidermis; Co: cortex;
9 Xy: xylem; Ph: phloem; Cv: vascular cylinder.

10 Figure 4 - Histochemical detection of GUS activity in guard cells of *CcDREB1D* promoter
11 haplotype (pHP)-transformed plants of *C. arabica* subjected to abiotic stresses. Stomata were
12 visualized by bright field microscopy on abaxial region of coffee leaves from pHP15L- and
13 pHP16L-transformed plants subjected to low relative humidity (RH 9%) (A, B) and ABA-48 h
14 (D, E) treatments and from leaves of pHP17L-transformed plants subjected to cold (C) and
15 photo-oxidative (F) treatments. Leaves of pBI121-transformed coffee plants subjected to low
16 relative humidity (G) were used as a positive control while those of pBI101-transformed (H)
17 and untransformed (I: WT, wild type) coffee plants subjected to low relative humidity were
18 used as negative controls. For each construction and stress condition, images correspond to the
19 most representative patterns of GUS staining. Bars represent 80 μm .

20 Figure 5 - Proportion of GUS stained guard cells in leaves of *CcDREB1D* promoter haplotype
21 (pHP)-transformed plants of *C. arabica* subjected to different abiotic stresses. The colors used
22 for each abiotic stress (cold, heat, RH 9%, photo-oxidative and ABA 24 h/48 h) are indicated
23 in the figure. The proportion of GUS stained guard cells in pBI121- and pBI101-transformed
24 coffee plants were used as positive and negative controls, respectively.

1 Figure 6 - Expression profiles of *uidA* and *CaDREB1D* genes in leaves of *CcDREB1D* promoter
2 haplotype HP16L (pHP16L)-transformed plants of *C. arabica* subjected to cold, heat, low
3 relative humidity (RH 9%), photo-oxidative and ABA (24 h) treatments. The expression was
4 analyzed by RT-qPCR using the GUS-F/R and DREB-F/R primer pairs (see Table 1) for *uidA*
5 (black isobars) and *CaDREB1D* (white isobars) genes, respectively. Expression values
6 corresponding to the mean of three biological and technical repetitions (\pm SD) are expressed in
7 arbitrary units (AU) using the expression of the *CaGAPDH* gene as control endogenous.
8 Reference samples (relative expression = 1) corresponded to *uidA* gene expression under photo-
9 oxidative and to *CaDREB1D* gene expression under unstressed condition.

10 Table 1 - List of primers used in this study. Primer pairs were used in RT-qPCR experiments
11 to determine gene expression levels of *uidA* (GUS-F/R) and *CcDREB1D* (DREB-F/R). The
12 primer pair GAPDH-F/R was used to amplify the transcripts of *CaGAPDH* (glyceraldehyde-3-
13 phosphate dehydrogenase) gene used as reference to standardize the results of RT-qPCR
14 experiments.

15 Table 2 - Specificity and intensity of GUS staining in T1 transgenic plants of *C. arabica*
16 transformed by pHP-constructions and subjected to different abiotic stresses. The intensity of
17 β -glucuronidase (GUS) enzymatic activity was checked in leaves (L), apical buds (A) and roots
18 (R), and evaluated as follows: unstained (-), weak (+), medium (++), strong (+++) and intense
19 (++++). Staining intensity was assessed from the observation of four biological repetitions for
20 each of the three *CcDREB1D* promoter haplotypes-transformed plants and control coffee plants
21 (pBI101- and pB121-transformed).

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1 **SUPPLEMENTARY DATA**

2 Supplementary data are available online at www.aob.oxfordjournals.org and consist of the
3 following figures:

4 Fig. S1: Transgenic plants of *C. arabica* var. Caturra subjected to a low relative humidity (RH
5 = 9%) in Matis@ bioreactors.

6 Fig. S2: GUS staining in transgenic plants of *C. arabica* var. Caturra transformed by pBI101
7 (negative control) and pBI121 (positive control) constructions and subjected to abiotic stres
8 (cold, heat, RH 9%, photo-oxidative and ABA 24 h/48 h).

1 **Table 1**

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Primers	Sequences
GUS-F	5' GCACTAGCGGGACTTTGCAA 3'
GUS-R	5' CGCGAAGCGGGTAGATATCA 3'
DREB-F	5' CAATGCCTGCAAAGCCAATTA 3'
DREB-R	5' TTTTCCTGCCTGCACGTTTC 3'
GAPDH-F	5' TTGAAGGGCGGTGCAA 3'
GAPDH-R	5' AACATGGGTGCATCCTTGCT 3'

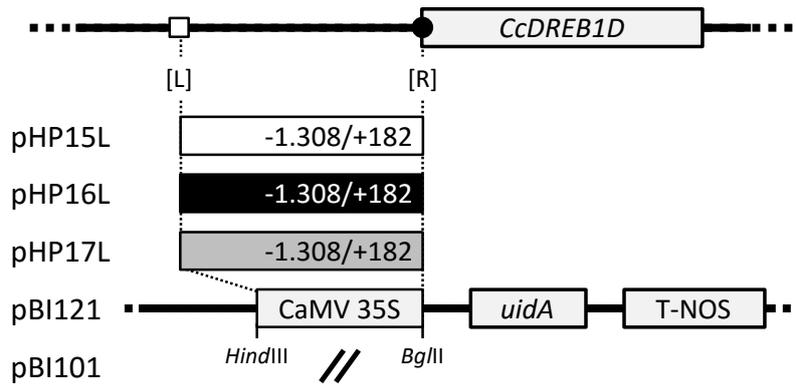
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1 **Table 2.**

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	Control			Cold			Heat			RH 9%			Photo-oxidative			ABA 24 h			ABA 48 h			
	L	A	R	L	A	R	L	A	R	L	A	R	L	A	R	L	A	R	L	A	R	
pBI101	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
pBI121	+++	++++	++	++++	++++	+++	+++	+++	+++	++++	++++	-	++++	++++	-	++++	++++	+++	++++	++++	+++	
pHP15L	-	-	-	-	+	-	-	++	-	++	++	-	+	+++	-	+	+	+++	++	++	-	
pHP16L	-	-	-	-	++	-	-	++	-	+	+++	-	-	+++	-	+	++	-	+	+++	-	
pHP17L	-	-	-	+	+	-	-	++	-	-	-	-	+	+++	-	+	+++	-	-	-	-	

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1 **Fig. 1**

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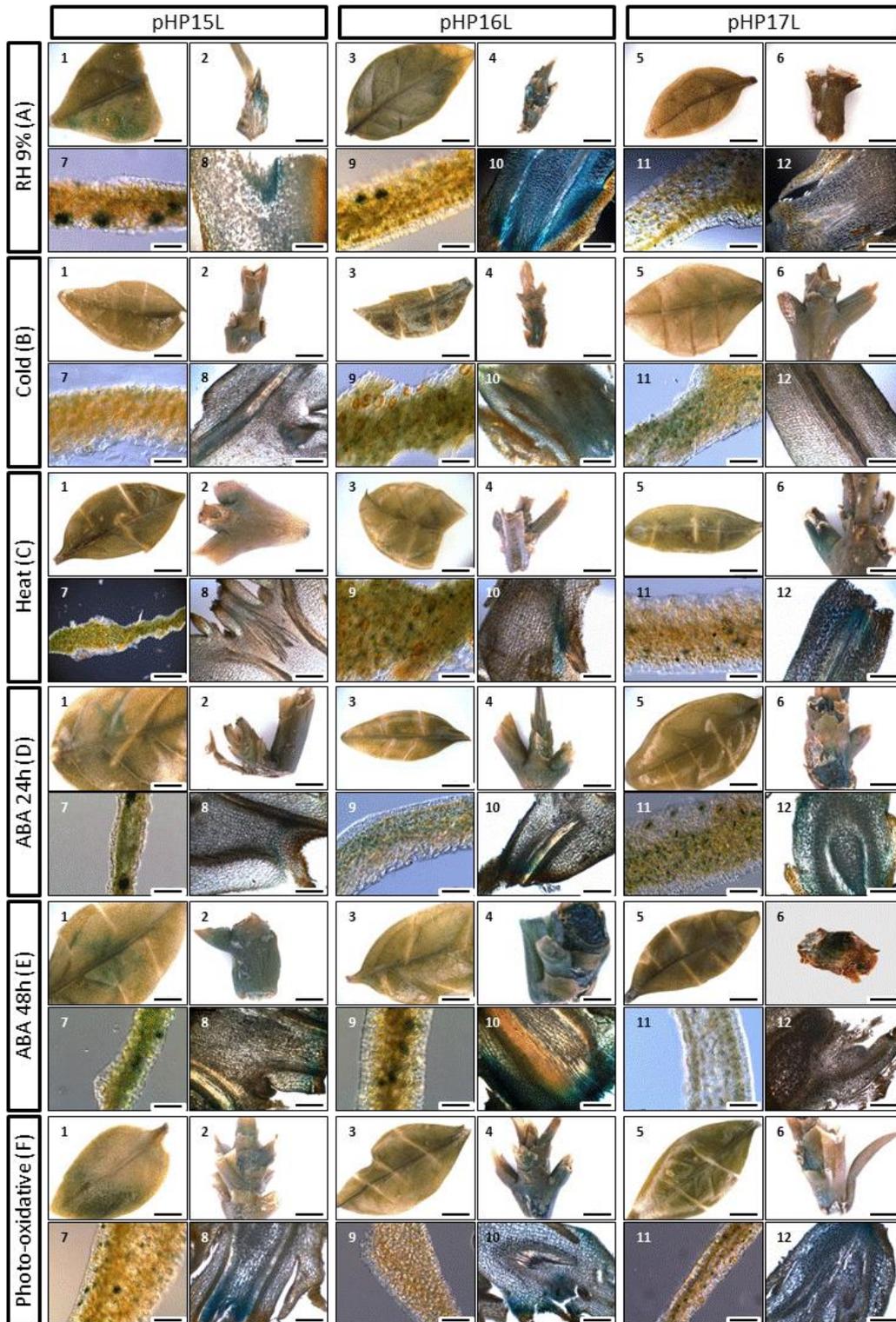
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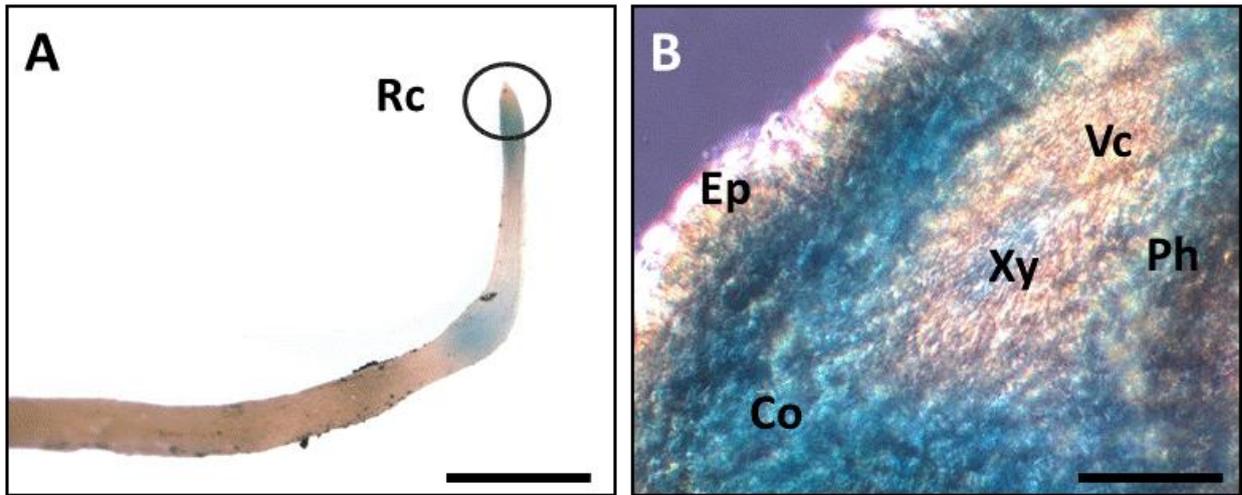
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1 **Fig. 3**

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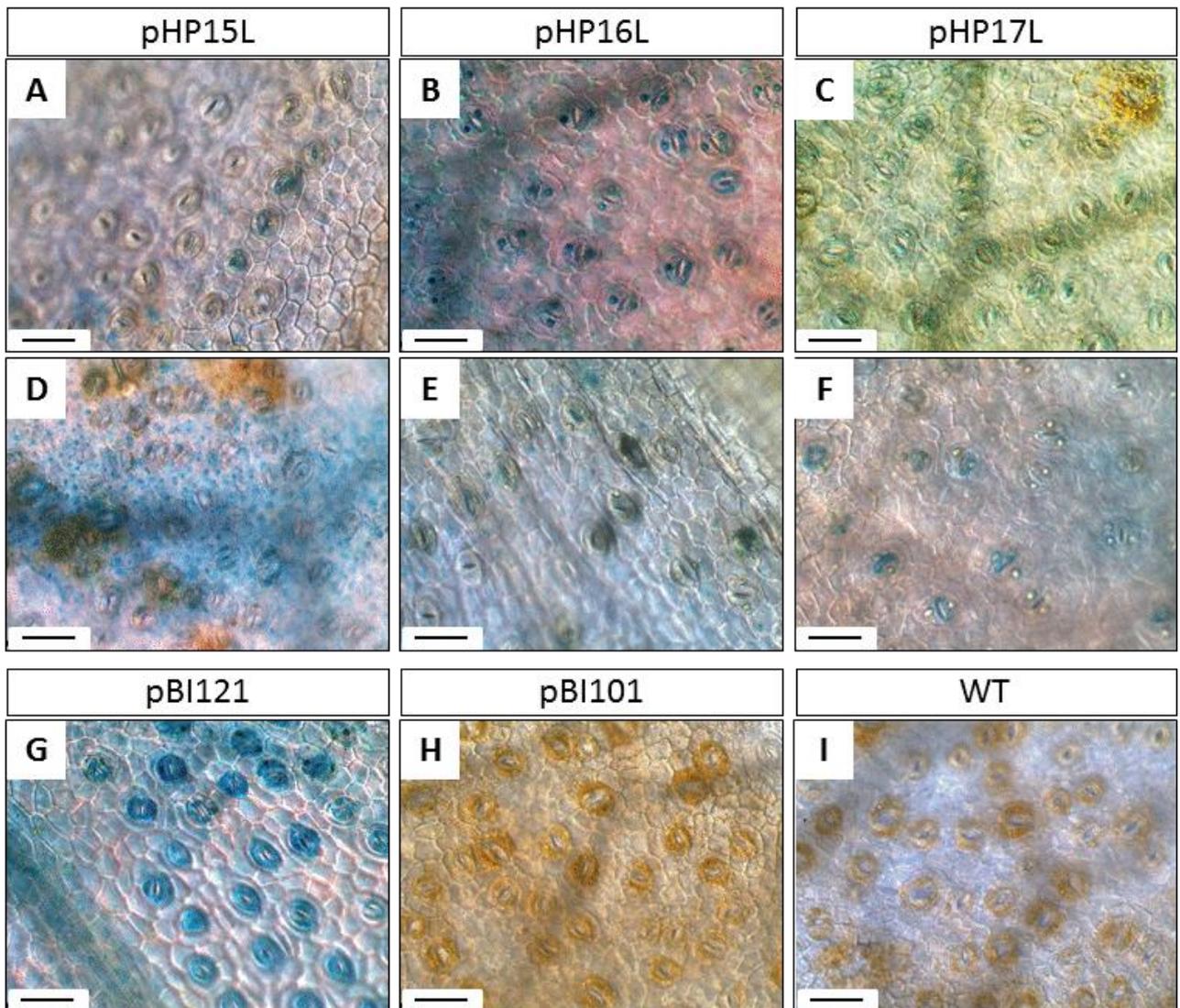
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1 **Fig. 4**

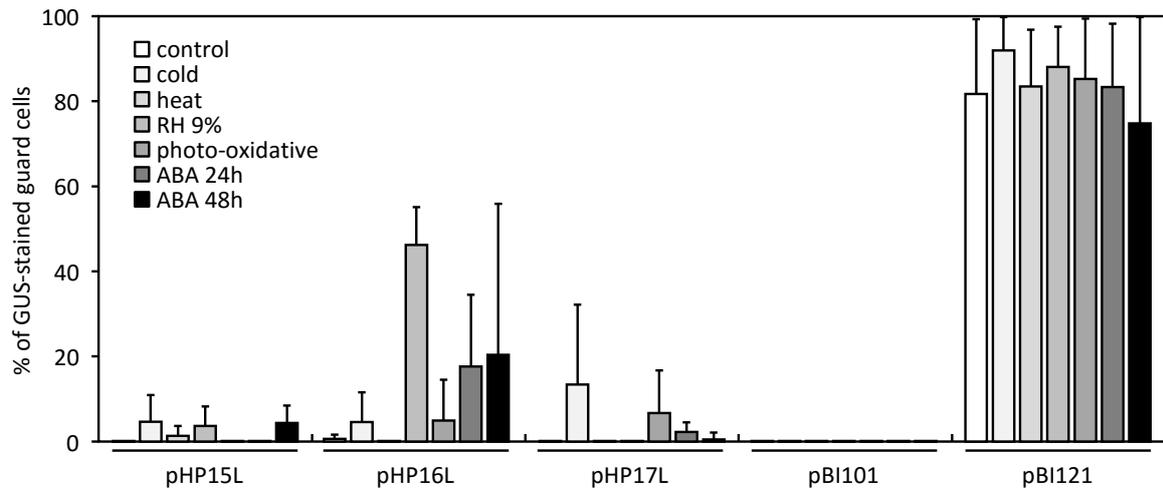
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1 **Fig. 5**

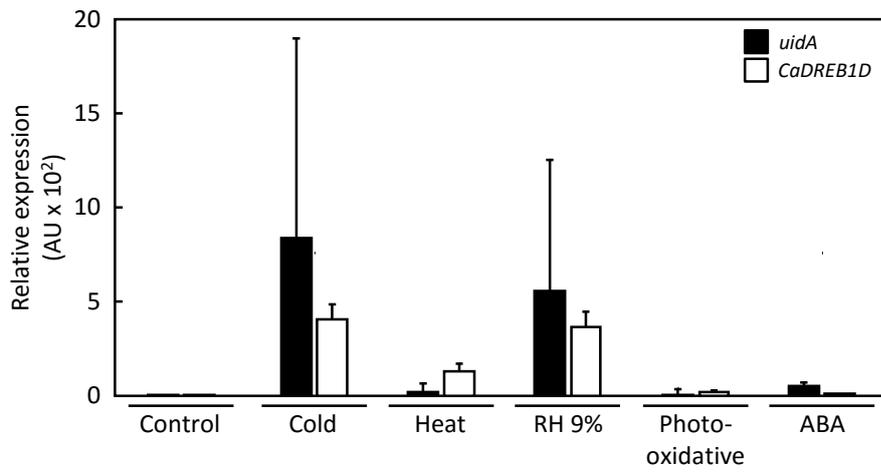
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1 **Fig. 6**

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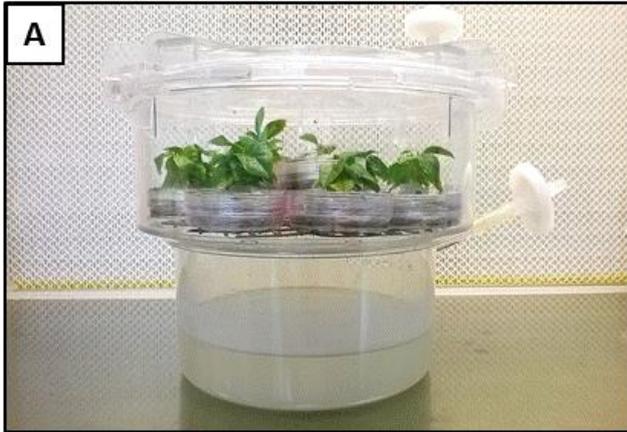
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1 **Supp. Fig.1**

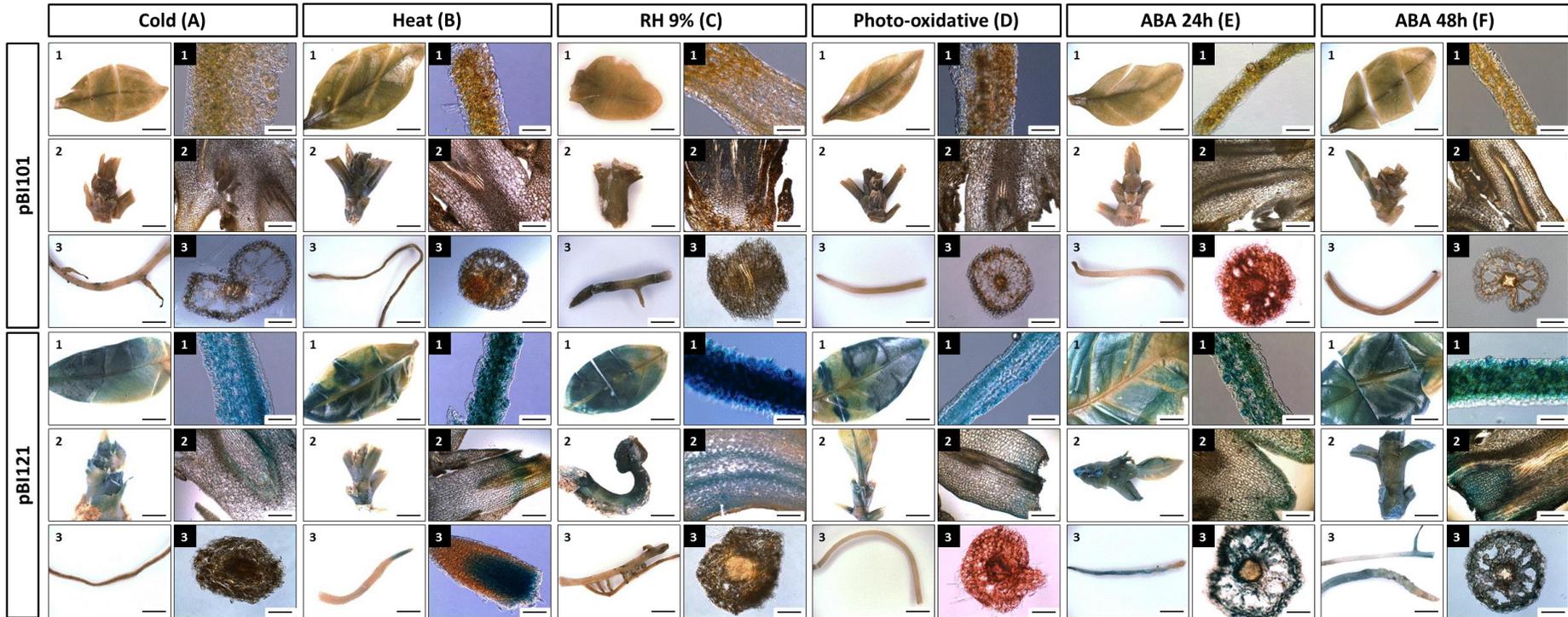


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1 Supp. Fig.2

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ARTICLE 3

Transcriptome analysis of *Coffea arabica* leaves reveals differentially expressed genes under several abiotic stresses

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Transcriptome analysis of *Coffea arabica* leaves reveals differentially expressed genes under several abiotic stresses

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ABSTRACT

Abiotic stress is one of the major factors that affect food production worldwide and in tropical countries, drought, salinity, extreme temperatures, nutrient deficiencies and mineral toxicities are among the most important limitants in crop yield. *DREB* transcription factors play important roles in regulating the expression of genes in response to a variety of abiotic and biotic stresses. The objective of the present work was to molecularly characterize arabica coffee plants transformed with the *CcDREB1D* promoter in order to list the genes induced under a representative range of abiotic stresses. In this way, the RNA-seq Illumina technique was used to understand the molecular responses of coffee plants under different abiotic stresses. Special attention was focused on genes belonging to the four subgroups of the *DREB* family. Using these data, candidate genes were selected and their differential expression profiles were confirmed by RT-qPCR experiments in leaves of arabica coffee var. Caturra under stress conditions, such as low and high temperature, photo oxidative, application of exogenous ABA (acid abscisic) and drought stress. The identification of these genes should help advance our understanding of the genetic determinism of abiotic stress tolerance in coffee. Furthermore, this work will open new avenues for studies into specific genes and pathways in this species, especially related to abiotic stress, and our data have a potential value in assisted breeding applications.

Keywords: *Coffea*. *DREB*. RNA Seq. Differentially expressed genes. Abiotic stresses.

INTRODUCTION

The coffee (*Coffea* sp.) is one of the most important crops in the world economy with cultivation in more than 70 countries, handling around 91 billion dollars a year. World production has estimated increase of 1,4% in production in 2015/16 compared to 2014/15, wherein 70.17 million bags of Arabica were exported in the twelve months

ending December 2015, compared to 68.96 million bags last year (International Coffee Organization – ICO, 2016). The Brazilian crop production of 2017 is estimated at 43.650,1 and 47.509,8 million 60kg bags of coffee (Companhia Nacional de Abastecimento - CONAB, 2017).

However, growth constraints and stress due to environmental changes result in reduced productivity and significant crop losses (Lata and Prasad 2011). Climatic variability has always been the main factor responsible for the fluctuation of coffee yields worldwide, and the climate change, as a result of global warming, is expected to present a major challenge to the coffee industry (Van Hilten 2011).

In recent years, *Coffea* ssp. has become the subject of increasing research in gene expression analysis (Nobile et al. 2010). However, few works have focused on selecting reliable reference genes for data normalization in studies involving coffee plants under different abiotic stress conditions (Cruz et al. 2009).

In the quest to find genetic factors working in concert of abiotic signaling pathways, various transcription factors have been discovered. Some of these important transcription factors respond to drought, low temperature and high salinity stress (Agarwal et al. 2006). Among these, the *DREB* transcription factors have gained much attention due to their involvement in the regulation of many stress-related genes that play an important role in cascading a response to environmental stimuli (Lata and Prasad 2011). Transformation of plants with *DREBs* is one of the preferred strategies to develop multiple abiotic stress tolerance. In response to abiotic stresses, this transcription factor up-regulate the expression of many stress-related genes, the products of which work in various ways confer protection (Khan 2011).

Gene expression analysis has been widely used as a method to study the complex signaling and metabolic pathways underlying cellular and developmental processes in biological organisms, including plants. Growing number of studies of expression levels of several genes in plants have been carried out in order to understand the cellular and molecular mechanisms involved in plant development and growth, as well as, in plant responses to biotic (pathogen infection) and abiotic (environmental) stresses (Dechorgnat et al. 2012). The gene expression analysis has been performed by using different methods such as, northern blotting, ribonuclease protection assay, RT-qPCR, DNA microarrays and next generation sequencing (NGS) technologies. These last three technologies in particular have gained a wider appeal for the quantification of gene expression (Velada et al. 2014).

In order to identify candidate genes involved in several abiotic stress in coffee plants, leaves from transgenic *C. arabica* harboring *CcDREB1D* promoter under different stress conditions, such as temperature, photo-oxidative, application of exogenous ABA (acid abscisic) and drought stress, were used to generate libraries that were sequenced using the Illumina technique. A reference full transcriptome was annotated and compared to identify the transcription profiles of differentially genes expressed under several abiotic stress conditions. Furthermore, expression of these genes were analysed by RT-qPCR in the leaves of these plants.

Therefore, the main objectives were to obtain a global overview of transcriptionally active genes in this species using next generation sequencing and to analyze specific genes that were highly expressed in leaves with potential exploratory characteristics for breeding and understanding the evolutionary biology of coffee.

MATERIALS AND METHODS

Plant materials

Embryogenic calli from *C. arabica* var. Caturra were obtained as described by Etienne (2005) and were placed in proliferation conditions during twelve months in view of selecting proembryogenic masses (PEMs) for *Agrobacterium*-mediated genetic transformation experiments. Transgenic lines of *C. arabica* var. Caturra were generated by *A. tumefaciens*-mediated transformation as previously described by Ribas et al. (2011). The construction used in this study contains full length version of haplotype 16 of *CcDREB1D* promoters of *C. canephora* fused to the *uidA* reporter gene, described by Alves et al. 2017.

Abiotic stresses experiments

Before applying the different stresses, transgenic plants were cultured in baby food jars in optimal environmental conditions under a 12 h photoperiod ($70 \mu\text{M m}^{-2} \text{s}^{-1}$) at 26°C under 80% RH. The drought, cold, heat, photo-oxidative and ABA stresses were performed as described below. To minimize possible effects of circadian clock (subset of biological rhythms with period), all experiments with the different stresses were started in the morning period at 10 a.m. after 2 h of light period. All experiments were carried out with plants transformed with the hp16L haplotype and designed as follows: for each haplotype subjected to a particular abiotic stress, four plants derived from independent

transformation events were studied, one plant per baby food jar representing an independent replicate.

Drought stress: Drought was mimicked by low (9%) relative humidity (RH). This atmosphere was created using 500 mL of KOH supersaturate solution that was poured in the lower compartment of a temporary immersion bioreactor (Matis®, CID Plastiques, France). Transformed coffee plants were placed in the upper compartment over 55 mm Petri dishes having their upper part exposed to outside environment and radicles immersed on MS medium with active charcoal (1 g L^{-1}) through a small hand-made hole on the Petri dish cover (one plant per Petri dish). To avoid any water vapor exchange between MS medium and outside bioreactor atmosphere, the hand-made hole was further closed with high-vacuum silicone grease (Dow Corning®, Sigma) and Petri dishes were sealed with plastic film. Batches of 10 plants were then placed inside the bioreactor in a resulting atmosphere 9% RH in the growth chamber with a 26°C temperature.

Temperature stress: The baby food jars were directly transferred from the growth chamber with a 26°C temperature into a cold chamber at 5°C and similarly, the baby food jars were quickly transferred from the growth chamber with a 26°C temperature to a stove at 40°C.

Photo-oxidative stress: The baby food jars were kept in the same culture chamber conditions, but light intensity was suddenly increased from 70 to 200 $\mu\text{M m}^{-2}\text{ s}^{-1}$ without any change in the spectrum. All the other culture parameters were unmodified.

Abscisic acid: The plants were transferred from the ‘M’ maturation medium into baby food jars containing the same medium supplemented with 10^{-5} M ABA (Sigma Aldrich, St Louis, USA).

***In silico* gene expression**

The expression of the pHP16L haplotype of the *CcDREB1D* promoter was analyzed through a transcriptomic approach under all the abiotic stresses described above. After a 3 h stress exposure period, leaves were collected, transferred to liquid nitrogen and then kept at -80°C until RNA extraction.

Total RNA was extracted from leaves frozen in liquid nitrogen which were further ground and treated as previously described (Breitler et al. 2016). RNA quantification was performed using a NanoDrop™ 1000 Spectrophotometer (Waltham, MA, USA). RNA quality and integrity were verified by the Agilent 2100 Bioanalyzer (Agilent Technologies) ensuring RIN values equal to and greater than 7.0.

The preparation of the mRNA library was done via the TruSeq Stranded mRNA Sample Preparation Kit from Illumina. The validation of the mRNA banks was performed by the quantification (concentration and size of the fragments) of the cDNAs in the Fragment Analyzer and by qPCR (ROCHE Light Cycler 480).

Sequencing was performed on the HiSeq 2500 platform (Illumina) through the SBS (Synthesis By Sequencing) technique by MGX - Montpellier Genomix (Montpellier, France). Base calling was performed by RTA software provided by Illumina.

Description of the sequencing

For the RNA-Seq experiment, three samples were used in biological triplicate for each stress condition tested. Sequence quality control was performed by the FastQC software and contaminant search in the FastQ Screen software (Bowtie2 aligner), which allowed the testing of the alignment of a large set of data from different genomes representing potential sources of contamination. Mapping was performed by the TopHat2 algorithm v2.0.13 (with Bowtie 2.2.3). Cufflinks and Cuffdiff was also performed, following the Tuxedo protocol for RNAseq analysis (ref), followed by the cummeRbund package of R, for the visualization of the results. The HTSeq-count (version 0.6.1p1) software was also used for counting aligned reads on the genes.

Statistical analyzes

The experiment consisted of six treatments (RH 9%, Cold 5°C, Heat 40°C, ABA 10^{-5} M, Photo-oxidative $200 \mu\text{mol.m}^{-2}\text{s}^{-1}$ and Control - no stress) with three biological triplicates for each. Among the six treatments, 15 comparisons were performed (2 to 2 comparison of each condition). To identify the differentially expressed genes, three R statistical software packages were used: EdgeR (Robinson et al. 2010), DESeq and DESeq2 (Anders and Huber 2010). The versions used were EdgeR 3.6.7, DESeq 1.16.0, DESeq2 1.4.5 and R 3.1.0.

Before the statistical analyzes, the data were normalized in order to correct bias errors. The normalization factor was calculated for each sample in order to adjust the

count of the number of reads per gene between the different samples. The standardization method used was RLE - Relative Log Expression.

Differential expression analysis

Differential expression analysis was performed from the raw read counts using the DESeq2 package (Anders and Huber 2010). Genes identified as differentially expressed in each abiotic stress tested were required to have at least twofold change and $p\text{-value} \leq 0.05$.

Additionally, GO functional enrichment analysis was performed using the identified differentially expressed genes and performing Fisher's exact test using AgriGO web resource (<http://bioinfo.cau.edu.cn/agriGO/>).

RT-qPCR assays

Based on DESeq2 results for differential expression, genes responding to drought stress and corresponding genes of each DREB subgroup in the arabica coffee leaves under the different abiotic stresses, besides the condition without stress, identified by the in silico analyses, were selected for expression analysis by RT-qPCR to validate the RNA-seq analysis. These genes were chosen based on a normalized \log_2 fold-change for each stress. The primers used are described in Table 1.

Quantitative PCR was carried out with synthesized single-strand cDNA described above using the protocol recommended for the use of 7500 Fast Real-Time PCR Systems (Applied Biosystems, Foster City, CA, USA). cDNA preparations were diluted (1/20) and tested by qPCR. Primers were designed using Primer Express software (Applied Biosystems) and preliminarily tested for their specificity and efficiency against a mix of cDNA (data not shown). qPCR was performed with 1 μL of diluted ss-cDNA and 0.2 μM (final concentration) of each primer in a final volume of 10 μL with 2,5 μL SYBR green fluorochrome (SYBRGreen qPCR Mix-UDG/ROX, Invitrogen). The reaction mixture was incubated for 2 min at 50°C (Uracil DNA-Glycosylase treatment), then 5 min at 95°C (inactivation of UDGase), followed by 40 amplification cycles of 3 s at 95°C and 30 s at 60°C (annealing and elongation). Data were analyzed using *7500 Fast Software v2.0.6* (Applied Biosystems) to determine cycle threshold (Ct) values. Specificity of the PCR products generated for each set of primers was verified by analysing the T_m (dissociation) of amplified products. Gene expression levels were normalized to expression level of

GaPDH as a constitutive reference (de Carvalho et al. 2013). Expression was expressed as relative quantification by applying the formula $(1+E)^{-\Delta\Delta Ct}$, where $\Delta Ct_{\text{target}} = Ct_{\text{target gene}} - Ct_{\text{reference gene}}$ and $\Delta\Delta Ct = \Delta Ct_{\text{target}} - \Delta Ct_{\text{internal calibrator}}$.

Table 1 - Efficiency of primer pairs in *Coffea arabica* leaf tissue selected for *in vivo* gene expression (RT-qPCR) analyzes. As an optimal efficiency range, values equal to or greater than 80% were considered.

PRIMER	SEQUENCE 5' – 3'	EFFICIENCY
<i>Cc10_g14150</i>	F – GCACAGCTCAACCTCCCAAA R - GCAAACCTCTGCATGGGAAGTG	100%
<i>Cc10_g14160</i>	F – GATAAAAAGTGGCCCCATGGA R - CCCCTTGGGATTATGCATTCT	100%
<i>Cc10_g04710</i>	F- TTCAACAAACTGCGGTGCAT R - CCAGGCGAGGAGGAGTAGAA	100%
<i>Cc10_g07460</i>	F – AGGGCTCACTTGGGCTCAAT R - TCGTCTGGAGGCTGAATCG	100%
<i>Cc08_g09520</i>	F – TCCCCATTATCGCCAAACAC R - TTGGACTCTGCATCCTGATTGT	80%
<i>Cc06_g12520</i>	F – GGTGGTCCAGTTGGAGAGTGA R - TGGGTGGCACAAAAAATCA	87%
<i>Cc10_g10960</i>	F – TCACCGGACTCACCATCTCA R - GGAGGATGATCAGGTGGTGAA	80%
<i>Cc07_g06220</i>	F – TGATGCCGTTCAAAGTTCCA R - CTGAGTCGGTGTTCATGGT	100%
<i>Cc08_g07780</i>	F – TGTCTTCGTGGCAAGAATGC R - GGTGGCGTATTAGATGCA	100%
<i>Cc02_g24810</i>	F – GCGAAGATCAATGGCAATGA R - CCCCTGTAGGTCGGATGCTT	100%
<i>Cc03_g07870</i>	F – TGCTCAAGGGCTATGATGCA R - TCAACGGAATGTGGCTTCCT	88%
<i>Cc04_g02760</i>	F – CGGCAACAAGCATCCAGTTT R - GAGCCATATGCGCGATTTT	99%
<i>Cc05_g06840</i>	F – GGCGAGAATGCTAGGCTCAA R - AGGGTCCGAGGCTGATGAAT	95%
<i>Cc06_g00780</i>	F – AGCAGCCACCAGAGAACCAA R - GCACCAACAGGATCGTCGAT	86%
<i>Cc02_g03420</i>	F – ATCACGACGGCATCCTCATT R - CCAGCCATATGCGCGTAGTT	100%
<i>Cc02_g03430</i>	F – ATGGATGAGGAGGCGGTTTT R - TCATCCCCAACTGCACATTG	92%
<i>Cc02_g05970</i>	F – GGGCAAATGGGTAGCTGAAA R - CCTCAACTGCAGTCCCAAATG	100%
<i>Cc07_g15390</i>	F – GTTCCAACCACAGCCACAT R - TTGAACCACCACCTGATTGCT	100%
<i>DHN - Dehydrin</i>	F – AAGGCGGGAGGAGGAAGAA	88%

	R - GTCCAGGTTGAGCCTCCTTGT	
<i>Gols - galactinol synthase</i>	F - CCCTTTGGTGGTTGCAGTTT	83%
	R - AGGCTCGATCTCCCGGACTATA	

RESULTS

The results obtained with the HTSeq-count software for the percentage of reads aligned in the genome are shown in Table 2. A reference full transcriptome was then built using *Coffea canephora* as the reference genome. Access to these data was facilitated by an integrative genome information system, Coffee Genome Hub (Dereeper et al. 2015).

Table 2 - Results obtained with HTSeq-count software. Gene count: The number of reads (or pairs of reads) aligned in the exons of a gene unambiguously. CB: number of raw clusters. CAF: number of clusters after the passage of the filter. Ambiguous: number of reads aligned in the exons of several genes (if genes overlap). Unique aligned: proportion of reads aligned in a single position (belonging to the three cases above) in relation to the number of clusters filtered. GUS: number of reads aligned on the glucuronidase sequence.

sample	gene counts	CB	CAF	ambiguous	% unique aligned	GUS
ABA	85.144.084	185.909.314	166.883.308	58.017	225,39	145
Heat	53.797.638	107.618.747	102.186.703	158.487	233,65	139
Cold	40.848.106	132.364.802	100.354.058	22.476	200,7	2.238
Light	72.475.771	116.148.903	110.498.961	50.100	248,23	17
Drought	65.431.098	166.800.702	125.956.111	56.503	222,44	2.514
No stress	64.443.466	127.640.697	114.016.870	40.780	229,66	27

Statistical analyzes

The number of sequences anchored in each contig (read counts) was subjected to differential expression analysis between the libraries using DEseq, DEseq2 and EdgeR software in the R/Bioconductor package. A unigene was considered as differentially expressed when it was identified in at least one software considering fold-change ≥ 2 (or foldchange ≤ -2) and/or p-value ≤ 0.05 . The Table 3 shows the numbers of differentially expressed genes reported (p-value < 0.05) in arabica coffee leaves for each comparasion and statistically tested. The analysis performed in the DESeq2 software was shown to be the most efficient to detect the differentially expressed genes in each comparison. We emphasize that only for the COLD vs NO STRESS comparison the most efficient analysis was using the EdgeR software. The results of the Cuffdiff analisys is shown in figure 1 and shows that it was also very efficient in detecting differential expression, among the conditions tested.

Table 3 - Number of differentially expressed genes by comparison between the treatments and the statistical analyzes tested.

Comparison	EdgeR	DESeq	DESeq2
ABA vs NO STRESS	1.672	960	2.217
COLD vs NO STRESS	1.141	146	812
DROUGHT vs NO STRESS	4.090	2.550	4.263
HEAT vs NO STRESS	7.144	4.754	8.008
LIGHT vs NO STRESS	1.930	782	2.099
ABA vs HEAT	7.654	5.253	8.815
ABA vs COLD	2.111	415	2.932
ABA vs LIGHT	1.894	939	2.066
ABA vs DROUGHT	4.784	3.758	6.096
HEAT vs COLD	6.871	3.139	7.556
HEAT vs LIGHT	7.871	5.427	8.847
HEAT vs DROUGHT	7.502	5.407	8.070
COLD vs LIGHT	3.686	640	4.726
COLD vs DROUGHT	4.142	1.106	4.449
LIGHT vs DROUGHT	5.772	4.133	6.422

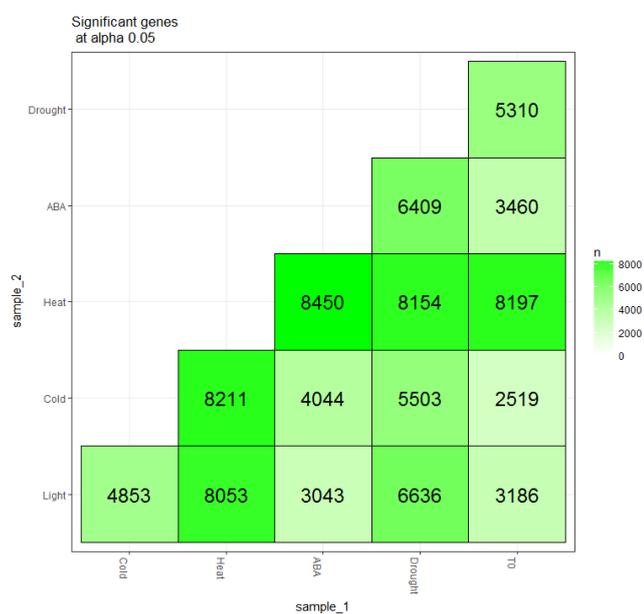


Figure 1 – Number of differentially expressed genes detected by the Cuffdiff analysis among the conditions tested.

The results of the *in silico* gene expression analysis (Table 3 and Figure 1) showed that more differentially expressed genes (DEG) in the comparisons with the control

condition, non stress (T0), was observed by the applied Heat and Drought stresses. ABA, Light and Cold had less DEG, respectively. The scatter-plot matrix of all comparisons, the MDS-Plot and a dendrogram clustering produced on the cuffdiff results also corroborates with these observations (Figure 2). Furthermore, results presented on figure 2 shows that the molecular responses observed upon ABA and light stresses were more similar than the other stresses applied.

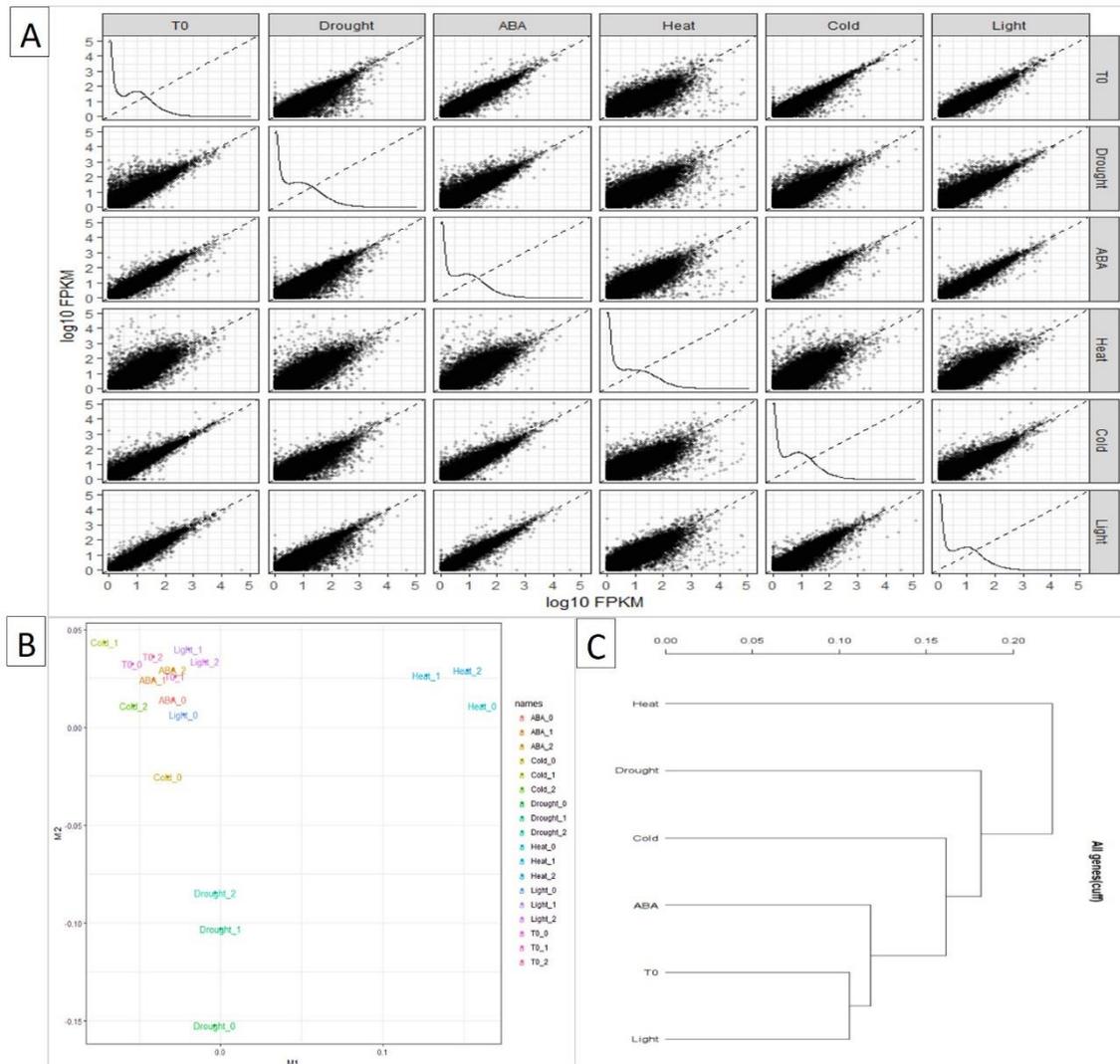


Figure 2 – Scatter-plot matrix (A), MDS-Plot (B) and a Dendrogram (C) of all comparisons of differentially expressed genes obtained with the Cuffdiff analysis, produce by the cummeRbund package.

In general, the total values of differentially expressed genes (p-value <0.05) are shown in figure 3, for the three types of statistical analyzes analyzed, without taking into account the comparisons. According to these results, data obtained by DESeq2 displayed greater efficiency in detecting differentially expressed genes.

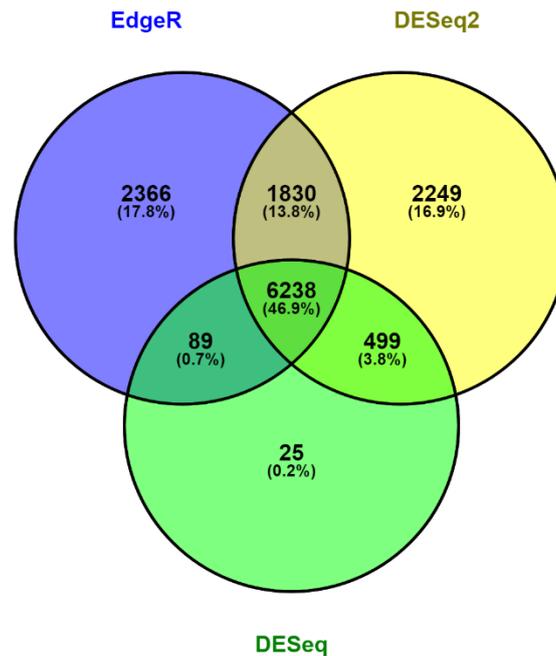


Figure 3 - Venn Diagram representing the number of differentially expressed genes in all abiotic stresses tested in the statistical analysis EdgeR, DESeq and DESeq2 in relation to the control treatment – No stress.

Clustering differential expression

The results obtained with Cuffdiff analysis was k-means clustered using the function “*cscluster*” of the *cummeRbund* package, which uses the Jensen-Shannon distance metric for the analysis. Figure 4 displays the results of this analysis using $k=5$. Interestingly, by using this tool, we have been able to identify differentially expressed genes that display an up-regulation upon heat stress (Cluster 1), cold (Cluster 4) and drought (Cluster 5), respectively (Figure 4), although with some cross-talks between some conditions could also be observed. In addition, Cluster 2, seems to be specifically down-regulated upon heat-stress. The Cluster 3 pattern displays a set of genes that seems to do not respond consistently to any of the abiotic stress condition applied in our work.

A heat-map of the expression levels of a 50 genes set from the three identified clusters (Clusters 1, 4 and 5) is shown on figure 5, 6 and 7, respectively. Results displayed on figure 5-7, corroborate with the almost specific up-regulation in function of the applied abiotic stress. The list of these genes is presented on Tables 4, 5 and 6.

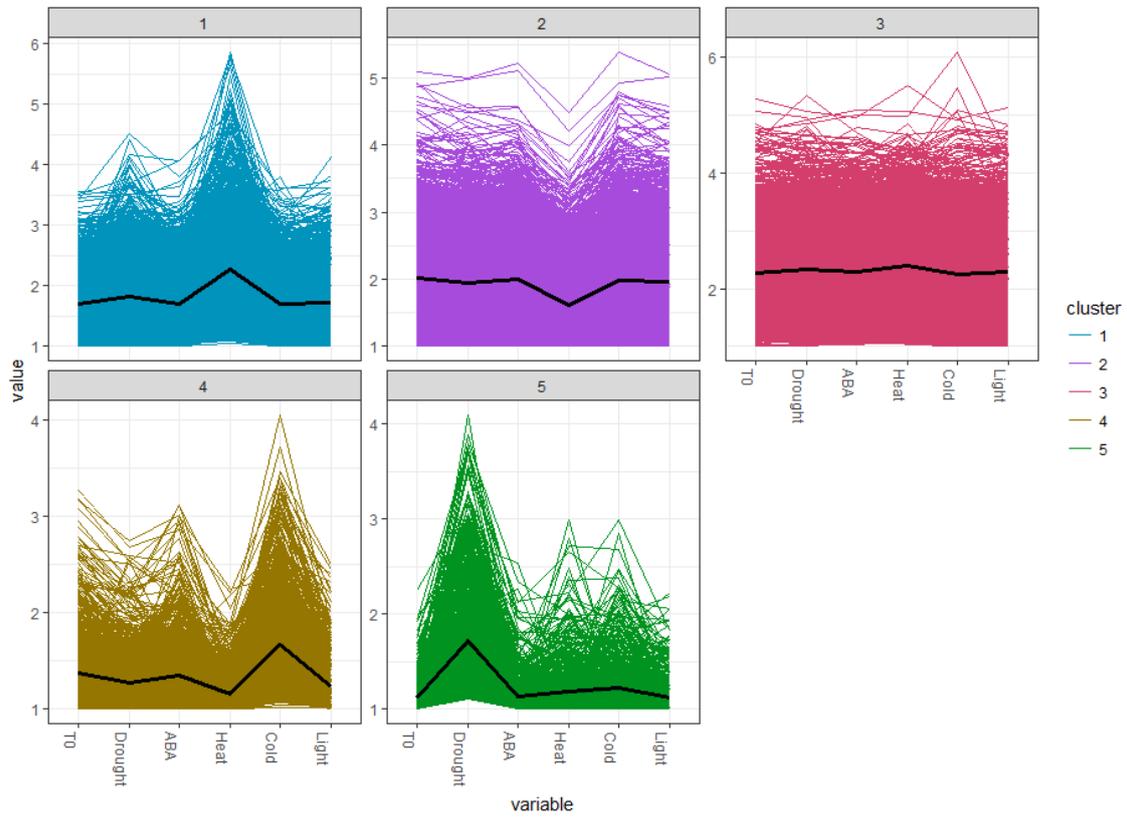


Figure 4 – Clustering results of the Cuffdiff data obtained with the *ccluster* function of the *cummeRbund* package which the Jensen-Shannon distance metric for the analysis.

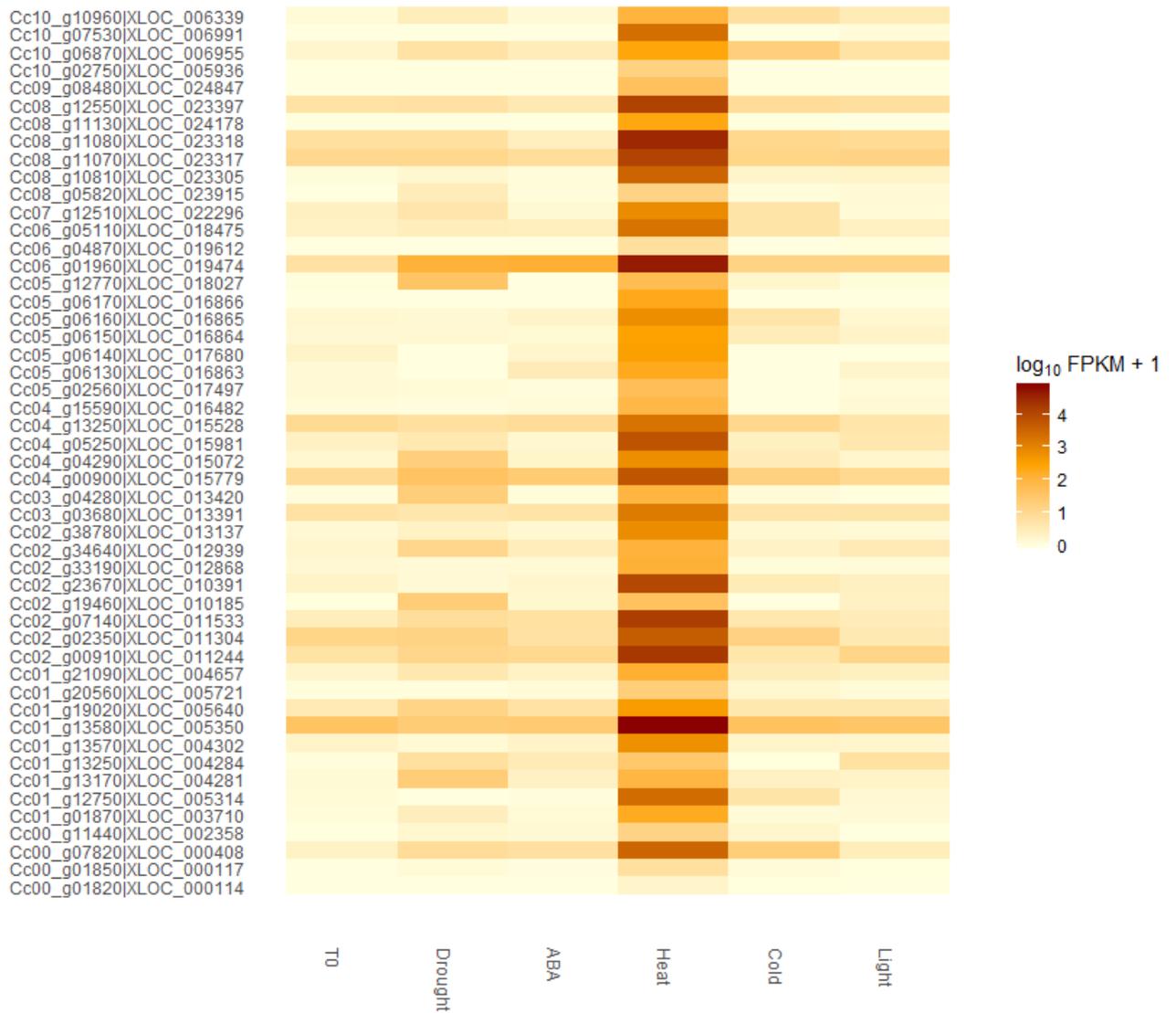


Figure 5 – Heat-map of the expression levels of a set of 50 genes from Cluster 1.

Table 4 – Genes up-regulated upon heat stress (Cluster 1).

	BLAST <i>C. canephora</i> genome	<i>C. arabica</i> gene	Annotation <i>C. canephora</i> genome
XLOC_006991	<i>Cc10_g07530</i>	GSCOCP00034510001	gi 125620180 gb ABN46982.1 small molecular heat shock protein 1... 120 7e-26
XLOC_023305	<i>Cc08_g10810</i>	GSCOCP00027060001	gi 406047594 gb AFS33109.1 heat stress transcription factor A2 ... 397 e-108
XLOC_010391	<i>Cc02_g23670</i>	GSCOCP00015069001	gi 297739445 emb CBI29627.3 unnamed protein product [Vitis vini... 228 6e-58
XLOC_019474	<i>Cc06_g01960</i>	GSCOCP00023436001	gi 356521398 ref XP_003529343.1 PREDICTED: 17.5 kDa class I hea... 174 6e-42
XLOC_005314	<i>Cc01_g12750</i>	GSCOCP00028220001	gi 255585824 ref XP_002533590.1 heat-shock protein, putative [R... 211 5e-53
XLOC_011533	<i>Cc02_g07140</i>	GSCOCP00009477001	gi 225449270 ref XP_002280821.1 PREDICTED: 18.2 kDa class I hea... 242 2e-62
XLOC_023318	<i>Cc08_g11080</i>	GSCOCP00027100001	***** No hits found *****
XLOC_015981	<i>Cc04_g05250</i>	GSCOCP00022272001	gi 255543359 ref XP_002512742.1 heat shock protein, putative [R... 1113 0.0
XLOC_011244	<i>Cc02_g00910</i>	GSCOCP00019892001	gi 255582541 ref XP_002532054.1 heat shock protein, putative [R... 227 2e-57
XLOC_024847	<i>Cc09_g08480</i>	GSCOCP00020811001	gi 224072528 ref XP_002303771.1 predicted protein [Populus tric... 148 2e-33
XLOC_000408	<i>Cc00_g07820</i>	GSCOCP00007023001	gi 297734525 emb CBI15772.3 unnamed protein product [Vitis vini... 134 6e-30
XLOC_023397	<i>Cc08_g12550</i>	GSCOCP00030466001	gi 299891471 gb ADJ57588.1 mitochondrial small heat shock prote... 199 5e-49
XLOC_005350	<i>Cc01_g13580</i>	GSCOCP00028328001	gi 351725131 ref NP_001235546.1 uncharacterized protein LOC1005... 241 5e-62
XLOC_018475	<i>Cc06_g05110</i>	GSCOCP00043044001	gi 225441008 ref XP_002283685.1 PREDICTED: uncharacterized prot... 132 2e-29
XLOC_005936	<i>Cc10_g02750</i>	GSCOCP00024783001	gi 224059052 ref XP_002299693.1 predicted protein [Populus tric... 72 3e-10
XLOC_013137	<i>Cc02_g38780</i>	GSCOCP00027812001	gi 224064112 ref XP_002301387.1 predicted protein [Populus tric... 290 2e-75
XLOC_002358	<i>Cc00_g11440</i>	GSCOCP00012461001	gi 377806997 ref YP_005090412.1 orf2 gene product (mitochondrio... 335 9e-90
XLOC_023317	<i>Cc08_g11070</i>	GSCOCP00027098001	gi 359476259 ref XP_002279425.2 PREDICTED: putative methyltrans... 627 e-177
XLOC_015072	<i>Cc04_g04290</i>	GSCOCP00022152001	gi 225442975 ref XP_002267925.1 PREDICTED: 26.5 kDa heat shock ... 228 1e-57
XLOC_016865	<i>Cc05_g06160</i>	GSCOCP00042095001	gi 388506148 gb AFK41140.1 unknown [Medicago truncatula] 110 1e-22
XLOC_003710	<i>Cc01_g01870</i>	GSCOCP00019259001	gi 359482368 ref XP_003632762.1 PREDICTED: pre-mRNA-processing-... 4561 0.0
XLOC_015779	<i>Cc04_g00900</i>	GSCOCP00021718001	gi 356520108 ref XP_003528707.1 PREDICTED: 17.4 kDa class III h... 187 5e-46
XLOC_013420	<i>Cc03_g04280</i>	GSCOCP00026138001	gi 255552051 ref XP_002517070.1 Heat shock factor protein HSF30... 364 1e-98

XLOC_004302	<i>Cc01_g13570</i>	GSCOCP00028327001	gi 629669 pir S39507 glucuronosyl transferase homolog, ripening... 517 e-144
XLOC_016864	<i>Cc05_g06150</i>	GSCOCP00042094001	gi 225447661 ref XP_002275421.1 PREDICTED: uncharacterized prot... 86 3e-15
XLOC_016863	<i>Cc05_g06130</i>	GSCOCP00042092001	gi 225447661 ref XP_002275421.1 PREDICTED: uncharacterized prot... 86 2e-15
XLOC_022296	<i>Cc07_g12510</i>	GSCOCP00036863001	gi 147852346 emb CAN80115.1 hypothetical protein VITISV_032527 ... 1048 0.0
XLOC_010185	<i>Cc02_g19460</i>	GSCOCP00014514001	gi 296083098 emb CBI22502.3 unnamed protein product [Vitis vini... 493 e-137
XLOC_016482	<i>Cc04_g15590</i>	GSCOCP00042332001	gi 297734029 emb CBI15276.3 unnamed protein product [Vitis vini... 794 0.0
XLOC_018027	<i>Cc05_g12770</i>	GSCOCP00021147001	***** No hits found *****
XLOC_011304	<i>Cc02_g02350</i>	GSCOCP00020088001	gi 1708314 sp P51819.1 HSP83_IPONI RecName: Full=Heat shock prot... 1160 0.0
XLOC_006339	<i>Cc10_g10960</i>	GSCOCP00016651001	gi 358248764 ref NP_001239936.1 ethylene-responsive transcripti... 124 2e-26
XLOC_023915	<i>Cc08_g05820</i>	GSCOCP00024373001	gi 359475200 ref XP_002282080.2 PREDICTED: B3 domain-containing... 268 1e-69
XLOC_006955	<i>Cc10_g06870</i>	GSCOCP00034602001	gi 3759184 dbj BAA33810.1 phi-1 [Nicotiana tabacum] 494 e-137
XLOC_017680	<i>Cc05_g06140</i>	GSCOCP00042093001	gi 225447663 ref XP_002275464.1 PREDICTED: uncharacterized prot... 80 2e-13
XLOC_004281	<i>Cc01_g13170</i>	GSCOCP00028280001	gi 42761378 dbj BAD11646.1 FtsJ cell division protein-like [Ory... 63 3e-08
XLOC_013391	<i>Cc03_g03680</i>	GSCOCP00026065001	gi 224099789 ref XP_002311619.1 predicted protein [Populus tric... 967 0.0
XLOC_019612	<i>Cc06_g04870</i>	GSCOCP00043012001	gi 297738679 emb CBI27924.3 unnamed protein product [Vitis vini... 56 4e-06
XLOC_012868	<i>Cc02_g33190</i>	GSCOCP00015336001	gi 386685665 gb AFJ20202.1 putative luminal-binding protein [Vi... 1055 0.0
XLOC_024178	<i>Cc08_g11130</i>	GSCOCP00027106001	gi 5257560 gb AAD41409.1 AF159562_1 cytosolic class II low molec... 183 1e-44
XLOC_015528	<i>Cc04_g13250</i>	GSCOCP00018903001	gi 225457237 ref XP_002284179.1 PREDICTED: chaperone protein Cl... 1604 0.0
XLOC_016866	<i>Cc05_g06170</i>	GSCOCP00042096001	gi 118485443 gb ABK94578.1 unknown [Populus trichocarpa] 45 0.004
XLOC_004284	<i>Cc01_g13250</i>	GSCOCP00028289001	gi 225434873 ref XP_002283159.1 PREDICTED: uncharacterized prot... 279 7e-73
XLOC_000117	<i>Cc00_g01850</i>	GSCOCP00002930001	***** No hits found *****
XLOC_004657	<i>Cc01_g21090</i>	GSCOCP00005023001	gi 41353548 gb AAS01337.1 ERF-like transcription factor [Coffea... 629 e-178
XLOC_000114	<i>Cc00_g01820</i>	GSCOCP00002920001	***** No hits found *****
XLOC_005721	<i>Cc01_g20560</i>	GSCOCP00015918001	gi 359479814 ref XP_002268578.2 PREDICTED: weel-like protein ki... 644 0.0
XLOC_005640	<i>Cc01_g19020</i>	GSCOCP00016112001	gi 225437154 ref XP_002280618.1 PREDICTED: heat stress transcri... 432 e-119
XLOC_017497	<i>Cc05_g02560</i>	GSCOCP00020936001	gi 297744323 emb CBI37293.3 unnamed protein product [Vitis vini... 61 8e-08
XLOC_012939	<i>Cc02_g34640</i>	GSCOCP00000723001	gi 225450647 ref XP_002278369.1 PREDICTED: GATA transcription f... 114 5e-24

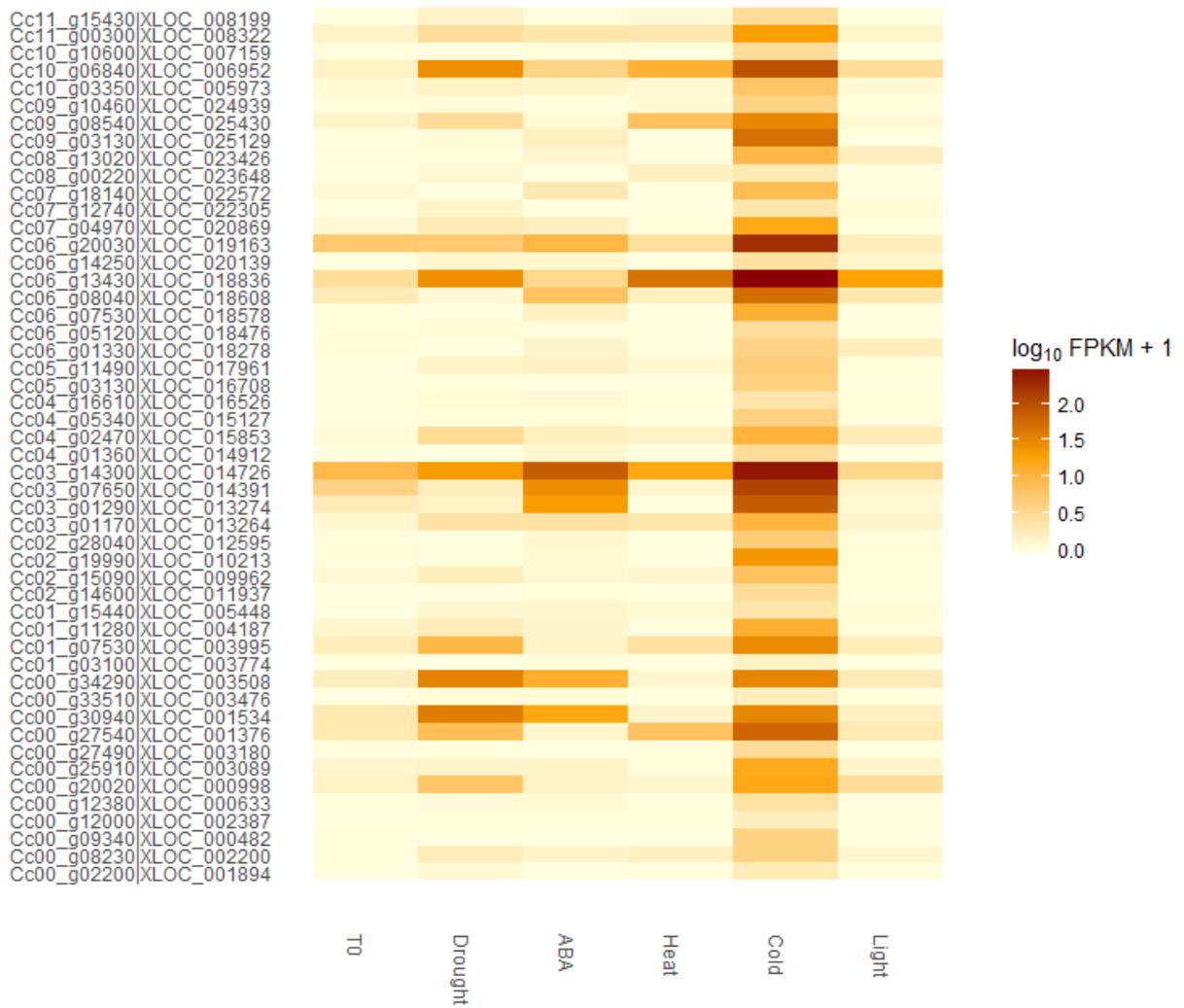


Figure 6 – Heat-map of the expression levels of a set of 50 genes from Cluster 4.

Table 5 – Genes up-regulated upon cold stress (Cluster 4).

	BLAST <i>C. canephora</i> genome	<i>C. arabica</i> gene	Annotation <i>C. canephora</i> genome
XLOC_025129	<i>Cc09_g03130</i>	GSCOCP00017586001	gi 237899560 gb ACR33100.1 putative tea geometrid larvae-induci... 584 e-164
XLOC_010213	<i>Cc02_g19990</i>	GSCOCP00014579001	gi 225429027 ref XP_002267686.1 PREDICTED: uncharacterized prot... 66 2e-09
XLOC_006952	<i>Cc10_g06840</i>	GSCOCP00034605001	gi 225459247 ref XP_002285762.1 PREDICTED: uncharacterized prot... 452 e-125
XLOC_018578	<i>Cc06_g07530</i>	GSCOCP00031250001	gi 356530607 ref XP_003533872.1 PREDICTED: probable flavin-cont... 674 0.0
XLOC_017961	<i>Cc05_g11490</i>	GSCOCP00021309001	gi 147768650 emb CAN71664.1 hypothetical protein VITISV_011991 ... 294 2e-77
XLOC_023426	<i>Cc08_g13020</i>	GSCOCP00030396001	gi 255555789 ref XP_002518930.1 Glucan endo-1,3-beta-glucosidas... 164 7e-39
XLOC_018836	<i>Cc06_g13430</i>	GSCOCP00041399001	gi 401466646 gb AFP93557.1 xyloglucan endotransglycosylase/hydr... 405 e-111
XLOC_011937	<i>Cc02_g14600</i>	GSCOCP00013876001	gi 255563090 ref XP_002522549.1 conserved hypothetical protein ... 769 0.0
XLOC_016708	<i>Cc05_g03130</i>	GSCOCP00033505001	gi 357462217 ref XP_003601390.1 Endoglucanase [Medicago truncat... 775 0.0
XLOC_015127	<i>Cc04_g05340</i>	GSCOCP00022284001	gi 356564882 ref XP_003550676.1 PREDICTED: AP2-like ethylene-re... 257 1e-66
XLOC_020869	<i>Cc07_g04970</i>	GSCOCP00039758001	gi 359488343 ref XP_002283020.2 PREDICTED: bifunctional dihydro... 439 e-121
XLOC_013274	<i>Cc03_g01290</i>	GSCOCP00025735001	gi 359477157 ref XP_002273790.2 PREDICTED: major allergen Pru a... 207 8e-52
XLOC_020139	<i>Cc06_g14250</i>	GSCOCP00041282001	gi 255545130 ref XP_002513626.1 conserved hypothetical protein ... 163 2e-38
XLOC_015853	<i>Cc04_g02470</i>	GSCOCP00021919001	gi 147790299 emb CAN69978.1 hypothetical protein VITISV_011280 ... 164 8e-38
XLOC_025430	<i>Cc09_g08540</i>	GSCOCP00020824001	gi 224152016 ref XP_002337181.1 predicted protein [Populus tric... 427 e-117
XLOC_022305	<i>Cc07_g12740</i>	GSCOCP00036837001	gi 296084381 emb CBI24769.3 unnamed protein product [Vitis vini... 433 e-119
XLOC_008199	<i>Cc11_g15430</i>	GSCOCP00038054001	gi 224102455 ref XP_002312684.1 predicted protein [Populus tric... 189 2e-46
XLOC_003774	<i>Cc01_g03100</i>	GSCOCP00019030001	gi 224119134 ref XP_002331333.1 cytochrome P450 [Populus tricho... 533 e-149
XLOC_001376	<i>Cc00_g27540</i>	GSCOCP00000280001	gi 297802938 ref XP_002869353.1 hypothetical protein ARALYDRAFT... 52 4e-05
XLOC_018608	<i>Cc06_g08040</i>	GSCOCP00031181001	gi 224087088 ref XP_002308060.1 predicted protein [Populus tric... 142 8e-32
XLOC_014912	<i>Cc04_g01360</i>	GSCOCP00021784001	gi 14269343 gb AAK58023.1 AF377310_1 recombinant myb-like transc... 241 9e-62
XLOC_003476	<i>Cc00_g33510</i>	GSCOCP00006255001	gi 297736139 emb CBI24177.3 unnamed protein product [Vitis vini... 155 1e-35
XLOC_001894	<i>Cc00_g02200</i>	GSCOCP00007914001	***** No hits found *****

XLOC_024939	<i>Cc09_g10460</i>	GSCOCP00041041001	gi 225428253 ref XP_002279474.1 PREDICTED: proline-rich recepto...	661	0.0
XLOC_023648	<i>Cc08_g00220</i>	GSCOCP00005578001	gi 359475860 ref XP_003631764.1 PREDICTED: uncharacterized prot...	61	3e-07
XLOC_003508	<i>Cc00_g34290</i>	GSCOCP00008238001	gi 225453712 ref XP_002271138.1 PREDICTED: BON1-associated prot...	171	8e-41
XLOC_012595	<i>Cc02_g28040</i>	GSCOCP00025164001	gi 357131100 ref XP_003567180.1 PREDICTED: protein ULTRAPETALA ...	218	8e-55
XLOC_003089	<i>Cc00_g25910</i>	GSCOCP00006243001	gi 419789 pir S31196 hypothetical protein - potato	315	9e-84
XLOC_000482	<i>Cc00_g09340</i>	GSCOCP00008208001	gi 147862409 emb CAN81911.1 hypothetical protein VITISV_042289 ...	84	9e-15
XLOC_008322	<i>Cc11_g00300</i>	GSCOCP00019459001	gi 359489039 ref XP_003633861.1 PREDICTED: putative vacuolar pr...	152	2e-35
XLOC_014391	<i>Cc03_g07650</i>	GSCOCP00026622001	***** No hits found *****		
XLOC_002200	<i>Cc00_g08230</i>	GSCOCP00003334001	gi 356566878 ref XP_003551653.1 PREDICTED: uncharacterized prot...	58	7e-07
XLOC_022572	<i>Cc07_g18140</i>	GSCOCP00018794001	gi 255552408 ref XP_002517248.1 Pectinesterase inhibitor, putat...	214	8e-54
XLOC_013264	<i>Cc03_g01170</i>	GSCOCP00025722001	gi 225431873 ref XP_002271623.1 PREDICTED: uncharacterized prot...	272	6e-71
XLOC_004187	<i>Cc01_g11280</i>	GSCOCP00024253001	***** No hits found *****		
XLOC_016526	<i>Cc04_g16610</i>	GSCOCP00035767001	gi 388508792 gb AFK42462.1 unknown [Lotus japonicus]	118	4e-25
XLOC_005973	<i>Cc10_g03350</i>	GSCOCP00024859001	***** No hits found *****		
XLOC_003995	<i>Cc01_g07530</i>	GSCOCP00009386001	gi 225436011 ref XP_002273485.1 PREDICTED: uncharacterized prot...	270	3e-70
XLOC_005448	<i>Cc01_g15440</i>	GSCOCP00016560001	gi 297745741 emb CBI15797.3 unnamed protein product [Vitis vini...	262	7e-68
XLOC_019163	<i>Cc06_g20030</i>	GSCOCP00022898001	gi 159161160 ref YP_001542447.1 photosystem I P700 apoprotein A...	159	2e-37
XLOC_000633	<i>Cc00_g12380</i>	GSCOCP00013523001	gi 393990627 dbj BAM28984.1 UDP-glucose glucosyltransferase [Ga...	358	1e-96
XLOC_018278	<i>Cc06_g01330</i>	GSCOCP00023348001	gi 77416921 gb ABA81856.1 unknown [Solanum tuberosum]	82	4e-14
XLOC_001534	<i>Cc00_g30940</i>	GSCOCP00000514001	gi 224067852 ref XP_002302565.1 predicted protein [Populus tric...	123	1e-26
XLOC_007159	<i>Cc10_g10600</i>	GSCOCP00036012001	gi 356573167 ref XP_003554735.1 PREDICTED: protein PIR-like [Gl...	243	6e-63
XLOC_009962	<i>Cc02_g15090</i>	GSCOCP00013943001	gi 255556938 ref XP_002519502.1 Transitional endoplasmic reticu...	1117	0.0
XLOC_014726	<i>Cc03_g14300</i>	GSCOCP00031828001	***** No hits found *****		
XLOC_018476	<i>Cc06_g05120</i>	GSCOCP00043045001	gi 225441006 ref XP_002283672.1 PREDICTED: glucomannan 4-beta-m...	981	0.0
XLOC_000998	<i>Cc00_g20020</i>	GSCOCP00008215001	gi 255545130 ref XP_002513626.1 conserved hypothetical protein ...	176	3e-42
XLOC_002387	<i>Cc00_g12000</i>	GSCOCP00000024001	gi 224145649 ref XP_002325717.1 nbs-lrr resistance protein [Pop...	277	5e-72
XLOC_003180	<i>Cc00_g27490</i>	GSCOCP00007278001	gi 225444918 ref XP_002279617.1 PREDICTED: uncharacterized prot...	385	e-104

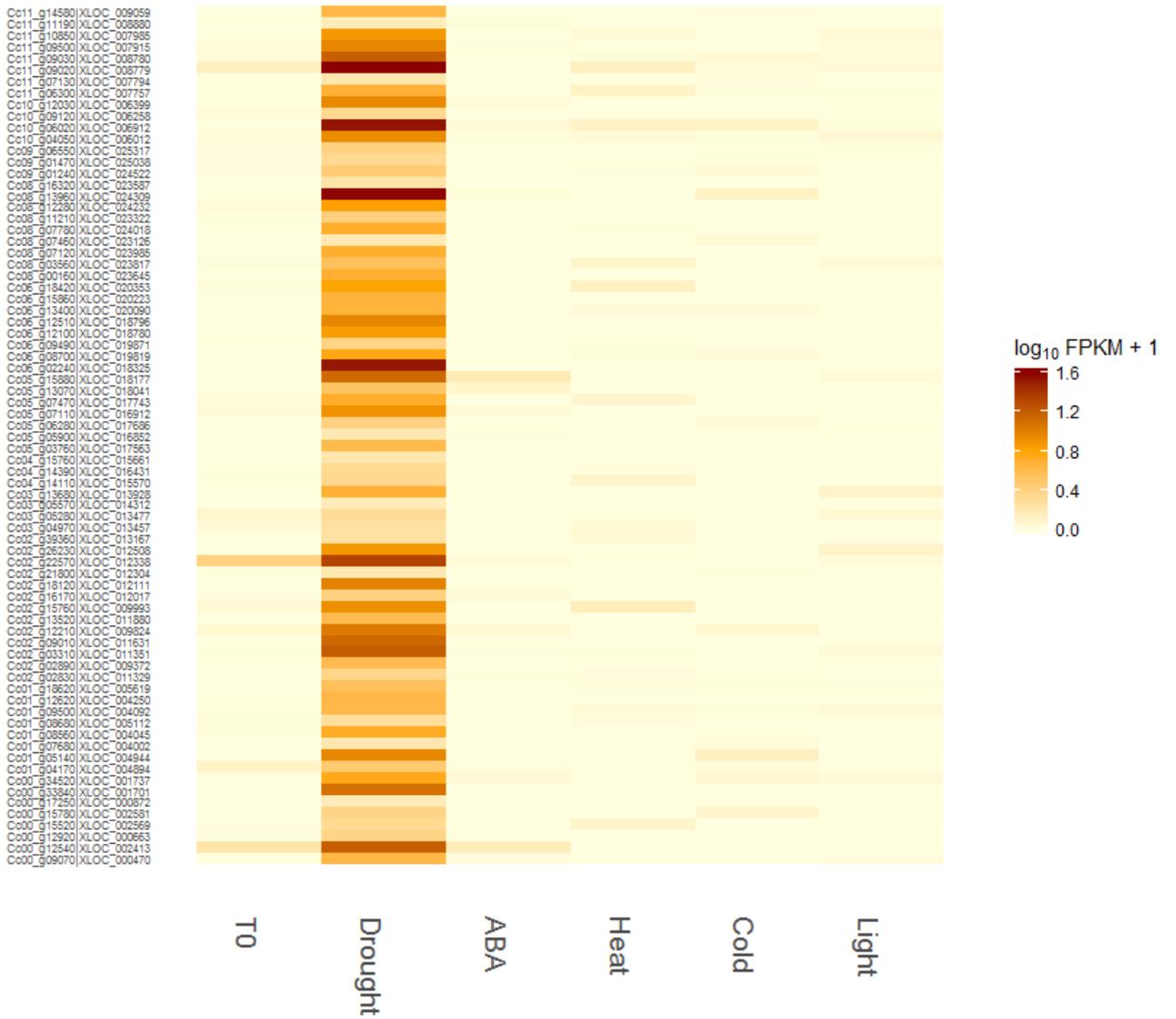


Figure 7 – Heat-map of the expression levels of a set of 50 genes from Cluster 5.

Table 6 – Genes up-regulated upon drought stress (Cluster 5).

	BLAST <i>C. canephora</i> genome	<i>C. arabica</i> gene	Annotation <i>C. canephora</i> genome
XLOC_004944	<i>Cc01_g05140</i>	GSCOCP00011477001	gi 167018103 gb ABZ05022.1 delta-12 fatty acid desaturase [Davi... 410 e-112
XLOC_005619	<i>Cc01_g18620</i>	GSCOCP00016181001	gi 18000070 gb AAL54886.1 AF092915_1 cytochrome P450-dependent f... 743 0.0
XLOC_011351	<i>Cc02_g03310</i>	GSCOCP00020210001	gi 224134715 ref XP_002321889.1 predicted protein [Populus tric... 83 2e-14
XLOC_017686	<i>Cc05_g06280</i>	GSCOCP00042111001	gi 307136044 gb ADN33896.1 NADH dehydrogenase [Cucumis melo sub... 887 0.0
XLOC_016431	<i>Cc04_g14390</i>	GSCOCP00004344001	gi 359491313 ref XP_002284678.2 PREDICTED: probable receptor-li... 1055 0.0
XLOC_008780	<i>Cc11_g09030</i>	GSCOCP00032797001	gi 342306018 dbj BAK55745.1 UDP-glucose glucosyltransferase [Ga... 777 0.0
XLOC_007915	<i>Cc11_g09500</i>	GSCOCP00032712001	***** No hits found *****
XLOC_000470	<i>Cc00_g09070</i>	GSCOCP00012320001	gi 225462354 ref XP_002269543.1 PREDICTED: protein SOMBRERO [Vi... 392 e-107
XLOC_007757	<i>Cc11_g06300</i>	GSCOCP00020638001	gi 297737596 emb CBI26797.3 unnamed protein product [Vitis vini... 429 e-118
XLOC_006012	<i>Cc10_g04050</i>	GSCOCP00034984001	gi 255579535 ref XP_002530610.1 Squalene monooxygenase, putativ... 727 0.0
XLOC_004092	<i>Cc01_g09500</i>	GSCOCP00024004001	gi 225436277 ref XP_002264897.1 PREDICTED: cytochrome P450 94A1... 736 0.0
XLOC_018041	<i>Cc05_g13070</i>	GSCOCP00021108001	gi 380751740 gb AFE56211.1 terpene synthase [Camellia sinensis] 633 e-179
XLOC_008779	<i>Cc11_g09020</i>	GSCOCP00032798001	gi 342306018 dbj BAK55745.1 UDP-glucose glucosyltransferase [Ga... 798 0.0
XLOC_002581	<i>Cc00_g15780</i>	GSCOCP00000087001	gi 359488557 ref XP_003633778.1 PREDICTED: LOW QUALITY PROTEIN:... 696 0.0
XLOC_009372	<i>Cc02_g02890</i>	GSCOCP00020158001	gi 22857914 gb AAL91667.1 pollen specific actin-depolymerizing ... 254 4e-66
XLOC_004045	<i>Cc01_g08560</i>	GSCOCP00023884001	gi 225464826 ref XP_002272164.1 PREDICTED: uncharacterized prot... 62 6e-08
XLOC_016912	<i>Cc05_g07110</i>	GSCOCP00042234001	gi 225446345 ref XP_002272406.1 PREDICTED: GPI-anchored protein... 173 1e-41
XLOC_011329	<i>Cc02_g02830</i>	GSCOCP00020152001	gi 224134853 ref XP_002321921.1 cytochrome P450 [Populus tricho... 729 0.0
XLOC_020353	<i>Cc06_g18420</i>	GSCOCP00040457001	gi 376008196 gb AFB18311.1 F-box protein [Citrus clementina] 187 1e-45
XLOC_017743	<i>Cc05_g07470</i>	GSCOCP00042276001	gi 224095515 ref XP_002310404.1 predicted protein [Populus tric... 484 e-134
XLOC_024232	<i>Cc08_g12280</i>	GSCOCP00030503001	gi 1518057 gb AAB67881.1 membrane channel protein [Solanum tube... 326 3e-87
XLOC_009824	<i>Cc02_g12210</i>	GSCOCP00029313001	gi 224126823 ref XP_002319935.1 predicted protein [Populus tric... 179 7e-43
XLOC_009993	<i>Cc02_g15760</i>	GSCOCP00014035001	gi 302142248 emb CBI19451.3 unnamed protein product [Vitis vini... 328 2e-87

XLOC_015661	<i>Cc04_g15760</i>	GSCOCP00042355001	gi 225456647 ref XP_002270812.1 PREDICTED: probable peptide/nit...	781	0.0
XLOC_016852	<i>Cc05_g05900</i>	GSCOCP00035177001	gi 224145550 ref XP_002325682.1 predicted protein [Populus tric...	236	4e-60
XLOC_024522	<i>Cc09_g01240</i>	GSCOCP00017837001	gi 359494848 ref XP_002267221.2 PREDICTED: subtilisin-like prot...	1156	0.0
XLOC_014312	<i>Cc03_g05570</i>	GSCOCP00026316001	gi 2979526 gb AAC61881.1 11S storage globulin [Coffea arabica]	689	0.0
XLOC_025317	<i>Cc09_g06550</i>	GSCOCP00005106001	gi 255554674 ref XP_002518375.1 ring finger protein, putative [...	85	1e-14
XLOC_012017	<i>Cc02_g16170</i>	GSCOCP00014094001	gi 225462452 ref XP_002266118.1 PREDICTED: GDSL esterase/lipase...	521	e-145
XLOC_023817	<i>Cc08_g03560</i>	GSCOCP00034140001	gi 224105209 ref XP_002313728.1 predicted protein [Populus tric...	232	3e-59
XLOC_019871	<i>Cc06_g09490</i>	GSCOCP00041906001	gi 225445290 ref XP_002281248.1 PREDICTED: probable sulfate tra...	845	0.0
XLOC_013167	<i>Cc02_g39360</i>	GSCOCP00027727001	gi 297745451 emb CBI40531.3 unnamed protein product [Vitis vini...	244	8e-63
XLOC_012304	<i>Cc02_g21800</i>	GSCOCP00014827001	gi 225447860 ref XP_002268766.1 PREDICTED: LL-diaminopimelate a...	648	0.0
XLOC_004002	<i>Cc01_g07680</i>	GSCOCP00015435001	gi 359479927 ref XP_003632376.1 PREDICTED: subtilisin-like prot...	855	0.0
XLOC_000663	<i>Cc00_g12920</i>	GSCOCP00000111001	gi 255571897 ref XP_002526891.1 serine-threonine protein kinase...	156	5e-36
XLOC_024309	<i>Cc08_g13960</i>	GSCOCP00030281001	gi 224122476 ref XP_002318846.1 AP2/ERF domain-containing trans...	201	1e-49
XLOC_023587	<i>Cc08_g16320</i>	GSCOCP00035589001	gi 297742360 emb CBI34509.3 unnamed protein product [Vitis vini...	852	0.0
XLOC_023322	<i>Cc08_g11210</i>	GSCOCP00027117001	gi 255566767 ref XP_002524367.1 conserved hypothetical protein ...	147	7e-34
XLOC_025038	<i>Cc09_g01470</i>	GSCOCP00017805001	gi 359483418 ref XP_003632954.1 PREDICTED: probable F-box prote...	394	e-107
XLOC_006912	<i>Cc10_g06020</i>	GSCOCP00034723001	gi 224093804 ref XP_002309999.1 predicted protein [Populus tric...	102	4e-20
XLOC_018325	<i>Cc06_g02240</i>	GSCOCP00023474001	***** No hits found *****		
XLOC_002569	<i>Cc00_g15520</i>	GSCOCP00000738001	gi 18324 emb CAA49162.1 beta-fructofuranosidase [Daucus carota]	848	0.0
XLOC_000872	<i>Cc00_g17250</i>	GSCOCP00006075001	gi 85068628 gb ABC69394.1 CYP71D47v1 [Nicotiana tabacum]	526	e-147
XLOC_015570	<i>Cc04_g14110</i>	GSCOCP00035938001	***** No hits found *****		
XLOC_023126	<i>Cc08_g07460</i>	GSCOCP00025374001	gi 334305730 sp A6YIH8.1 C7D55_HYOMU RecName: Full=Premnapirodi...	547	e-153
XLOC_007794	<i>Cc11_g07130</i>	GSCOCP00018101001	gi 400750 sp Q02200.1 PERX_NICSY RecName: Full=Lignin-forming an...	452	e-125
XLOC_002413	<i>Cc00_g12540</i>	GSCOCP00002073001	gi 224086034 ref XP_002307787.1 predicted protein [Populus tric...	116	2e-24
XLOC_005112	<i>Cc01_g08680</i>	GSCOCP00023900001	gi 255565739 ref XP_002523859.1 short chain alcohol dehydrogena...	348	5e-94
XLOC_008880	<i>Cc11_g11190</i>	GSCOCP00032476001	gi 224111802 ref XP_002315983.1 predicted protein [Populus tric...	511	e-143
XLOC_011631	<i>Cc02_g09010</i>	GSCOCP00029714001	gi 167600644 gb ABZ89186.1 putative protein [Coffea canephora]	421	e-116

GO-enrichment analysis

GO enrichment analysis on gene sets was performed with AgriGO. For example, given a set of genes that are up-regulated under certain conditions, an enrichment analysis will find which GO terms are over-represented (or under-represented) using annotations for that gene set.

We used GO annotations for *C. canephora* available at AgriGO to assign each gene to a set of the biological process categories. Cellular process, metabolic process, biological regulation, response to stimulus and establishment of localization were among the most highly represented groups under the biological process category. In addition to these, we cite catalytic activity, binding and transcription regulator activity particularly for the drought condition (Figure 8).

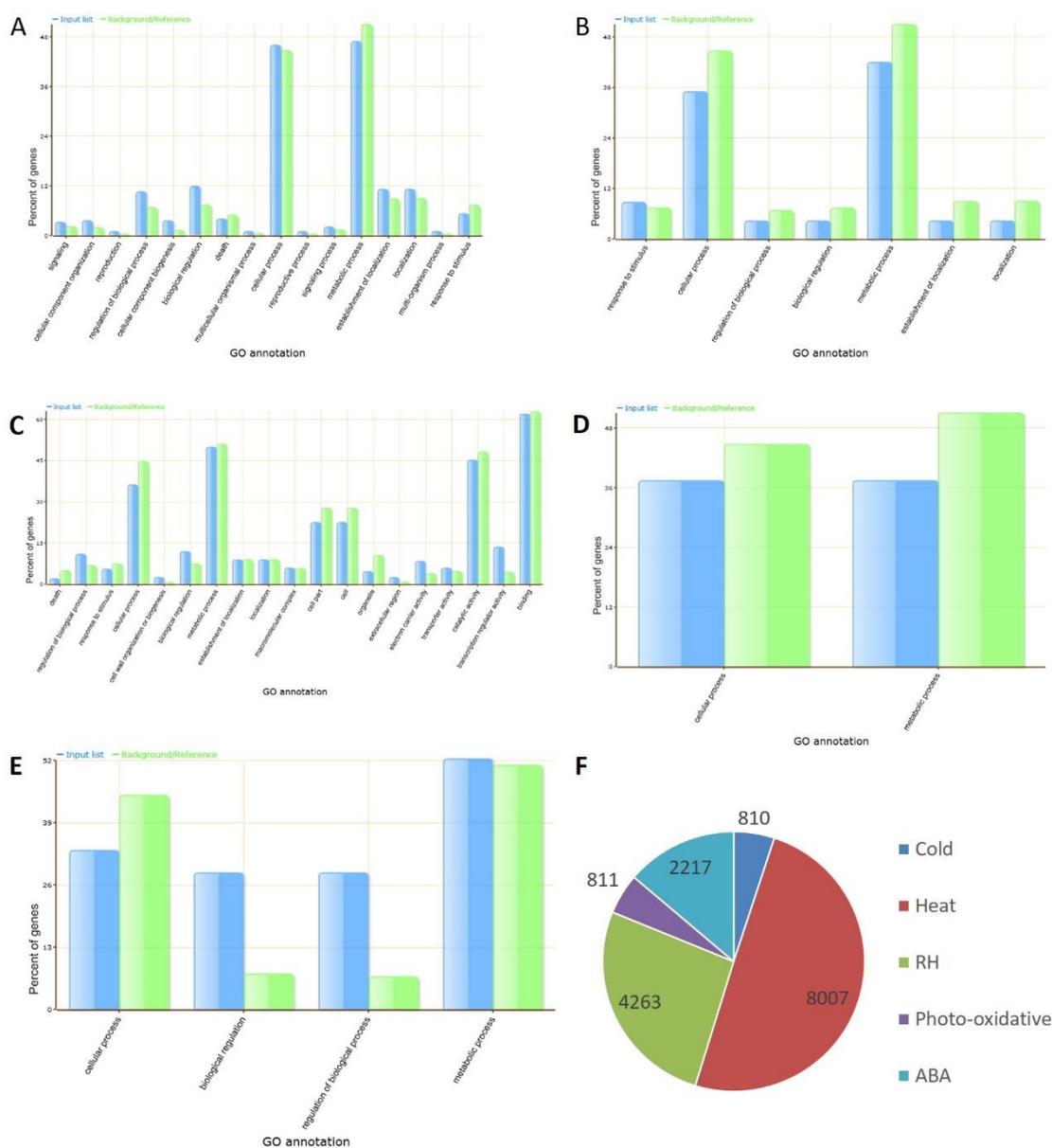


Figure 8 – GO enrichment analysis in *C. arabica* under several abiotic stresses. Genes were classified into different functional groups based on a set in the biological process categories. A) Cold stress B) Heat stress C) Drought stress D) Photo-oxidative stress E) ABA supply stress. F) Representation of the amount of differentially expressed genes (Foldchange ≥ 4) in each stress according to the DESeq2 for the GO enrichment analysis.

Abiotic Stress validation

For each stress, of all differentially expressed genes identified by DESeq2, we chose the four largest foldchange values to validate the transcriptional pattern in *C. arabica* leaves, to ascertain that the applied abiotic stress induced the expression of previously described genes known to respond to the corresponding stress. Results

presented at Figure 9, shows that *Cc00_g10160* and *Cc00_g02570* genes were mostly expressed under cold stress, *Cc02_g22170*, *Cc10_g00400* and *Cc00_g10880* under heat stress, *Cc00_g07510*, *Cc00_g34090* and *Cc00_g10160* under drought stress, *Cc00_g10880* and *Cc00_g19080* in response to the photo-oxidative stress, and *Cc00_g10980* and *Cc11_g15020* responded to the ABA supply stress (Figure 9). The functional annotation of each gene corresponding to the *C. canephora* genome is shown in table 7 and shows that known responsive genes are present in this selected set.

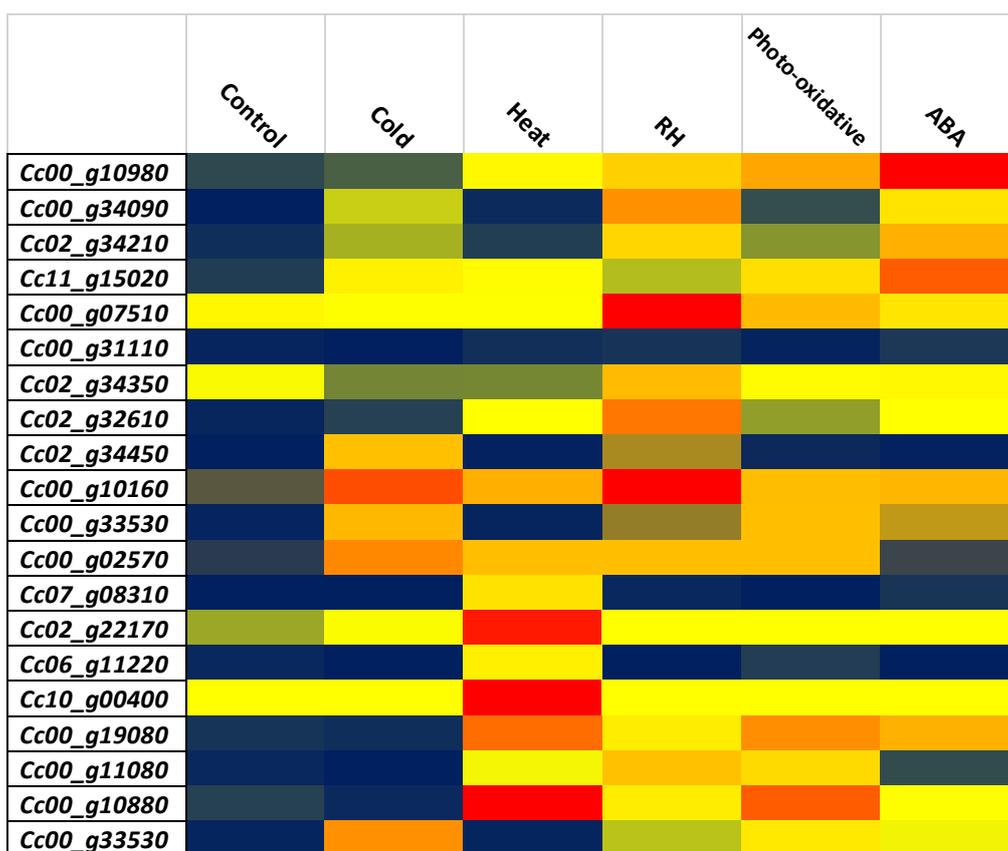


Figure 9 - Representation "heatmap" of the RPKM expression values of the genes stress induced in leaves of *C. arabica* transformed with *CcDREB1D* promoter haplotype 16L. The colors closer to the intense red indicate higher gene expression.

Table 7 - Candidate genes found in arabica coffee plants subject to drought stress, high and low temperatures, high luminous intensity (photo-oxidative stress) and exogenous application of ABA and their corresponding identifications and functions in the genome of *C. canephora*.

<i>C. arabica</i> gene	BLAST <i>C. canephora</i> genome	Annotation <i>C. canephora</i> genome
"GSCOCT00042866001"	<i>Cc00_g10980</i>	neutral/alkaline invertase 2
"GSCOCT00039580001"	<i>Cc00_g34090</i>	putative calcium-binding protein
"GSCOCT00039726001"	<i>Cc02_g34210</i>	No hits
"GSCOCT00041755001"	<i>Cc11_g15020</i>	uncharacterized protein
"GSCOCT00037166001"	<i>Cc00_g07510</i>	dehydrin DH1a
"GSCOCT00039597001"	<i>Cc00_g31110</i>	NAC domain
"GSCOCT00034982001"	<i>Cc02_g34350</i>	ABA responsive element-binding protein
"GSCOCT00042862001"	<i>Cc02_g32610</i>	galactinol synthase [<i>Coffea arabica</i>]
"GSCOCT00041508001"	<i>Cc02_g34450</i>	pectinesterase 3
"GSCOCT00034783001"	<i>Cc00_g10160</i>	zinc finger DNA-binding protein
"GSCOCT00037003001"	<i>Cc00_g33530</i>	hypothetical protein RCOM 00172
"GSCOCT00041399001"	<i>Cc00_g02570</i>	xyloglucan endotransglycosylase/hydrolase
"GSCOCT00027106001"	<i>Cc07_g08310</i>	cytosolic class II low molecular
"GSCOCT00027060001"	<i>Cc02_g22170</i>	heat stress transcription factor A2
"GSCOCT00034510001"	<i>Cc06_g11220</i>	small molecular heat shock protein 1
"GSCOCT00015069001"	<i>Cc10_g00400</i>	unnamed protein product
"GSCOCT00014441001"	<i>Cc00_g19080</i>	uncharacterized protein
"GSCOCT00020190001"	<i>Cc00_g11080</i>	uncharacterized protein
"GSCOCT00034975001"	<i>Cc00_g10880</i>	unnamed protein product
"GSCOCT00037003001"	<i>Cc00_g33530</i>	hypothetical protein RCOM_00172

Futhermore, of the four genes identified *in silico* for the drought condition, two were selected for validation by RT-qPCR: Dehydrin (*Cc00_g07510*) and Galactinol synthase (*Cc02_g32610*), both with fold change > 2. These genes were also analyzed *in vivo* by the RT-qPCR technique to prove the biological functionality of drought stress applied to coffee plants transformed with HP16L haplotype and presented (Figure 10). A very good correlation with the obtained *in silico* data Figure 9.

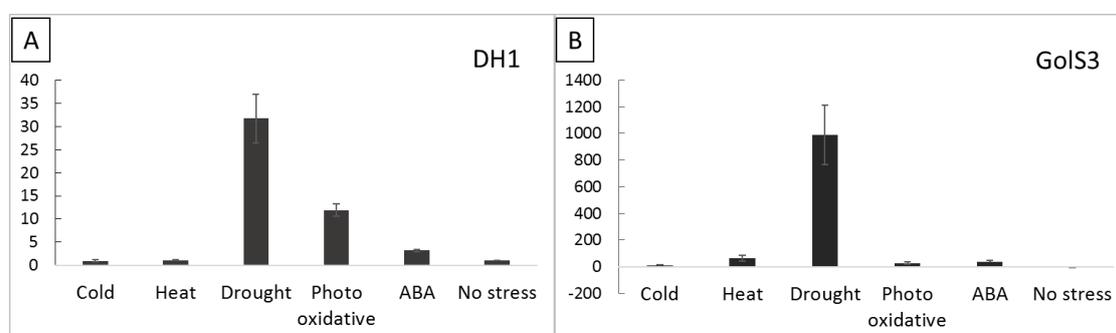


Figure 10 - Validation of *in silico* data by RT-qPCR of Dehydrin- *Cc00_g07510* (A) and Galactinol synthase- *Cc02_g32610* (B) genes. Relative expression values of genes up-regulated in leaves of *C. arabica* by RT-qPCR. Relative quantification of each transcript

in each stress was normalized against *GAPDH*. The condition with the lowest expression (No stress = Control) was used to calibrate the relative value between the stress. Bars represent the standard deviation values.

***In silico* expression of *DREB* subgroup genes**

The *DREB1D* mutated promoter (containing haplotype 16) responded to the abiotic stresses applied by expression of the *uidA* gene, which is present in the constructions used to evaluate the response *CcDREB1D* promoter. It is possible to note its greater expression in the cold and drought condition, followed by a lower expression with the exogenous heat and ABA condition. Low expression was observed in the condition of photo oxidative and control, without stress (Figure 11).

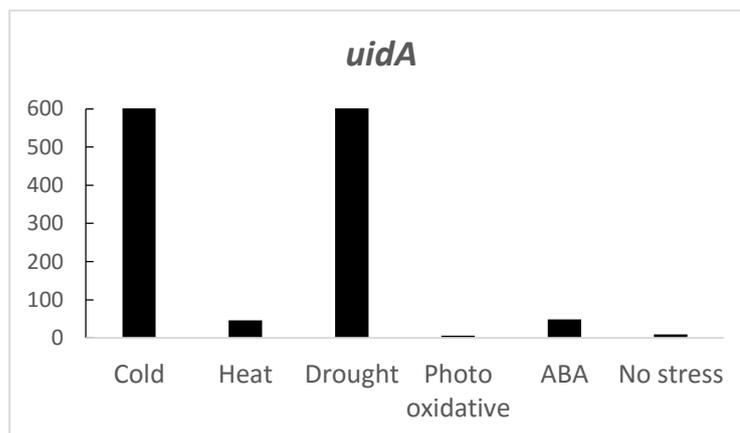


Figure 11 - *In silico* expression in RPKM of the *uidA* gene, present in the *CcDREB1D* promoter, under all stress conditions tested.

In addition to the induced stress genes, a special focus was dedicated to genes belonging to the four subgroups of the *DREB* family and their expression upon the various stresses applied to the plants transformed with the *DREB1D* promoter was analyzed, in order to increase the knowledge about the responses of this family to the abiotic stresses.

The *in silico* expression of the members of each *DREB* subgroup based on their fold change values in each tested stress condition is presented in figure 12.

For subgroup I, the genes *Cc03_g07870*, *Cc10_g07460* and *Cc05_g06840* were the most expressed in terms of response to all conditions tested, being these genes less related to heat. For cold and drought conditions, these genes had equivalent levels of expression. The other genes belonging to the subgroup, such as the genes *Cc07_g15390* and *Cc08_g15980* were not expressed under all conditions, however, *Cc07_g15390*

presented the highest level of expression, especially for heat, being the only gene with fold change > 2 in this subgroup. The *Cc08_g15980* gene showed expression only in the exogenous ABA condition.

In subgroup II, the genes *Cc10_g09120*, *Cc10_g04710*, *Cc08_g07780* and *Cc10_g10960* were the most expressed in terms of response to all conditions tested. The most heat responsive genes were *Cc10_g09120* and *Cc10_g04710*, and the coldest responsive genes, *Cc10_g10960* and *Cc08_g07780*. The *Cc07_g06220* gene did not show any expression, whereas the *Cc06_g12520* gene was not expressed under all conditions, but still had one of the highest expression values for heat. The genes *Cc10_g04710* and *Cc06_g12520* were those that presented fold change > 2 for this subgroup, both being induced under the heat condition.

For genes of subgroup III, the discrepancy of the *Cc08_g09520* gene expressed in the heat condition is remarkable when compared to the other genes. The others had an average equivalent expression for all conditions. The *Cc02_g39490* and *Cc08_g13970* genes showed higher expression under the exogenous ABA condition, while the *Cc06_g10260* and *Cc02_g03420* genes were expressed in the drought and cold condition, respectively. In addition, the *Cc08_g09520* and *Cc08_g13970* genes were also the most expressed in the photo oxidative condition. All of these genes have fold change > 2 for this subgroup.

For subgroup IV, all genes showed expression in all conditions tested. The highest levels of expression occurred in the genes *Cc06_g16660*, *Cc06_00780* and *Cc10_g02270* for cold, heat and ABA respectively, and in the *Cc10_g14150* gene for heat, drought and photo oxidative. The genes *Cc06_g16660*, *Cc06_00780* and *Cc10_g14150* were those that presented fold change ≥ 2 for this subgroup, being for the cold conditions and the last two genes for heat, respectively.

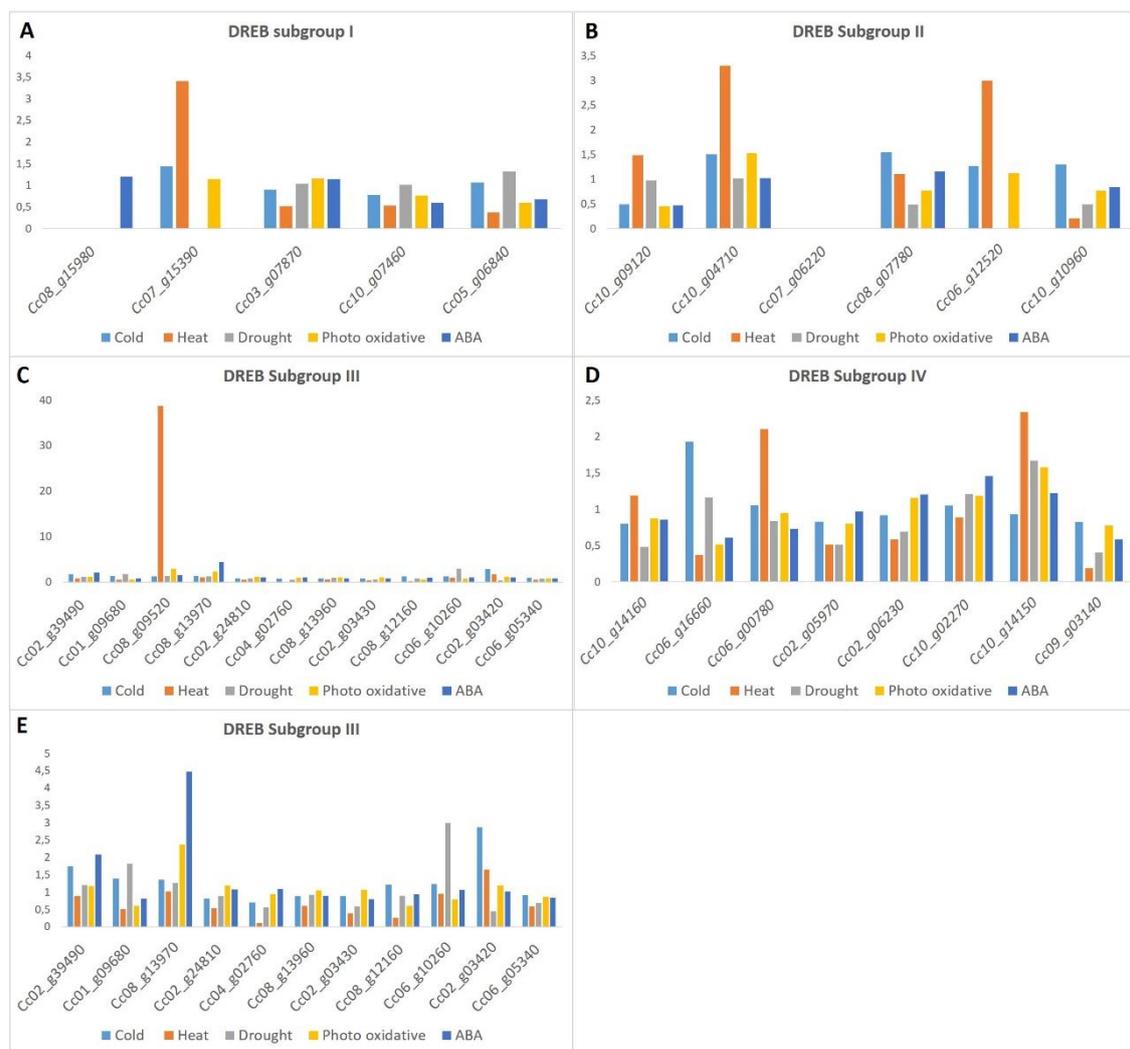


Figure 12 – *In silico* expression of the members of each subgroup *DREB* based on their fold change values in each stress condition tested. (A) Subgroup I (B) Subgroup II (C) Subgroup III (D) Subgroup IV (E) Expression of subgroup III genes without the presence of the gene with the highest expression value of this subgroup (*Cc08_g09520*).

RT-qPCR expression of *DREB* subgroup genes

Subgroup I

Of the five genes of this subgroup, it was possible to analyze the expression of four genes. The gene *Cc07_g15390* is up-regulated by drought, high luminosity and the exogenous application of ABA. These three stresses also induced the expression of *Cc03_g07870* gene but in this case, high luminosity had the highest effect. For the *Cc10_g07460* gene, the water deficit was the main influencing stress, since it presented the highest expression values for this condition in the RT-qPCR analysis. At the end of

this group, the *Cc05_g06840* gene was highly induced by the drought condition, but also presented some induction for photo oxidative and exogenous application of ABA (Figure 13).

Subgroup II

Of the six genes of this subgroup, it was possible to analyze the expression of five genes. The genes *Cc10_g04710*, *Cc07_g06220* and *Cc08_g07780* were induced by all stresses with the highest expression values for heat, drought and cold, respectively. The highest expression values of this subgroup were in the genes *Cc06_g12520* and *Cc10_g10960*. The *Cc06_g12520* gene was highly induced by the drought condition, but also presented induction for cold. This gene showed the highest expression values of this subgroup. For the *Cc10_g10960* gene, heat was the main influencing stress, but also presented some induction for cold (Figure 14).

Subgroup III

Of the 12 genes of this subgroup, it was possible to analyze the expression of five genes. The genes *Cc02_g24810*, *Cc04_g02760* and *Cc08_g09520* were induced by all stresses being more induced by photo-oxidative, heat and drought stresses, respectively. The gene *Cc02_g03430* showed the highest expression values of this subgroup and was highly induced by the drought condition. The gene *Cc02_03420* was highlighted for the drought condition (Figure 15).

Subgroup IV

Of the eight genes of this subgroup, it was possible to analyze the expression of four genes. The genes *Cc10_g14160*, *Cc06_g00780*, *Cc02_g05970* and *Cc10_g14150* were induced by all stresses being more induced by heat, exogenous application of ABA, drought and heat stresses, respectively. Of the four *DREB* subgroups, this was the one with the lowest expression values (Figure 16).

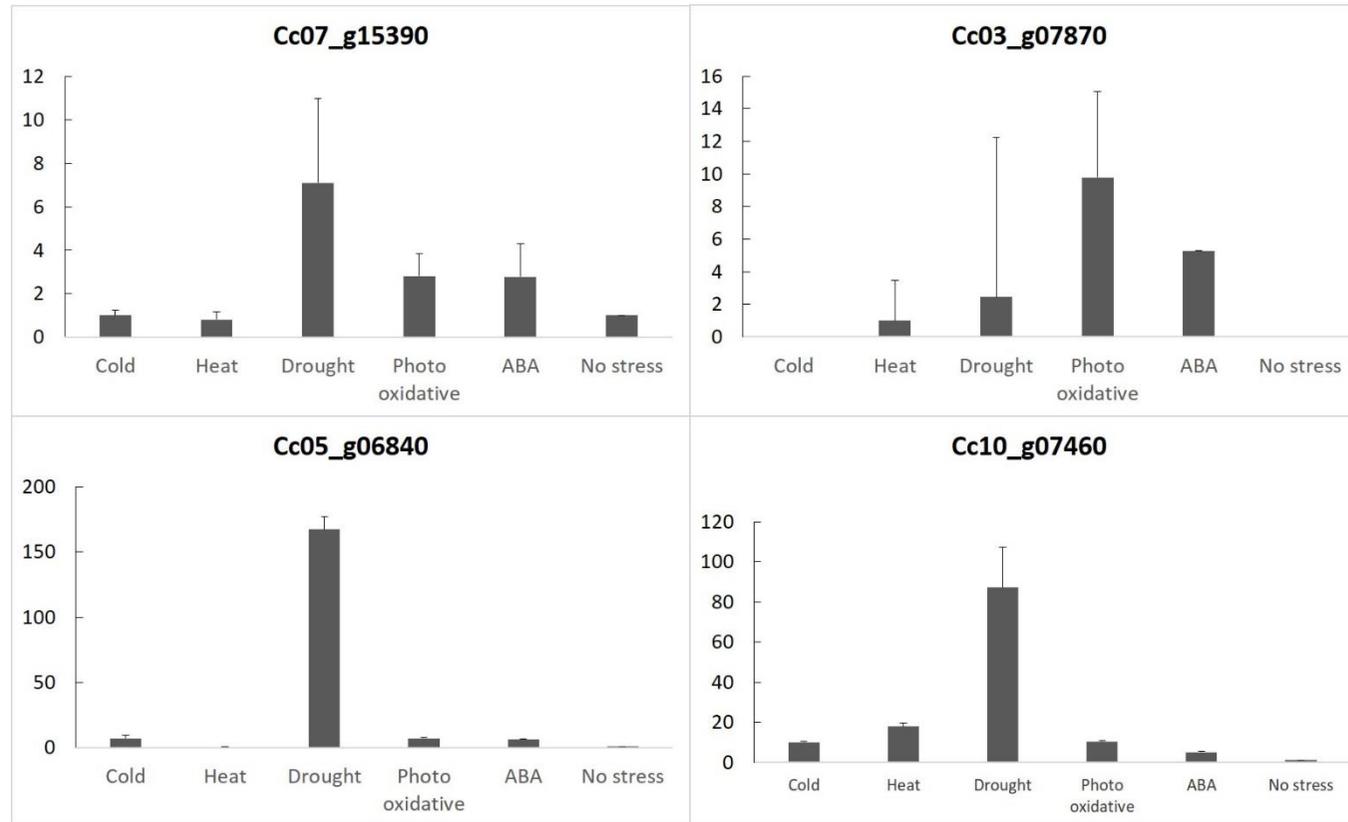


Figure 13 - Relative expression values of *DREB* subgroup I genes up-regulated in leaves of *C. arabica* by RT-qPCR. Relative quantification of each transcript in each stress was normalized against *GAPDH*. The condition with the lowest expression (No stress = Control) was used to calibrate the relative value between the stress. *Bars* represent the standard deviation values.

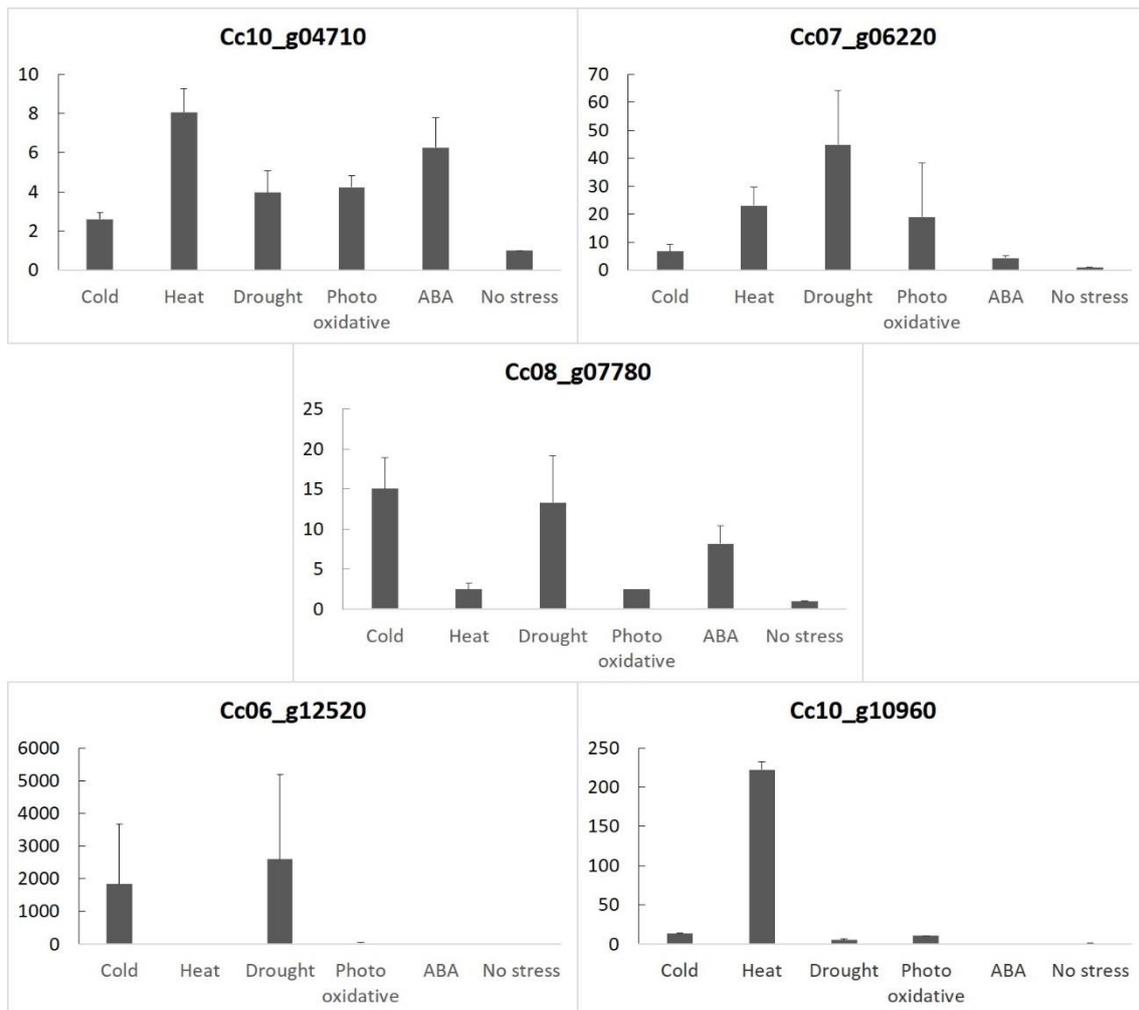


Figure 14 - Relative expression values of *DREB* subgroup II genes up-regulated in leaves of *C. arabica* by RT-qPCR. Relative quantification of each transcript in each stress was normalized against *GAPDH*. The condition with the lowest expression (No stress = Control) was used to calibrate the relative value between the stress. Bars represent the standard deviation values.

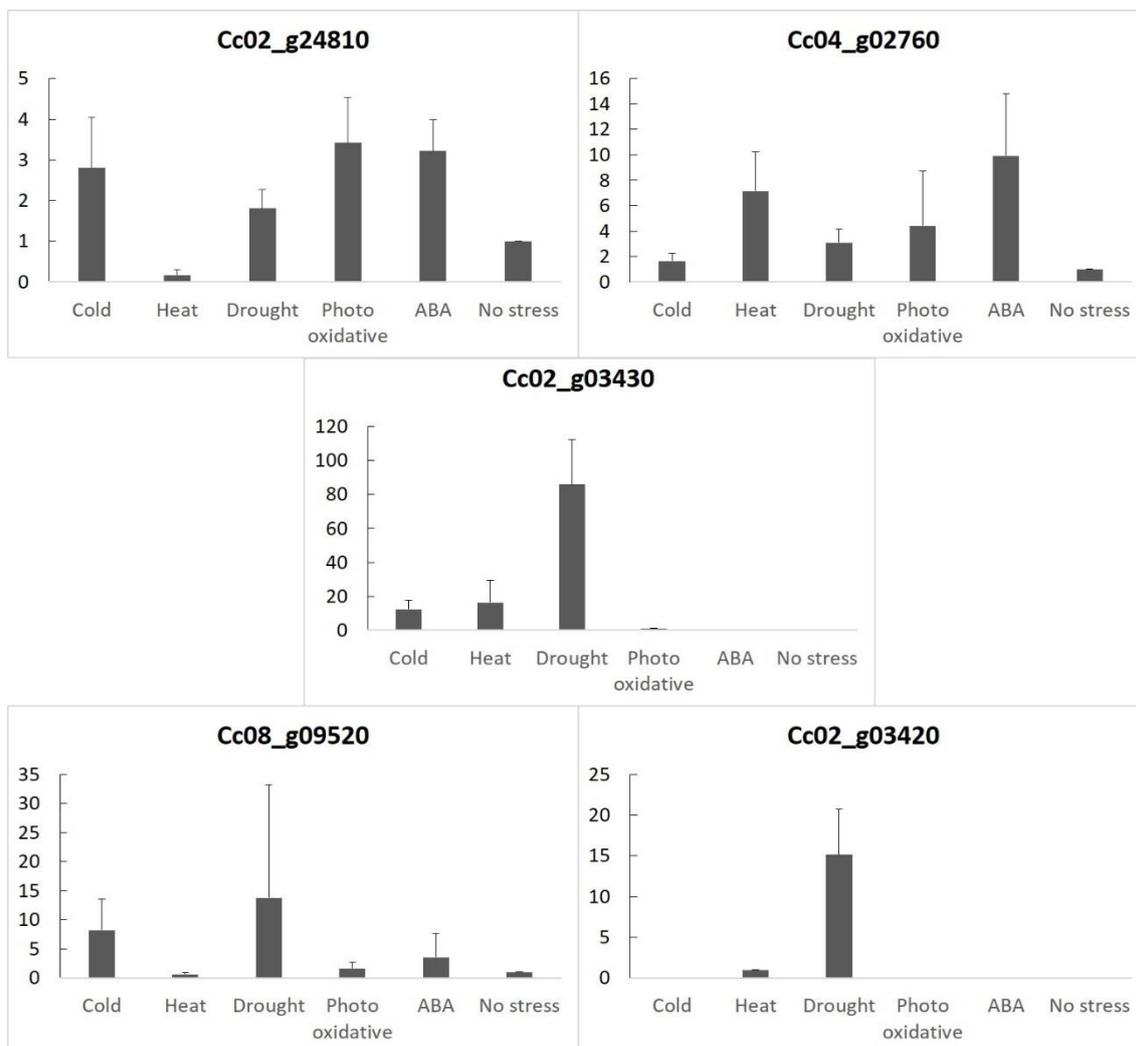


Figure 15 - Relative expression values of *DREB* subgroup III genes up-regulated in leaves of *C. arabica* by RT-qPCR. Relative quantification of each transcript in each stress was normalized against *GAPDH*. The condition with the lowest expression (No stress = Control) was used to calibrate the relative value between the stress. Bars represent the standard deviation values.

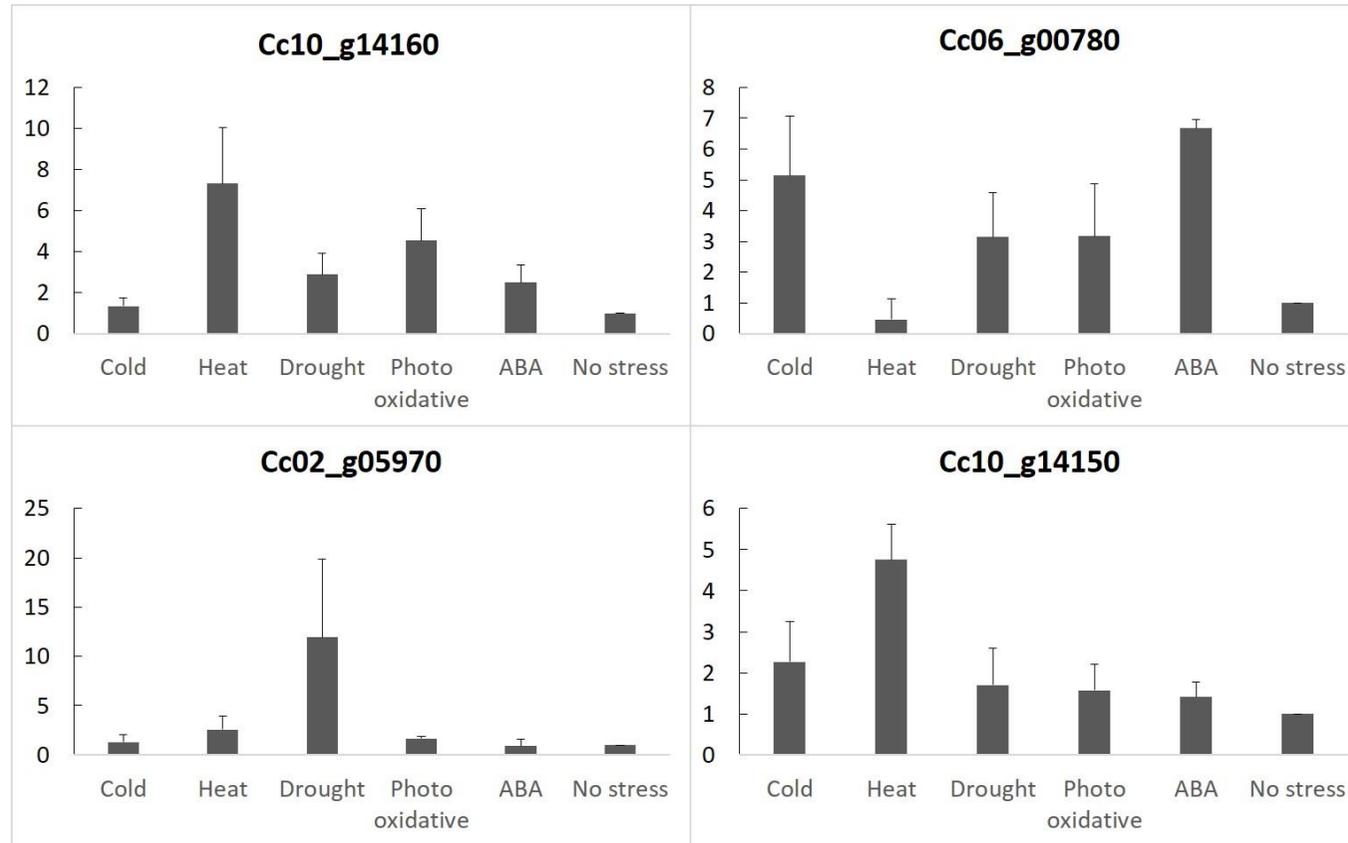


Figure 16 - Relative expression values of *DREB* subgroup IV genes up-regulated in leaves of *C. arabica* by RT-qPCR. Relative quantification of each transcript in each stress was normalized against *GAPDH*. The condition with the lowest expression (No stress = Control) was used to calibrate the relative value between the stress. Bars represent the standard deviation values.

DISCUSSION

Characterization of differentially expressed genes

GO annotations in the *C. arabica* transcriptome found genes associated with metabolic process and cellular process in the biological process category, in common for all stresses. A previous study in *C. arabica*, *C. canephora* and *C. eugenioides* reported similar categories (Mondego et al. 2011). In *C. eugenioides*, GO annotations found genes associated with macromolecule metabolic process and primary metabolic process in the biological process category (Yuyama et al. 2015).

These genes could reflect expression associated with basal processes, thereby reinforcing information also observed in *C. arabica* (Vidal et al. 2010) which identified the contributions of subgenome CaCe in *C. arabica* proteins associated with the citric acid cycle, pentose-phosphate shunt and photosynthesis.

Because the ultimate goal of this study was to identify potential candidates for abiotic stress responses in coffee leaves, some genes were characterized from the comparisons with databases and selected for validation using qPCR. In this study, *Cc00_g07510* presented high expression in leaves and was annotated as a dehydrin (DH1a). Dehydrins (DHNs) play a fundamental role in plant response and adaptation to abiotic stresses and have already been described to be induced by drought stress in coffee (Marraccini et al. 2012). They accumulate typically in maturing seeds or are induced in vegetative tissues following salinity, dehydration, cold and freezing stress. Possible functions for selected plant dehydrins in numerous transgenic studies revealed a positive effect of dehydrin gene expression on plant stress tolerance, mainly drought (and variations) and cold (Hanin et al 2011).

Other gene with a high expression in leaves was *Cc02_g32610*, and annotated as Galactinol synthase. Plant cells use myo-inositol to synthesize a variety of low molecular weight compounds and sugar alcohols such as the galactinol, a key element in the formation of raffinose family oligosaccharides. Nishizawa et al. (2008) found that plants with high galactinol and raffinose contents were less susceptible to oxidative stress. In *C. arabica*, up-regulation of *CaGolS* genes involved in galactinol biosynthesis was reported in leaves of plants subjected to severe drought (dos Santos et al. 2011; Marraccini et al. 2012).

Many of the drought-induced stress genes identified in this work for *C. arabica* were also identified in *C. canephora* in previous studies (Vinecky 2012; Marraccini et al. 2012; Vieira et al. 2013; Moffato et al. 2016).

In that case, the expression of genes encoding glycine-rich proteins, heat shock proteins, dehydrins, ascorbate peroxidase, as well as trans-acting factors (such as *DREB1D*), for example, increased under drought conditions (Mofatto et al. 2016).

The results of the RT-qPCR analysis of the induced stress genes corroborated with data previously obtained with the RNA-seq experiments, in which differential expression was observed for each of the genes tested. These same genes have been reported in the literature with the same function that was identified in this work.

RT-qPCR from the pre-selected *DREB* genes as candidate genes responsive to different stresses, in RNA-Seq experiments

From the analyses of RNA-seq data, a total of 31 genes belonging to the *DREB* gene family were selected for primer-pairs design aiming to perform the RT-qPCR experiments. The results of the RT-qPCR analysis of these genes, responsive to certain abiotic stresses, largely corroborated data previously obtained with the RNA-seq experiments, where for most of the genes tested, differential expression was observed, mainly between the stresses of drought, low and high temperatures.

Genes that did not obtain similar results in the two analyses (*in silico* and *in vivo*) were not totally validated. The genes with very discrepant results have not been validated under any conditions, and are as follows: *Cc06_g12520*, *Cc07_g06220*, *cC02_g03420*, *C02_g05970* and *Cc07_g15390*. The fully validated genes were as follows: Subgroup I - *Cc10_g07460*, Subgroup II - *Cc10_g04710*, *Cc08_g07780*, Subgroup III - *Cc02_g24810*; Subgroup IV - *Cc10_g14150*, *Cc10_g14160*.

The genes validated in part, that is, those with similar results for the two analyzes in particular stress, were the following: Subgroup I - *Cc03_g07870* (heat, drought, photo oxidative and ABA), *Cc05_g06840* (drought, photo oxidative, ABA and cold); Subgroup II - *Cc10_g10960* (drought and photo oxidative); Subgroup III - *Cc08_g09520* (cold and drought), *Cc04_g02760* (drought, photo oxidative and ABA), *Cc02_g03430* (cold, heat and drought); Subgroup IV - *Cc06_g00780* (cold, drought, photo oxidative and ABA).

For genes that were not fully validated, we can explain this difference in expression because the gene sequences of the *DREB* subgroups are very similar, so that during the amplification the genes are ringed in very regions similar to those which should have been originally ringed, causing this difference in expression.

DREB genes act under abiotic stress conditions and can be regulated by specific sequences to improve plant fitness under a variety of conditions (Mizoi et al. 2012). This

property of transcription factors, such as *DREB*, makes them an attractive category of genes for the manipulation of abiotic stress tolerance (Akhtar et al. 2012). Although *DREB1/CBF* and *DREB2* are well characterized as the main positive regulators of responses to abiotic stresses, other *DREBs* have been reported to be responsive and to confer tolerance to these stresses (Mizoi et al. 2012).

***In vivo* and *in silico* expressions of *DREB* subgroup genes**

In silico data from leaves of drought-tolerant clones of *C. canephora* submitted to drought conditions showed expression for the gene *Cc03_g07870*, *Cc05_g06840* and *Cc10_g07460* (Reichel 2016), the same results found for arabica, which allows us to state these genes as likely candidates for drought tolerance in the two coffee genotypes.

For arabica, the gene *Cc07_g15390* did not present expression in the condition of water deficit, being the greatest expressions for conditions of low and high temperatures. Similarly for *C. canephora*, this gene was more expressed in the irrigated condition, that is, when it was not in water deficit (Reichel 2016). It is assumed the participation of this gene when in conditions of low and high temperatures.

The *Cc05_g06840* gene presented the highest expression value of this subgroup in both *in silico* and *in vivo* analyzes, which makes this gene a strong candidate for dry tolerance of subgroup I.

In the subgroup II, the *Cc10_g04710* gene was coincident in the two analyzes with the highest expression value in the heat condition, which leads us to consider this gene as a probable candidate for response to high temperatures. For the *Cc08_g07780* gene, higher expression value was found in the low temperature condition, for both analyzes, considering this gene to play a role in such condition.

The gene with the highest *in vivo* expression values of subgroup III was the *Cc02_g03430* gene for the drought condition, and with lower levels of expression, upon cold and heat. This expression in the *in silico* results coincides only in the cold condition. These divergent results were also present for *C. canephora* (Reichel 2016). This gene is also named *DREB1D/CBF4*, and was observed to be highly expressed in *C. canephora* conilon when subjected to drought conditions, being considered as a candidate gene in such condition (Marraccini et al. 2012).

The gene *Cc02_g24810* showed coincident expressions of higher value for the high luminosity condition and exogenous application of ABA for both analyzes, being, therefore, a

probable candidate gene to tolerate these adverse conditions. Another likely candidate gene found was *Cc04_g02760*, for the ABA condition.

Although *DREB1/CBF4* genes are generally induced by the low temperature, there are divergences in relation to these characteristics (Zhou et al. 2010), as it was concluded in this work. Other studies have also reported expression in response to drought, such as Marraccini et al. (2012), where the water deficit increased the expression of *CcDREB1D* in the leaves of Conilon. Furthermore, Alves et al. (2017), investigated the responses of different haplotypes of the *CcDREB1D/CBF4* promoters of *C. canephora* to PEG and low RH treatments by analyzing their ability to regulate the expression of the *uidA* reporter gene in stably transformed *C. arabica* plants.

In others species, the overexpression of *AtDREB1B* in tomato may increase tolerance to water deficit (Hsieh et al. 2002) and overexpression of rice *OsDREB1F* increases drought tolerance in *Arabidopsis* and rice (Wang et al. 2008).

In the subgroup IV, the *Cc10_g14160* gene was shown to be a probable candidate for tolerance to high temperature and luminosity conditions, as it obtained the highest expression values in both analyzes. In *C. canephora*, this gene presented higher levels of expression in response to drought, when subjected to ceased irrigation conditions (Reichel 2016), being totally different its expression in arabica, in which this gene presented very low expression. Very discrepant differences lead us to assume that the transcriptional activity of this gene under drought conditions is genotype-specific.

The gene with the highest *in vivo* expression value of this subgroup was *Cc02_g05970*, and the most expressed condition was for drought, cold and heat. All conditions allowed expression of the gene, and the results of the two analyzes are not coincident, as was observed in *C. canephora* (Reichel 2016). This reinforces what has already been said about the gene sequences of the *DREB* are very similar, so that during the amplification the genes are ringed in very regions similar to those which should have been originally ringed, causing this difference in expression.

The *DREB* genes belong to a family involved in responses to various abiotic stresses beyond of the drought, such as high salinity and low temperature, for example. Thus, members of different subgroups of *DREB* may play multiple roles in plants, depending on the genotype and conditions tested (Zhou et al. 2012).

In general, the functional differences observed among members of subgroups I to IV may be due to the various target genes that are present in the genome, their distinct

transcriptomic responses and the ability of the transcription factor to activate or repress each target gene (Hussain et al. 2011).

CONCLUSIONS AND PERSPECTIVES

Through the *in silico* and *in vivo* analyzes presented in this work it was possible to select several genes, which are candidates to respond to different stresses in coffee. In addition to the induced stress genes, it was possible to analyze the behavior of the *DREB* genes in their respective subgroups, according to the applied stress.

The identification and characterization of these genes make it possible to carry out further studies, such as analyzing the differential expression between plants grown in the field and in the greenhouse, or prospecting the natural variability using different genetic materials, which enable identification and validation of polymorphisms and specific alleles for the development of molecular markers associated with tolerance to various abiotic stresses.

Meanwhile, further studies on transcriptomic data are recommended to be performed, such as RT-qPCR validation of all induced stress genes and bioinformatics analysis to confirm the existence of crosstalks and allele-specificity expression in Arabica plants submitted to different stresses conditions.

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GENERAL CONCLUSIONS AND PERSPECTIVES

In the last 20 years, considerable amount on basic research of environmental coffee physiology focusing in water relations and drought tolerance mechanisms has been undertaken, but much less research efforts has been devoted to identify responses and tolerance mechanisms to unfavorable temperatures.

Through the *in silico* and *in vivo* analyzes presented in this work it was possible to identify and select several genes, which are putative candidates to respond to low or high temperatures, drought, photo-oxidative and abscisic acid supply stresses in *Coffea arabica*. In addition to the induced stress genes, it was possible to analyze the *DREB* genes behavior in their respective subgroups, according to the applied stress in the coffee plants.

The set of results presented in this thesis provided important insights towards the characterization of the coffee homolog gene *DREBID* and to induced stress gene in *C. arabica*. It is important to emphasize that our work is the first one realized in coffee to perform a transcriptome analysis of a complete gene family under different stresses, besides in induced stress gene.

To our knowledge, the functional characterization of *CcDREBID* promoters, performed by stable genetic transformation of *C. arabica*, is the first work to analyze simultaneously homologous *DREB* promoters in a range of stresses and highlight the expression of a *DREB* gene in guard cells and plant tissues. The results presented here clearly detected GUS activity in stomatal guard cells of *CcDREBID*_{pro}:GUS constructs, mainly for the HP16L haplotype suggesting the existence of an direct pathway to activate *DREBID* gene specifically in this guard cells and plant tissues. Such results contribute to diversify the range of functional activity reported to *DREB* genes.

For the first time in the literature the identification of candidate genes in *C. arabica* under different conditions was made. The identification and characterization of these genes make it possible to carry out further studies, such the analysis of the differential expression between plants grown in the field and in the greenhouse, or prospecting the natural variability using different genetic materials, which allow identification and validation of polymorphisms and specific alleles for the development of molecular markers associated with tolerance to different abiotic stresses.

Meanwhile, further studies on transcriptomic data are recommended to be performed, such as RT-qPCR validation of all induced stress genes and bioinformatics analysis to confirm

the existence of crosstalks and allele-specificity expression in Arabica plants submitted to different stresses conditions.