

# DIRCÉIA APARECIDA DA COSTA CUSTÓDIO

# ANTIMICROBIAL RESISTANCE AND PUBLIC AND ANIMAL HEALTH RISKS ASSOCIATED WITH VIRULENT Escherichia coli ISOLATED FROM CALVES

LAVRAS – MG

2023

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Thesis presented to the Federal University of Lavras, as part of the requirements of the Postgraduate in Veterinary Sciences, to obtain the title of PhD.

Prof. Dr. Geraldo Márcio da Costa Advisor Prof. Dra. Elaine Maria Seles Dorneles Co-advisor

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# DIRCÉIA APARECIDA DA COSTA CUSTÓDIO

# RESISTÊNCIA A ANTIMICROBIANOS E RISCOS A SAÚDE PÚBLICA E ANIMAL ASSOCIADOS A *Escherichia coli* VIRULENTAS ISOLADAS DE BEZERROS

# ANTIMICROBIAL RESISTANCE AND PUBLIC AND ANIMAL HEALTH RISKS ASSOCIATED WITH VIRULENT *Escherichia coli* STRAINS ISOLATED FROM CALVES

Thesis presented to the Federal University of Lavras, as part of the requirements of the Postgraduate in Veterinary Sciences, to obtain the title of PhD.

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LAVRAS – MG

2023

Aos meus filhos Pâmela e Luiz Feliphe, pelo amor incondicional, por estarem sempre

ao meu lado.

A minha querida mãe "in memoriam "que sempre acreditou em mim e nunca me

deixou desistir.

Dedico

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# Summary

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#### Abstract

Escherichia coli is a bacterial agent that affects livestock and public health, being one of the most prevalent causes of diarrhea among human beings and several animal species. In bovine and buffalo calves, the disease causes serious injuries to the gastrointestinal tract of animals, impairing the absorption of nutrients, in addition to a systemic condition due to dehydration, loss of electrolytes, prostration and anorexia, which can lead to the death of affected animals. In addition to their importance for animal health, some E. coli pathotypes can also be transmitted to humans via the food chain, resulting in public health problems related systemic infections and increasing the concern to antibiotic resistance. Therefore, the aims of the present study were (i) perform a systematic review to determine the antimicrobial resistance profile of pathogenic E. coli isolated from intestinal tract of calves worldwide and (ii) determine the antimicrobial susceptibility profile of pathogenic E. coli strains isolated from calves and buffalo calves from 1990 to 2013 in Minas Gerais, Brazil, as well as, the frequency of O157 strains and strains carrying extended-spectrum beta-lactamases (ESBL) and mobile colistin resistance (mcr) genes. The systematic review recovered 932 papers and ended up with 56 studies, published between 1982 and 2020, which tested antimicrobial susceptibility among pathogenic E. coli mainly to disk diffusion method (82.14%) through cross-sectional studies (58.92%). Overall, high rates of resistance to the main classes of antimicrobials used in the treatment of gastrointestinal infections caused by E. coli strains it was observed among the selected studies. Likewise, among the virulent E. coli strains isolated from calves and buffalo calves in Minas Gerais, 1990 to 2013, high rates of resistance to penicillin, tetracyclines and folate inhibitors was observed, in addition to an alarming rate of multidrug resistance and strains able to produce ESBL. Altogether, our results point to the need of monitoring antimicrobial resistance among E. coli strains from animal origin, which should be developed from the perspective of One Health, through policies of pathogen prevention and control.

Keywords: Pathogenic. Virulence. Multidrug Resistance.

#### Resumo

Escherichia coli é um agente bacteriano que afeta a pecuária e a saúde pública, sendo uma das causas mais prevalentes de diarreia entre os seres humanos e diversas espécies animais. Em bezerros e bubalinos, a doença causa graves lesões no trato gastrointestinal dos animais, prejudicando a absorção de nutrientes, além de um quadro sistêmico devido à desidratação, perda de eletrólitos, prostração e anorexia, podendo levar à morte dos animais acometidos. Além de sua importância para a saúde animal, alguns patotipos de E. coli também podem ser transmitidos aos humanos através da cadeia alimentar, resultando em problemas de saúde pública relacionados a infecções sistêmicas e aumentando a preocupação com a resistência a antibióticos. Portanto, os objetivos do presente estudo foram (i) realizar uma revisão sistemática para determinar o perfil de resistência antimicrobiana de E. coli patogênica isolada do trato intestinal de bezerros em todo o mundo e (ii) determinar o perfil de suscetibilidade antimicrobiana de cepas de E. coli patogênicas isoladas de bezerros e bezerros bubalinos de 1990 a 2013 em Minas Gerais, Brasil, bem como a frequência de cepas O157 e cepas portadoras de genes de beta-lactamases de espectro estendido (ESBL) e resistência à colistina móvel (mcr). A revisão sistemática recuperou 932 artigos e finalizou com 56 estudos, publicados entre 1982 e 2020, que testaram a suscetibilidade antimicrobiana entre E. coli patogênica principalmente ao método de difusão em disco (82.14%) em estudos transversais (58.92%). No geral, altas taxas de resistência às principais classes de antimicrobianos utilizadas no tratamento de infecções gastrointestinais causadas por cepas de E. coli foram observadas entre os estudos selecionados. Da mesma forma, entre as cepas virulentas de E. coli isoladas de bezerros e búfalos em Minas Gerais, de 1990 a 2013, foram observadas altas taxas de resistência à penicilina, tetraciclinas e inibidores de folato, além de uma taxa alarmante de multirresistência e cepas capazes de produzir ESBL. Em conjunto, nossos resultados apontam para a necessidade de monitoramento da resistência antimicrobiana entre cepas de E. coli de origem animal, o que deve ser desenvolvido na perspectiva de Uma Saúde, por meio de políticas de prevenção e controle de patógenos.

Palavras chaves: Patogênica. Virulência. Multirresistência.

#### **General Introduction**

Antimicrobial resistance (AMR) is one of the greatest challenges of the modern world and addressing this growing threat requires a multisectorial approach, not only to human health, but also to the animal and environment health (TACCONELLI et al., 2019). The antimicrobial resistance is a natural process, but it can be as accelerated by abuse and misuse of medicines in humans and animals. Animal production systems aligned with the unproper use of antimicrobials have been identified as one of the main responsible for the emergence of AMR bacteria (USE et al., 2017).

Among the enteric diseases in animals, diarrhea caused by *E. coli* is one of the most frequent, associated with different pathotypes causing high losses in animal production systems and different zoonotic potential (ANDRADE et al., 2012; CHO et al., 2014). Plasticity, in line with its ability to adapt to constantly changing environments, allows *E. coli* to acquire a large number of AMR mechanisms.

In this context, some *E. coli* pathotypes are particularly important in the pathogenesis of diarrhea in calves, such as enterotoxigenic *E. coli* (ETEC), enteropathogenic *E. coli* (EPEC), enterohemorrhagic *E. coli* (EHEC), Shiga toxin-producing *E. coli* (STEC) and necrotoxigenic *E. coli* (NTEC) (Coura et al., 2014). In addition to their importance for animal health, some *E. coli* pathotypes, such as STEC and EHEC, can also be transmitted to humans through direct contact with animals or through food products (JOSEPH et al., 2020). Furthermore, it can also cause urinary tract infections (cystitis), neonatal meningitis, or septicemia in human beings (ARSHAD et al., 2015).

Given the current scenario, new approaches for the characterization *E. coli* strains and monitoring of its antimicrobial resistance profiles must be developed from the perspective of One Health, aiming the protection of public health through policies for the prevention and control of this pathogen in animal populations at the interface between humans, animals and the environment. Therefore, the objectives of chapter one is to conduct a systematic review to assess ADR among pathogenic *E. coli* isolated from the intestinal tract of calves worldwide and chapter two was to determine the antimicrobial susceptibility profile of pathogenic strains of *E. coli* isolated from calves and buffalo calves from 1990 to 2013 in Minas Gerais, Brazil, as well as the frequency of O157 strains and strains carrying beta-lactamase spectrum (ESBL) and mobile colistin resistance genes (*mcr*).

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#### **CHAPTER 1**

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# Systematic review on antimicrobial resistance in pathogenic *Escherichia coli* isolated from calves

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#### Highlights

High levels of resistance to several classes of antimicrobials among gastrointestinal infections from calves

Diversity of antimicrobials and potential risks to animal and public health.

E. coli pathotypes involved in gastrointestinal infections in calves.

#### Abstract

The present study aimed to perform a systematic review to determine the antimicrobial resistance profile of pathogenic *Escherichia coli* strains isolated from intestinal tract of calves worldwide. For this, six databases were searched (CABI, Cochrane, Pubmed, Scielo, Scopus and Web of Science), without restriction when the studies were published. The search recovered 932 papers and ended up with 56 studies, published between 1982 and 2020, after selection based on title, abstract and full text. The technique most used to determine susceptibility to antimicrobials was the disk diffusion test [82.14% (46/56)], followed by MIC [17.85% (10/56)]. Only two studies [3.57% (2/56)] performed both tests (disk diffusion and MIC). For the disk diffusion tests, seventy-nine different antimicrobial drugs of seventeen classes were tested. Regarding, broth diffusion tests to asses minimal inhibitory concentration (MIC), fifteen different classes were tested with a total of sixty-one antimicrobial drugs. Cephalosporins was the most tested antimicrobial class, both in disk diffusion and MIC methods. Antimicrobials classes with highest resistance levels were observed for tetracyclines, penicillin's, folate inhibitors, aminoglycosides, phenicol's and quinolones. Due the heterogeneity and low quality of the studies, mainly regarding antimicrobial susceptibility test methodology, it was not possible to perform metanalyses. These findings indicate the importance to carry out studies based on welldesigned analyzes in order to understand the real emergence and spread of pathogenic and resistant strains.

**Keywords:** Epidemiology. *Enterobacteriaceae*. *Bovine*. Antimicrobial Susceptibility. Enteropathogenic.

## 1. Introduction

Diarrheagenic *Escherichia coli* are responsible for important economic losses in cattle, causing reduced animal weight gain, animal mortality and high drug costs [1]. In this context, some *E. coli* pathotypes are particularly important in the pathogenesis of diarrhea in calves, such as enterotoxigenic *E. coli* (ETEC), enteropathogenic *E. coli* (EPEC), enterohemorrhagic *E. coli* (EHEC), Shiga toxin-producing *E. coli* (STEC) and necrotoxigenic *E. coli* (NTEC) [2]. The classification of *E. coli* in pathotypes is based on their attributes of virulence, pathogenesis and clinical signs present in the host, having different potential to cause disease [3-5].

In addition to its animal health significance, some *E. coli* pathotypes, such as STEC and EHEC, can also be transmitted through food products to humans, being considered a public health issue [6]. Cattle are the main reservoirs for these pathotypes, since they can shed the pathogen in their feces, leading to contamination of the environment, food and water [2]. Data from the CDC [7] showed a significant number of 6,034 infections by Shiga toxin-producing *E. coli* (STEC), including 2,363 infections by O157, evidencing the alarming public health concern that this pathotype represent. Furthermore, some patients with STEC/EHEC infection develop hemolytic uremic syndrome (HUS), a serious complication associated, especially with serotype O157, characterized by renal failure, hemolytic anemia and thrombocytopenia that can be fatal [8, 9].

Other important human health concern associated with *E. coli* infections from animal origin are the worrisome and increasing antimicrobial resistance (AMR), one of the greatest challenges of the 21<sup>st</sup> century [10, 11]. The dissemination of AMR is a global problem and a One Health priority, as new forms of resistance can emerge and spread rapidly across continents through people, animals, and environments [11, 12]. In addition, according to World Health Organization (WHO) most of antimicrobial drugs are inappropriately prescribed, and most countries do not implement basic policies to promote the rational use of medicines in animal and human health [13].

Given that, the present study aimed to carry out a systematic review to assess the AMR among pathogenic *E. coli* isolated from intestinal tract of calves worldwide, in order to support decisions on public policies for animal and human health and to diagnose the current scenario of drug resistance in this important pathogen.

### 2. Material and methods

In the present review, the guidelines of the PRISMA (Preferred Reported Items for Systematic Reviews and Meta-Analyses) were adopted (Appendix S1) [14].

#### 2.1 Search strategy

The search was carried out on May 06<sup>th</sup> 2020 in the following databases: CABI, Cochrane, PubMed, Scielo, Scopus and Web of Science. It was carried out based on the following keywords searched within all the sections from papers (title, abstract and full text): (bovine\* OR cattle OR calve\* OR calf OR heifer\* OR cow\* OR herd\* OR farm\*) AND (pathogenic\* OR pathotypes\* OR virulence genes\* OR virulence factors\* OR virulence\*) AND (*Escherichia coli*) AND (antimicrobial OR antibiotic OR resistan\* OR susceptibility OR minimal inhibitory concentration OR MIC OR disk diffusion OR resistance gene\* OR antimicrobial resistance genes OR drug resistan\*) AND (intestinal tract OR diarrhea) without restrictions regarding the time when the studies were published. Details on search terms used are described in Appendix S2.

The records retrieved were imported into EndNote X7.8 (Thomson Reuters, USA) and the duplicates were removed.

#### 2.2 Selection strategy

In the initial stage of selection, the studies were selected based on their titles by two reviewers (DACC and ACRF). Right after, the two reviewers (DACC and ACRF) independently evaluated each abstract. Then, the full text of the articles selected based on the abstract were screened in terms of its

relevance and through inclusion/exclusion criteria. When the two reviewers disagreed in any stage, a third reviewer (EMSD) was responsible for the final decision.

#### 2.3 Inclusion and exclusion criteria

Selected articles should be focused on assessment of antimicrobial susceptibility by means of in vitro tests of pathogenic *E. coli* isolated from intestinal tract or feces of calves. Articles written in languages other than English, Spanish, French and Portuguese, as well as those with full text not available or that were no original research papers (proceedings, thesis, abstract, book chapter and reviews) were excluded. Full inclusion and exclusion criteria were described in Appendix S3.

#### 2.4 Quality assessment

Evaluation of the quality of the papers included by eligibility was carried out by two authors (DACC and EMSD) based on the following criteria: (*i*) antimicrobial susceptibility test used (disk diffusion or minimal inhibitory concentration - MIC); (*ii*) the use of reference standards for performance and interpretation of antimicrobial susceptibility tests [15-17] (*iii*) information on the concentration of tested antimicrobials (disk concentration or MIC range); (*vi*) information on the breakpoint or halo diameter for the classification of the strains as resistant or susceptible; (*v*) use of quality control strains in the assays. All criteria were evaluated qualitatively and quantitatively, with the same weight.

#### 2.5 Data extraction

Data extraction was performed by one of the reviewers (DACC) and then checked for accuracy by another reviewer (EMSD). Extracted data included: first author, geographic location where the study was performed, year of bacterial isolation, target population, type of study, type of livestock production (when available), type of clinical sample, number of clinical samples (when available), number of animals (when available), age of animals (when available), number/frequency of positive animals (when available), frequency of diarrhea (when available), number of bacterial isolates, number of pathogenic isolates, diagnostic method used (culture and isolation, biochemical test, PCR), genotypes of resistance (when available), antimicrobial susceptibility test(s) used (method, standard reference, quality control, antimicrobial concentration, etc.) and pathogenicity assessment method used (phenotypic or genotypic).

#### 2.6 Statistical analysis

Data extracted from the selected papers was imported into R statistical software version 4.2.1 [18] and a descriptive analysis was performed. The figures were performed using ggplot2 [19] and cyclize packages [20]. Numerical variables were analyzed by calculating the mean, standard deviation, median and interquartile range (IQR), whereas categorical variables were examined by frequency distributions.

### 3. Results

#### 3.1 General characteristics of studies included by eligibility

The initial search identified 932 articles. After removing 199 duplicates, 733 papers remained. Of these, 619 articles were excluded after screening of their title and abstract, lasting 114 articles, from which 13 records were not retrieved. Therefore, a total of 101 articles were screened by full text, from which 45 were excluded based on reading the full text, leaving 56 articles that were included in the study by eligibility and subject to evaluation of quality criteria (Fig.1 and Appendix S4).

The temporal and geographical distribution of the articles selected in the present study is shown in Figure 2 (A and B). Most of papers included by eligibility were published in 2019 [17.85% (10/56)], followed by 8.97% (5/56) in 2017, 7.14% (4/56) in 2006, 2012, 2014 and 2015, 5.35% (3/56) in 2011, and 3.57% (2/56) in 2005, 2008 and 2018. In some years (1982, 1988, 1989, 1996, 1999, 2000, 2001, 2002, 2004, 2010, 2013, 2016 and 2020) only one study (1.78%) on antimicrobial resistant *E. coli* from calves was selected.

Regarding the geographical distribution of the papers, most of the studies were published in India [16.07% (9/56)], followed by Egypt [10.71% (6/56)], USA and Spain [8.92% (5/56) each],

Brazil [7.14% (4/56)], China, France, Italy and South Africa [5.35% (3/56) each], and Argentina, Iran and Turkey [3.57% (2/56) each]. Countries with only one study published were [1.78% (1/56)] Bangladesh, Belgium, Canada, Chile, Pakistan, Sweden, Tanzania and Uruguay. One [1.78% (1/56)] of the selected studies did not inform where the study was carried out (Khalifa et al., 2019) (Fig. 2A).

Among the selected papers, 83.92% (47/56) of the studies isolated pathogenic *E. coli* strains from intestinal tract of calves and 16.07% (9/56) of buffalo calves. For sampling, 78.57% (44/56) performed the isolations from stool samples, 14.28% (8/56) from rectal swab and 1.78% (1/56) from intestinal content, whereas three studies (5.36%) did not report the clinical sample used although they state that the strains were isolated from diarrheic calves. Regarding the type of livestock production, 41.07% (23/56) of the studies were conducted in dairy farms, 3.35% (3/56) in dairy/beef farms and 53.57% (30/56) did not inform the type of production sampled. Most of the papers adopted the cross-sectional study design [58.92% (33/56)], while 5.35% (3/56) were case-control studies and 35.71% (20/58) had no study design.

The frequency of diarrhea among the sampled animals ranged from 0 to 100%, however 14.28% (8/56) of the studies had no information on diarrhea. The number of clinical samples tested per study ranged from 4 to 824, with mean 212.55 ( $\pm$ 199.94) and median 118.50 (IQR 247) (14 articles did not inform the number of tested samples). The number of tested animals varied from 16 to 600, with mean 165.19 ( $\pm$  157.31) and median 107.5 (IQR 114.14). This information was not available in 42.86% (24/56) of the selected papers. Among the selected papers, the number of isolates ranged from 1 to 700, with mean 133.04 ( $\pm$  152.25) and median 87 (IQR 175.25), nevertheless, the number of isolates considered pathogenic (positive for at least one of the tested virulence factors – based on phenotypic or genotypic tests) ranged from 1 to 419, with mean 45.10 ( $\pm$  66.43) and median 18 (IQR 55). *E. coli* isolates were confirmed by a species-specific PCR (Polymerase Chain Reaction) in 26.78% (15/56) of the studies, while the other used only biochemical tests for species identification.

The main characteristics of the studies are summarized in Fig. 3 and will be further detailed in the following sections.

#### 3.2 Determination of virulence in E. coli strains

The virulence of *E. coli* isolates was evaluated by PCR amplification of virulence genes in 82.14% (46/56) of the selected papers (Table 1), whereas 23.21% (13/56) of the studies assessed the pathogenicity of the strains by different phenotypical assays (Table 2). The virulence genes assessed and the frequency of studies in which they were observed are shown in Table 1. The main virulence genes investigated in the articles were: Shiga toxins (*stx, stx1, stx2, stx2a, stxb, stxc, stxd, stx2e* and *stx2g*) [71.74% (33/46)], intimin (*eae* and *eaeA*) [60.86% (28/46)], fimbrial adhesins (*F4, F5, F6, F17, F17c, F17g, F17f, F18* and *F41*) [26.09% (12/46)], thermolabile enterotoxins (*IntII\_LT, elt*, and *eltA*) [23.91% (11/46)], hemolysins (*hlyA* and *hlyF*) [21.74% (10/46)], thermostable enterotoxins (*st, sta, and stb*) [21.74% (10/46), enterohemolysin (*ehxA, ehlyA*, and *ehly*) [17.39% (8/46)], necrotizing factor (*cnf1* and *cnf2*) [15.22% (7/46)], cytolethal distending toxins (*cdt, cdtb,* and *cdtIII*) [8.70% (4/46)] and verocytotoxin (*vt, vt2e, vtx,* and *vtx2*) [4.35% (2/46)].

Regarding serotyping, 62.5% (35/56) of the studies determined serogroups, 80.0% (28/35) performed serotyping by serum agglutination tests and 20% (7/35) identified serogroups by PCR, while 37.5% (21/56) did not inform the methodology used.

#### 3.3 Main characteristics of the antimicrobial susceptibility tests used

Among all the studies selected, the technique most used to determine susceptibility to antimicrobials was the disk diffusion test [82.14% (46/56)], followed by MIC [17.85% (10/56)]. Only two studies [3.57% (2/56)] performed both tests (disk diffusion and MIC). The E-test was not used by any of the selected studies.

Regarding procedure, 57.14% (32/56) of the studies followed the methodology and interpretation parameters described by the CLSI or Eucast, 23.2 % (13/56) followed the parameters proposed by Bauer et al. (1966), whereas 12.58% (7/56) followed other references and four studies [7.14% (4/56)] did not inform the adopted reference. Most of the studies did not present quality controls (QC) [69.64% (39/56)], which was informed only by 30.35% (17/56) of studies. Regarding

concentration of antimicrobials, most studies reported the concentration used [64.28% (36/56)] and 35.71% (20/56) did not provide this information (Appendix S5).

#### 3.4 Antimicrobials classes and drugs used in disk diffusion tests

Among the studies selected in this systematic review, forty-six (82.14%) used the disk diffusion method to assess antimicrobial susceptibility of *E. coli* strains. The percentages of studies that tested and observed resistance to different antimicrobial classes and drugs, as well as the concentrations used, are shown in Table 3.

A total of seventeen classes were tested, totalizing seventy-nine different antimicrobials drugs. The class with more representatives was cephalosporins, with sixteen different antimicrobials tested drugs [20.25% (16/79)], followed by penicillins [18.99% (15/79)], aminoglycosides and folate inhibitors [10.13% (8/79) each], fluoroquinolones [7.59% (6/79)], quinolones [6.33% (5/79)], macrolides [5.06% (4/79)], tetracyclines and lincosamides [3.80% (3/79) each], carbapenems, phenicols, and polymyxins [2.53% (2/79)], and aminocoumarins, fosfomycins, macrocyclic, monobactam, and nitrofurans [1.27% (1/79)].

Among the cephalosporins, the most tested antimicrobial within the class was cefotaxime, in 23.91% of the studies (11/46), followed by cephalothin [17.39% (8/46)]; ceftazidime [15.21% (7/46)]; cefepime, ceftiofur and cefuroxime [23.91% (11/46) each]; ceftriaxone [8.69% (4/46)]; cefalexin, cefoxitin and cefaclor [6.52% (3/46)]; each]; and cefaloridine, cefazolin, cefetrizole, cefixime, cefoperazone, cephalonium [2.17% (1/46) each].

Regarding penicillins, ampicillin was the main antimicrobial tested, present in 60.86% (28/46) studies, followed by amoxicillin [26.08% (12/46)], amoxicillin/clavulanic acid [23.91% (11/46)], penicillin G [10.86% (5/46)], cloxacillin, oxacillin and piperacilline/tazobactam [4.34% (2/46) each], and amdinocillin, amoxiclav, ampicillin/sulbactam, mezlocillin, tazobactam, temocillin, ticarcillin, and ticarcillin/clavulanate acid [2.17% (1/46) each].

For the aminoglycosides, gentamicin was the most frequent [58.69% (27/46)], followed by streptomycin [52.17% (24/46], kanamycin [36.95% (17/46)], amikacin [30.43% (14/46)], neomycin [26.08% (12/46)], spectinomycin [6.52% (3/46)], apramycin [4.34% (2/46)], spectinomycin [6.52% (3/46)] and tobramycin [2.17% (1/46)]. Sulfamethoxazole/trimethoprim was the more frequent among folate inhibitors [50.0% (23/46)], followed by cotrimoxazole [13.04% (6/46)], trimethoprim [10.86% (5/46)]; sulfamethoxazole and sulfonamides [8.69% (4/46) each], and sulfadiazine, sulfaprim, and trimethoprim/sulfadiazine [2.17% (1/46) each].

Enrofloxacin was the main antimicrobial tested among fluoroquinolones, present in 43.18% (19/44) studies. Besides enrofloxacin, other five fluoroquinolones was tested, all present only in one study: danofloxacin, flumequine, marbocyl, marbofloxacin, and ofloxacin [2.17% (1/46) each]. Quinolones were represented by five antimicrobials, being nalidixic acid the most tested [34.78% (16/46)], followed by ciprofloxacin [28.26% (13/46)], norfloxacin [23.91% (11/46)], and levofloxacin and pefloxacin [2.17% (1/46) each]. Among the macrolides, erythromycin was the most tested [13.04% (6/46)], while espiramycin, thiomicosin, and tilosin were observed just in one study each [2.17% (1/46)].

Regarding lincosamides, lincomycin was tested in two studies [4.34% (2/46)], and clindamycin and lincospectin were tested in only one each [2.17% (1/46)]. Tetracycline was the main antimicrobial tested among tetracyclines, present in 67.39% (31/46) of the studies, while doxycycline and oxytetracycline was observed in only one [2.17% (1/46)]. Carbapens was represented by imipenem and meropenem [8.69% (4/46) each]. About phenicols, chloramphenicol was tested in 45.65% (21/46) of the studies, while florfenicol was tested in 13.04% (6/46). Representing the polymyxins, colistin was present in 15.21% (7/46) of the studies, while polymyxin B was present in only one [2.17% (1/46)].

Finally, the following classes were represented by only one antimicrobial each: aminocoumarin, with novobiocin tested in one study [2.17% (1/44)]; fosfomycins, with fosfomycin also in one study [2.17% (1/46)]; macrocyclic, represented by rifampicin in two studies [4.34%

(2/46)]; monobactam, with aztreonam, in four studies [8.69% (4/46)]; and nitrofurans, represented by nitrofurantoin in 10.86% (5/46) of the studies.

#### 3.5 Antimicrobials classes and drugs used in minimal inhibitory concentration (MIC)

Only 17.85% (10/56) of the studies used MIC to assess antimicrobial susceptibility of *E. coli* strains and the percentages of the studies that tested each class and antimicrobial drug, as well as the concentration ranges, are shown in Table 4.

Fifteen different classes were tested representing a total of sixty-one antimicrobials drugs. Cephalosporins was the class with more representatives [16.39% (10/61)], followed by penicillins [14.75% (9/61)], aminoglycosides [13.11% (8/61)], quinolones and folate inhibitors [9.84% (6/61)], macrolides [8.20% (5/61)], carbapenems, phenicols, and tetracyclines [4.92% (3/61)], nitrofurans and polymixins [3.28% (2/61)], and lincosamides, manobactam, and glicyclines [1.64% (1/61)]. Among cephalosporins, ceftiofur was the main antimicrobial tested, present in seven studies [70.0% (7/10)], followed by ceftriaxone and cefoxitin, in two studies each [20.0% (2/10)], and cephazolin, cephalotin, cefuroxime, cefotaxime, cefquimone, cefepime, and ceftazidime in one study each [10.0% (1/10)]. Ampicillin was the main antimicrobial teste among penicillins, present in ten studies [100.0% (10/10)]. Besides ampicillin, other eight penicillins were tested in one study each: co-amoxiclav, amoxicillin/clavulanate acid, ampicillin/sulbactam, penicillin, piperacillin, piperacillin/tazobactam, ticarcillin, ticarcillin/clavulanic acid [10.0% (1/10)].

Regarding aminoglycosides, kanamycin was the most tested antimicrobial, present in 100% (10/10) of the studies, followed by gentamycin in 80.0% (8/10), streptomycin in 70.0% (7/10), neomycin in 30.0% (3/10), and apramycin, amikacin, spectinomycin and tobramycin in one study each [10.0% (1/10). Quinolones were represented by nalidixic acid in four 40.0% (4/10), ciprofloxacin in 30.0% (3/10) of the studies, enrofloxacin in 20.0% (2/10), enoxacin, danofloxacin, moxifloxacin and oxolinic acid in 10.0% (1/10). About folate inhibitors,

sulfamethoxazole/trimethoprim, sulphonamide, and trimethoprim were present in three studies each [30.0% (3/10)], while sulfadimethoxine was present in two [20.0% (2/10)], and sulfametoxazol, and sulfisoxazol were present in only one study each 10.0% (1/10). Carbapens were represented by imipenem, ertapenem, and meropenem present in one study each [10.0% (1/10)].

For the phenicols, chloramphenicol and florfenicol were tested in five studies [50.0% (5/10)]. Tetracycline was the main antimicrobial tested among tetracyclines [50.0% (5/10)], while chlortetracycline and oxytetracycline were tested in only one study each [10.0% (1/10)]. Nitrofurantoin and nitrofurazone, belonging to nitrofurans class, were present in one study each [10.0% (1/10)], while polymyxins were represented by polymyxin B and colistin, both present in one study each as well [10.0% (1/10)]. Lincosamides, glicyclines, and monobactam classes were represented by one antimicrobial each: clindamycin [10.0% (1/10)], tigecycline [10.0% (1/10)], and aztreonam [20.0% (2/10)], respectively.

#### **3.6 Resistance genotypes prospected by PCR**

Seventeen studies [30.36% (17/56)] assessed antimicrobial resistance genes in virulent *E. coli* strains isolated from intestinal tract of calves. The genes evaluated are associated with resistance against to aminocoumarins, aminoglycosides, carbapenems, cephalosporins, cephamycins, diaminopyrimidines, macrolides, monobactam-cephalosporins, monobactams, phenicols, quinolones, quinolones-macrolides, sulfonamides-macrolides-cephalosporins, sulfonamides, and tetracyclines.

The aminoglycosides were the class with the highest number of different genes associated with resistance researched in the selected articles, with 17 genes; followed by the class of quinolones with twelve genes researched and tetracyclines with eight genes. The classes with less evaluated genes were aminocoumarinium, carbapenems, cephamycin with one or two assessed genes each. Detailed information about the researched genes by antimicrobial class, as well as those identified in the selected articles, are shown in the Table 5.

The number of studies that used phenotypic and molecular methods to assess virulence and resistance in *E. coli* strains isolated from intestinal tract or feces of calves is shown in Figure 4.

#### 4. Discussion

The present review aimed to provide reliable data on the situation of the AMR among pathogenic *E. coli* from calves around the world. However, the analysis of the selected studies showed important gaps in the information regarding the methodology used for antimicrobial susceptibility tests, such as the no use of quality control strains in the assays, no information on the tested antimicrobial concentration, as well as poor report on the breakpoints/halo diameter criteria used to classify strains as resistant or susceptible to antimicrobials. The absence of these critical information difficult to guarantee the reliability of the results observed in some studies, in addition to preclude the performance of more robust analysis (meta-analysis) on these data and thereby the drawing of strong inferences, since key data is missing.

However, despite the lack of important data in some papers included, this systematic review judiciously analyzed the selected studies using several criteria of eligibility, which allowed to generate information of great relevance to the proposed subject, even that the assessment on the exact frequency of isolates resistant or susceptible to antimicrobials could not be performed. In general, the results of the selected studies demonstrated high resistance rates to the main classes of antimicrobials recommended for the treatment of gastrointestinal infections in calves, such as tetracyclines, penicillin, folate inhibitors (sulfamethoxazole-trimethoprim), aminoglycosides, phenicol and quinolones. Not coincidentally, these antimicrobial classes, especially tetracyclines, penicillin, folate inhibitors, macrolides and aminoglycosides, are among the most used in food producing animals in United States [21] and European Union [22]. Therefore, it is undeniable that the alarming rates of AMR observed in the present study, as well as by elsewhere [23, 24], among pathogens from animal origin are strongly related to the use of drugs of medical importance in food producing animals.

Furthermore, this intense use antimicrobials in animals intended for human consumption can also lead another concerning associated to their residues or metabolites in meat, milk and eggs [25, 26]

In fact, among the seventeen antimicrobial classes tested by disk-diffusion method, including 79 different bases, only for six drugs resistance was not observed, while for 11 information on AMR was not available. Likewise, among the studies that performed MIC, 15 different classes were tested, representing a total of 61 different antimicrobial drugs, from which only 7 did not exhibit AMR, while for 16 information was not available. These findings, especially considering the great diversity of drugs and concentrations assessed, emphasize the disturbing situation of the AMR among zoonotic pathogens from animal origin, evidencing their potential risks for animal and public health. Disk diffusion was the most used technique among the selected studies, probably due to the fact that this technique is less expensive and laborious compared to the MIC method. However, a negative point of this method is that it only provides qualitative information (resistant, intermediate and susceptible), whereas by using the MIC, it possible to obtain qualitative and quantitative results (being possible to determine the lowest concentration of the antimicrobial that will be able to inhibit bacterial growth).

Furthermore, our findings also suggest a difficulty in treating these infections caused by *E. coli*, which can be even worse taking into account that all studies included in the systematic review tested only strains that exhibited at least one virulence factor (not commensal bacteria which does not need to be treated with antimicrobials). Several different *E. coli* pathotypes with different potential to cause disease in animals and humans were investigated among selected studies, being STEC (Shiga toxin) the most searched, probably because of cattle is the main reservoirs for this pathotype and due its clinical importance in humans [2, 27]. In addition to the consequences on public health, several *E. coli* pathotypes identified in the selected papers are also involved in gastrointestinal infections in calves, being important causes of diarrhea and economical losses for animal production worldwide [5].

Regarding the spatial and temporal distribution of the papers included in the systematic review, they were published in the last 23 years, being India the country with the highest number of publications, followed by Egypt, USA, Spain and Brazil. India has the largest cattle herd in the world and is considered the epicenter of the global antimicrobial resistance crisis, with unprecedented consumption and inadequate production of antimicrobials, which can explain the first position among the selected papers [27, 28]. The spatial distribution of the studies point to a global interest in AMR in pathogenic E. coli from calves, revealing a important participation of countries that are central players in livestock production, as importers or exporters (Figure 2B). On the other hand, the temporal distribution of the selected papers shows a more recent concentration of studies on AMR among E. coli, which can be justified by the also recent global increase in AMR among bacteria of medical importance [29] In fact, the intensification of animal production, the large food trade in general among countries can contribute to the spread of various forms of resistance [30]. In this sense, our results also showed that the different antimicrobial resistance genes (ARG) were identified among the pathogenic E. coli strains from calves in the selected studies, which is an important issue, considering the ability of E. coli to exchange genetic material with numerous other bacteria, including microorganisms from normal microbiota [31]. In this context, ARG can be transmitted to humans or other animals (wildlife and domestic) through the contamination of different environments, representing a One Health risk [13].

A limitation of this study was the inability to carry out an assessment of the exact frequency of antimicrobial resistant or susceptible isolates (meta-analysis), due to heterogeneity among studies, in addition to the lack or poor description of crucial information regard AMR, which prevented a more robust analysis of the results. These findings highlight the importance of adopting a judicious methodology in scientific research, in order to guarantee the reliability of the study and the full use of the data generated.

## 5. Conclusion

This systematic review observed great heterogeneity in the criteria used by the studies to assess the AMR on pathogenic *E. coli* isolated from calves worldwide, revealing a low methodological quality in most of the selected papers. Nonetheless, despite that our results showed a high prevalence of AMR among the main classes used in the treatment of gastrointestinal infections caused by *E. coli*, especially tetracyclines, penicillin, folate inhibitors, macrolides and aminoglycosides, besides a great pathogenic potential of the strains analyzed considering the virulence profiles and ARG observed.

### **Conflict of Interest Statement**

The authors declare no conflict of interest

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#### Identification of studies via databases

Identification

Screening

ncluded



Fig. 1 – PRISMA flow diagram of selected studies by this systematic review on *Escherichia coli* isolated from intestinal tract of calves and buffalo calves, published between 1982 and 2020.



Fig. 2 – Temporal and geographical distribution of the selected articles. (A) Distribution of the articles included by eligibility according to the year of publication. (B) Distribution of the articles included by eligibility according to the country where the study was performed.



Fig 3. Analysis of the main characteristics of the studies selected by this systematic review on *Escherichia coli* isolated from intestinal tract of calves and buffalo calves, published between 1982 and 2020.


Fig 4. Distribution of studies according to performance of resistance and virulence genotyping and phenotyping tests, selected by this systematic review on *Escherichia coli* isolated from intestinal tract of calves and buffalo calves, published between 1982 and 2020.

# Tables

Table 1 – Frequency of prospection and identification of virulence mechanisms in studies selected by this systematic review on *Escherichia coli* isolated from intestinal tract of calves and buffalo calves, published between 1982 and 2020.

| Gene/target | Virulence mechanism  | N of studies (%) | Identified |
|-------------|--|------------------|------------|
| Afa         | Afimbrial adhesin  | 1/46 (2.17)      | Yes        |
| Air         | Autotransporter adhesin  | 1/46 (2.17)      | Yes        |
| aggR        | Adherence transcriptional regulator                                  | 1/46 (2.17)      | Yes        |
| astA        | Thermostable cytotonic enterotoxin                                   | 5/46 (10.87)     | Yes        |
| <i>bcsA</i> | Bacterial cellulose synthesis  | 1/46 (2.17)      | Yes        |
| <i>bfpA</i> | Main subunit of the bfp fimbria, which enables bacterial aggregation | 6/46 (13.04)     | Yes        |
| Ста         | Encodes bacteriocins/microcins                                       | 2/46 (4.35)      | Yes        |
| Cnf         | Cytotoxic necrotizing factor   | 2/46 (4.35)      | Yes        |
| cnfl        | Cytotoxic necrotizing factor   | 5/46 (10.87)     | Yes        |
| cnf2        | Cytotoxic necrotizing factor   | 6/46 (13.04)     | Yes        |
| Cia         | Protein secretion (invasion antigen)                                 | 1/46 (2.17)      | Yes        |
| clp-g       | Protein secretion (Type VI secretion system)                         | 1/46 (2.17)      | Yes        |
| cs31A       | Capsule-like antigen   | 1/46 (2.17)      | Yes        |
| cvaA        | secretion protein (colicin V)  | 1/46 (2.17)      | Yes        |
| Crl         | Fimbria curli regulator  | 1/46 (2.17)      | Yes        |
| csgA        | Temperature regulated curli filament                                 | 2/46 (4.35)      | Yes        |
| csgD        | Temperature regulated curli filament                                 | 1/46 (2.17)      | Yes        |
| Ccdt        | Cytolethal distending toxins   | 1/46 (2.17)      | Yes        |
| cdtB        | Cytolethal distending toxins   | 1/46 (2.17)      | NI         |
| cdtIII      | Cytolethal distending toxins   | 2/46 (4.35)      | Yes        |
| eaeA        | Adhesion factor plasmid  | 1/46 (2.17)      | Yes        |
| etsC        | Response regulator   | 1/46 (2.17)      | Yes        |
| eitB        | Virulence transcriptional regulator                                  | 1/46 (2.17)      | Yes        |
| eilA        | Virulence transcriptional regulator                                  | 1/46 (2.17)      | Yes        |
| east1       | Aggregative adherence fimbriae                                       | 1/46 (2.17)      | Yes        |

| Est      | Carboxyl hydrolases/esterase                   | 3/46 (6.52)   | Yes |
|----------|--|---------------|-----|
| estA     | Carboxyl hydrolases/esterase                   | 2/46 (4.35)   | Yes |
| Let      | Heat-labile enterotoxin                        | 3/46 (6.52)   | Yes |
| eltA     | Heat-labile enterotoxin                        | 1/46 (2.17)   | Yes |
| Efa      | Factor for adherence                           | 1/46 (2.17)   | Yes |
| espA     | Type III secretion system translocator protein | 1/46 (2.17)   | Yes |
| espB     | Type III secretion system translocator protein | 1/46 (2.17)   | Yes |
| espF     | Type III secretion system effector E           | 1/46 (2.17)   | Yes |
| espP     | Serine protease. Cleaves coagulation factor V  | 2/46 (4.35)   | Yes |
| ehxA     | Enterohemolysin                                | 3/46 (6.52)   | Yes |
| Ehly     | Enterohemolysin                                | 2/46 (4.35)   | Yes |
| ehlyA    | Enterohemolysin                                | 1/46 (2.17)   | Yes |
| Eae      | Intimin-like adhesin                           | 27/46 (58.70) | Yes |
| eaeA     | Intimin-like adhesin                           | 5/46 (10.87)  | Yes |
| etpD     | type II secretion system secretin              | 1/46 (2.17)   | Yes |
| ehaAα    | Autotransporter adhesin                        | 1/46 (2.17)   | Yes |
| ehaAβ    | Autotransporter adhesin                        | 1/46 (2.17)   | Yes |
| Escv     | Secretion system genes                         | 2/46 (2.17)   | Yes |
| fyuA     | Siderophore receptor                           | 1/46 (2.17)   | Yes |
| F4 (K88) | Fimbrial adhesive                              | 3/46 (2.17)   | Yes |
| F5 (K99) | Fimbrial adhesive                              | 12/46 (26.09) | Yes |
| F6(987P) | Fimbrial adhesive                              | 3/46 (6.52)   | Yes |
| F17      | Fimbrial adhesive                              | 1/46 (2.17)   | Yes |
| F17c     | Fimbrial adhesive                              | 2/46 (4.35)   | Yes |
| F17g     | Fimbrial adhesive                              | 1/46 (2.17)   | Yes |
| F18      | Fimbrial adhesive                              | 4/46 (8.70)   | Yes |
| F41      | Fimbrial adhesive                              | 9/46 (19.56)  | Yes |
| fimH     | Protein precursor                              | 3/46 (6.52)   | Yes |
| Flu      | Cell self-aggregation                          | 1/46 (2.17)   | Yes |
| fiCH4    | Flagellin                                      | 1/46 (2.17)   | Yes |
| Gad      | Acid resistance                                | 2/46 (4.35)   | Yes |
| hlyA     | Hemolysin                                      | 8/46 (14.29)  | Yes |
| hglyF    | Hemolysin                                      | 2/46 (4.35)   | Yes |

| ibeA               | Invasion protein   | 1/46 (2.17)  | Yes |
|--------------------|--|--------------|-----|
| Iha                | Siderophore receptor/adhesin                             | 2/46 (4.35)  | Yes |
| ireA               | Siderophore receptor                                     | 2/46 (4.35)  | Yes |
| iroN               | Iron acquisition   | 2/46 (4.35)  | Yes |
| irp-2              | Polyketide synthase                                      | 1/46 (2.17)  | Yes |
| Iss                | Serum survival gene                                      | 3/46 (6.52)  | Yes |
| lucC               | Siderophore biosynthesis protein                         | 1/46 (2.17)  | Yes |
| lucD               | L-lysine 6-monooxygenase                                 | 1/46 (2.17)  | Yes |
| lpfA               | Long polar fimbriae                                      | 1/46 (2.17)  | Yes |
| intII_LT           | Heat-labile enterotoxins                                 | 8/46 (14.29) | Yes |
| katP               | Catalase/peroxidase                                      | 1/46 (2.17)  | Yes |
| kpsMII             | Polysialic acid transport protein                        | 1/46 (2.17)  | Yes |
| Malx               | Encodes enzyme II of the phosphotransferase system       | 1/46 (2.17)  | Yes |
| mchF               | Microcin transport protein                               | 1/46 (2.17)  | Yes |
| mcmA               | Protein microcin-bacteriocin                             | 1/46 (2.17)  | Yes |
| mchB               | Protein microcin-bacteriocin                             | 1/46 (2.17)  | Yes |
| mchC               | Protein microcin-bacteriocin                             | 1/46 (2.17)  | Yes |
| mchF               | Protein microcin-bacteriocin                             | 1/46 (2.17)  | Yes |
| mleA               | Type III secretion system effector                       | 1/46 (2.17)  | Yes |
| nleB               | Type III secretion system effector                       | 1/46 (2.17)  | Yes |
| nleC               | Type III secretion system effector                       | 1/46 (2.17)  | Yes |
| ompA               | Membrane protein (iron resistance)                       | 1/46 (2.17)  | Yes |
| ompTp              | Membrane protein(proteases)                              | 1/46 (2.17)  | Yes |
| Plnv               | Integral membrane protein                                | 1/46 (2.17)  | Yes |
| papC               | Protein fimbrial   | 1/46 (2.17)  | Yes |
| papG               | Adhesin fimbrial   | 1/46 (2.17)  | Yes |
| papG (Allelle I)   | P-Fimbrial outer membrane protein                        | 1/46 (2.17)  | Yes |
| papG (Allelle II   | P-Fimbrial outer membrane protein                        | 1/46 (2.17)  | Yes |
| papG (Allelle III) | P-Fimbrial outer membrane protein                        | 1/46 (2.17)  | Yes |
| papAH              | P-Fimbrial outer membrane protein                        | 1/46 (2.17)  | Yes |
| rbfp 0157/fliCH7   | Somatic and flagellar antigen                            | 1/46 (2.17)  | Yes |
| rpoS               | Regulator sigma factor                                   | 1/46 (2.17)  | Yes |
| sitA               | Iron/manganese ABC transporter substrate-binding protein | 1/46 (2.17)  | Yes |

| St        | Thermostable enterotoxins                  | 1/46 (2.17)   | Yes |
|-----------|--|---------------|-----|
| Sta       | Heat-stable enterotoxin.                   | 10/46 (2.17)  | Yes |
| Stb       | Heat-stable enterotoxin.                   | 4/46 (8.70)   | Yes |
| Stx       | Shiga toxin                                | 2/46 (4.35)   | Yes |
| stx1      | Shiga toxin                                | 31/46 (67.39) | Yes |
| stx2      | Shiga toxin                                | 26/46 (56.52) | Yes |
| stx1/stx2 | Shiga toxin                                | 1/46 (2.17)   | Yes |
| stx2a     | Shiga toxin                                | 1/46 (2.17)   | NI  |
| stx2b     | Shiga toxin                                | 1/46 (2.17)   | NI  |
| stx2c     | Shiga toxin                                | 3/46 (6.52)   | Yes |
| stx2d     | Shiga toxin                                | 1/46 (2.17)   | NI  |
| stx2e     | Shiga toxin                                | 3/46 (6.52)   | Yes |
| stx2f     | Shiga toxin                                | 1/46 (2.17)   | NI  |
| stx2g     | Shiga toxin                                | 1/46 (2.17)   | NI  |
| sfa/focDE | Gene related to biofilm formation          | 1/46 (2.17)   | Yes |
| Тсср      | Cytoskeleton coupling protein              | 1/46 (2.17)   | Yes |
| Tsh       | Temperature sensitive hemagglutinin        | 1/46 (2.17)   | Yes |
| Tir       | Intimin receptor Tir                       | 1/46 (2.17)   | Yes |
| traT      | Lipoprotein                                | 1/46 (2.17)   | Yes |
| toxB      | Cytotoxin                                  | 1/46 (2.17)   | Yes |
| Vt        | Vero cytotoxin (verotoxicin in cells vero) | 1/46 (2.17)   | NI  |
| vt2e      | Vero cytotoxin (verotoxicin in cells vero) | 1/46 (2.17)   | NI  |
| Vtx       | Vero cytotoxin (verotoxicin in cells vero) | 1/46 (2.17)   | Yes |
| vtx2      | Vero cytotoxin (verotoxicin in cells vero) | 1/46 (2.17)   | Yes |
| Wzxo      | Putative O-antigen flippase                | 1/46 (2.17)   | No  |
| uidA      | Encodes the beta-glucuronidase enzyme      | 2/46 (4.35)   | Yes |

NI = not informed.

Table 2 – Frequency of studies that performed virulence phenotypic assays in studies selected on *Escherichia coli* isolated from intestinal tract or feces

of calves, published between 1982 and 2020.

| Target                       | Method (N of studies)  | Total N of<br>studies that<br>tested (%) | Identified |
|------------------------------|--|--|------------|
| Adhesion assay               | Inoculation of Hep-2 Cells, tissue culture plates  | 2/13 (15.38)                             | Yes        |
| Biofilm                      | In vitro biofilm induction by the polystyrene microtiter method by the Cristal Violet method | 1/13 (7.69)                              | NI         |
| Cytotoxic necrotizing factor | NI   | 1/13 (7.69)                              | Yes        |
| Fimbrial adhesive F4 (K88)   | NI   | 1/13 (7.69)                              | Yes        |
| Fimbrial adhesive F5 (K99)   | Agglutination on plates with antiserum (2) / NI (3)  | 5/13 (38.46)                             | Yes        |
| Fimbrial adhesive F17        | NI   | 2/13 (15.38)                             | Yes        |
| Fimbrial adhesive F41        | NI   | 2/13 (15.38)                             | Yes        |
| Fimbrial adhesive K101       | NI   | 2/13 (15.38)                             | Yes        |
| Enterohemolysin              | Detection in sheep blood plates  | 1/13 (7.69)                              | Yes        |
| Hemolysin                    | Detection in sheep blood plates  | 1/13 (7.69)                              | Yes        |
| α-hemolysin                  | Detection in sheep blood plates  | 1/13 (7.69)                              | No         |
| Heat-labile enterotoxins     | In vitro inoculation of vero cells (2) / NI (2)  | 4/13 (30.76)                             | NI         |
| Shiga-toxin                  | In vitro inoculation of vero cells   | 2/13 (15.38)                             | Yes        |

NI = not informed.

Table 3- Number of studies that tested and observed resistance to several antimicrobials using disk-diffusion technique in Escherichia coli isolated from

calves. Studies selected by this systematic review, published between 1982 and 2020.

| Class           | Antimicrobial | Tested concentration (N of studies) | Total N of<br>studies that<br>tested (%) | N of studies that<br>observed resistance (%) |
|-----------------|---------------|-------------------------------------|--|--|
| Aminocoumarin   | Novobiocin    | NI                                  | 1/46 (2.27)                              | NI/1   |
| Aminoglycosides | Amikacin      | 30 µg (9) / 30 mg (1) / NI (4)      | 14/46 (30.46)                            | 5/14 (35.71)                                 |

|                  | Apramycin     | 15 µg (2)  | 2/46 (4.34)   | 2/2 (100)     |
|------------------|---------------|--|---------------|---------------|
|                  | Gentamicin    | 10 µg (22) / 30 µg (2) / 10 mg (3)   | 27/46 (58.69) | 15/27 (55.55) |
|                  | Kanamycin     | 10 µg (2) / 30 µg (9) / 30 µg NI (6)   | 17/46 (36.95) | 12/17 (70.58) |
|                  | Neomycin      | 20 $\mu$ g (1) / 25 $\mu$ g (1) / 30 $\mu$ g (9) / NI (1)                        | 12/46 (26.08) | 8/12 (66.66)  |
|                  | Spectinomycin | 10 $\mu$ g (1) / 20 $\mu$ g (1) /100 $\mu$ g (1)                                 | 3/46 (6.52)   | 3/3 (100)     |
|                  | Streptomycin  | 10 µg (11) / 10 mg (4) / NI (9)  | 24/46 (52.17) | 13/24 (54.16) |
|                  | Tobramycin    | 10 µg (1)  | 1/46 (2.27)   | 1/1 (100)     |
| Carbanenems      | Imipenem      | 10 µg (2) / NI (2)   | 4/46 (8.69)   | 0/4 (0.00)    |
| Carbapenenis     | Meropenem     | 10 µg (1) / NI (1)   | 4/46 (8.69)   | 2/4 (50.0)    |
|                  | Cefaloridine  | 10µg (1)   | 1/46 (2.27)   | 1/1 (100)     |
|                  | Cefazolin     | 30 µg (1)  | 1/46 (2.27)   | 1/1 (100)     |
|                  | Cefepime      | 30 µg (NI)   | 5/46 (10.86)  | 1/5 (20.0)    |
|                  | Cefetrizole   | 30 mg (1)  | 1/46 (2.27)   | <b>NI</b> /1  |
|                  | Cefixime      | 5 µg (1)   | 1/46 (2.27)   | 1/1 (100)     |
|                  | Cefoperazone  | 75 mg (1)  | 1/46 (2.27)   | <b>NI</b> /1  |
|                  | Cefotaxime    | 30 µg (7) / 30 mg (1) / NI (3)   | 11/46 (23.91) | 7/11 (58.33)  |
| Cenhalosporins   | Cefoxitin     | 30 µg (2)  | 2/46 (4.34)   | 0/2 (0.00)    |
| Cephalospornis   | Ceftazidime   | 30 µg (4) / NI (3)   | 7/46 (15.21)  | 2/7 (28.57)   |
|                  | Ceftiofur     | 0.2 µg (1) / 30 µg (4)   | 5/46 (10.86)  | 5/5 (100)     |
|                  | Ceftriaxone   | 30 µg (2) / NI (2)   | 4/46 (8.69)   | 3/4 (75.0)    |
|                  | Cefuroxime    | 30 µg (5)  | 5/46 (10.86)  | 5/5 (100)     |
|                  | Cephachlor    | 30 mg (1) NI / (1)   | 2/46 (4.34)   | 2/2 (100)     |
|                  | Cephalexin    | 30 µg (2) / NI (1)   | 3/46 (6.52)   | 3/3 (100)     |
|                  | Cephalonium   | NI (1)   | 1/46 (2.27)   | 1/1 (100)     |
|                  | Cephalothin   | 30 µg (7) / NI (1)   | 8/46 (17.39)  | 7/8 (87.5)    |
|                  | Danofloxacin  | NI   | 1/46 (2.27)   | NI/1          |
| Fluoroquinolones | Enrofloxacin  | $5 \ \mu g \ (11) \ / \ 10 \ \mu g \ (5) \ / \ 5 \ mg \ (2) \ / \ 10 \ mg \ (1)$ | 19/46 (41.30) | 12/19 (63.15) |
| ruoroquinorones  | Flumequine    | 30 µg (1)  | 1/46 (2.27)   | 1/1 (100)     |
|                  | Marbocyl      | 10 µg (1)  | 1/46 (2.27)   | 1/1 (100)     |

|                  | Marbofloxacin                 | 10 µg (1)   | 1/46 (2.27)   | 1/1 (100)     |
|------------------|-------------------------------|---|---------------|---------------|
|                  | Ofloxacin                     | NI  | 1/46 (2.27)   | 1/1 (100)     |
|                  | Cotrimoxazole                 | 25 μg (4) / NI (2)  | 6/46 (13.04)  | 4/6 (66.66)   |
|                  | Sulfadiazine                  | 300 mg (1)  | 1/46 (2.27)   | <b>NI</b> /1  |
|                  | Sulfamethoxazole              | 25 μg / (1) / NI (3)                                      | 4/46 (8.69)   | 4/4 (100)     |
| Folste inhibitor | Sulfamethoxazole-trimethoprim | 25 $\mu$ g (16) / 30 $\mu$ g (1) / (2) / 25 mg /NI (4)    | 23/46 (50.00) | 16/23 (69.56) |
| Polate minoitor  | Sulfaprim                     | 50 µg (1)   | 1/46 (2.27)   | 1/1 (100)     |
|                  | Sulfonamides                  | 30 μg (1) / 300 μg (1) / NI (2)                           | 4/46 (8.69)   | 1/4 (25.00)   |
|                  | Trimethoprim                  | 5 μg (2) / 5 mg (1) / NI (2)                              | 5/46 (10.86)  | 4/5 (80.00)   |
|                  | Trimethoprim -sulfadiazine    | 25 μg (1)   | 1/46 (2.27)   | <b>NI</b> /1  |
| Fosfomycins      | Fosfomycin                    | NI  | 1/46 (2.27)   | <b>NI</b> /1  |
|                  | Clindamycin                   | 2 µg (1)  | 1/46 (2.27)   | <b>NI</b> /1  |
| Lincosamides     | Lincomycin                    | 2 µg (2)  | 2/46 (4.34)   | 2/2 (100)     |
|                  | Lincospectin                  | 100 µg (1)  | 1/46 (2.27)   | 0/1 (0.00)    |
| Macrocyclic      | Rifampicin                    | 5 µg (2)  | 2/46 (4.34)   | 1/2 (50.00)   |
|                  | Erythromycin                  | 15 μg (4) / 25 μg (1) / NI (1)                            | 6/46 (13.04)  | 4/6 (66.66)   |
| Macrolides       | Espiramycin                   | NI  | 1/46 (2.27)   | 1/1 (100)     |
| Wacrondes        | Thiomicosin                   | NI  | 1/46 (2.27)   | 1/1 (100)     |
|                  | Tilosin                       | NI  | 1/46 (2.27)   | <b>NI</b> /1  |
| Monobactam       | Aztreonam                     | 30 μg (1) / NI (3)  | 4/46 (8.69)   | 2/4 (50.00)   |
| Nitrofurans      | Nitrofurantoin                | 30 µg (1) / 300 µg (2) / NI (2)                           | 5/46 (10.86)  | 4/5 (80.00)   |
|                  | Amdinocillin                  | NI  | 1/46 (2.27)   | 0/1 (0.00)    |
|                  | Amoxicillin                   | 10 μg (4) / 25 μg (2) / 30 μg (3) / 20 mg (1) / NI<br>(2) | 12/46 (26.08) | 9/12(75.00)   |
|                  | Amoxicillin/clavulanic acid   | 10  ug(1) / 30  ug(2) / 10  mg(4) / NI(5)                 | 11/46 (23.01) | 6/11 (54.54)  |
| Penicillin       | Amoxiclay                     | NI  | 1/46 (2.27)   | NI/1          |
|                  | Ampicillin                    | 10  ug (19) / 10  mg (4) / NI (5)                         | 28/46 (60.86) | 19/28 (67.85) |
|                  | Ampicillin/sulbactam          | 10/10 μg (1)  | 1/46 (2.27)   | 1/1 (100)     |
|                  | Cloxacillin                   | 5 μg (1) / NI (1)   | 2/46 (4.34)   | 2/2 (100)     |
|                  |                               |   |               |               |

|                             | Mezlocillin                  | 75 μg (1)                                   | 1/46 (2.27)   | 1/1 (100)     |
|-----------------------------|------------------------------|---|---------------|---------------|
|                             | Oxacillin                    | 1 µg (2)                                    | 2/46 (4.34)   | 1/2 (50.00)   |
|                             | Penicillin G                 | 10 µg (4) / 10 mg (1)                       | 5/46 (10.86)  | 4/5 (80.00)   |
|                             | Piperacilline-Tazobactan     | NI  | 2/46 (4.34)   | 1/2 (50.00)   |
|                             | Tazobactam                   | NI  | 1/46 (2.27)   | NI/1          |
|                             | Temocillin                   | NI  | 1/46 (2.27)   | 0/1 (0.00)    |
|                             | Ticarcillin                  | 75 μg (1)                                   | 1/46 (2.27)   | 1/1 (100)     |
|                             | Ticarcillin-clavulanate acid | 75/10 μg (1)                                | 1/46 (2.27)   | 1/1 (100)     |
| Phanicols                   | Chloramphenicol              | 10 µg (1) / 30 µg (12) / 30 mg (4) / NI (5) | 21/46 (26.08) | 15/21 (71.42) |
| r licilicois                | Florfenicol                  | 30 µg (5) / NI (1)                          | 6/46 (13.04)  | 3/6 (50.0)    |
| Polymyving                  | Colistin                     | 10 µg (5) / 1 mg (1) /NI (1)                | 7/46 (15.21)  | 6/7 (85.71)   |
| 1 Olymyxins                 | Polymyxin B                  | 300 U (1)                                   | 1/46 (2.27)   | 1/1(100)      |
|                             | Ciprofloxacin                | 5 μg (9) /5 mg (3) / 10 μg (1)              | 13/46 (28.26) | 6/13 (46.15)  |
|                             | Levofloxacin                 | 5 µg (1)                                    | 1/46 (2.27)   | 0/1 (0.00)    |
| Quinolones/Fluoroquinolones | Nalidixic Acid               | 10 µg (1) /30 µg (9) / 30 mg (1) / NI (5)   | 16/46 (34.78) | 9/16 (56.25)  |
|                             | Norfloxacin                  | 5 μg (1) 10 μg (10) / 10 mg (1) / NI (6)    | 11/46 (23.01) | 7/11 (63.63)  |
|                             | Pefloxacin                   | 5 µg (1)                                    | 1/46 (2.27)   | 1/1 (100)     |
|                             | Doxycycline                  | 30 µg (1)                                   | 1/46 (2.27)   | 1/1(100)      |
| Tetracyclines               | Oxytetracycline              | 30 µg (1)                                   | 1/46 (2.27)   | 1/1(100)      |
|                             | Tetracycline                 | 10 µg (1) / 30 µg (20) / 30 mg (4) / NI (7) | 31/46 (67.39) | 23/31 (74.19) |

NI = not informed

Table 4 - Number of studies that tested and observed resistance to several antimicrobials using microdilution technique in Escherichia coli isolated from

calves. Studies selected by this systematic review, published between 1982 and 2020.

| ClassAntimicrobialMIC range µg/mL (N of studies)studies thatthat obstested (%)resistant |       |               |                                | Total N of   | N of studies  |
|---|-------|---------------|--------------------------------|--------------|---------------|
| tested (%) resistan   | Class | Antimicrobial | MIC range µg/mL (N of studies) | studies that | that observed |
|   |       |               |                                | tested (%)   | resistance    |

|                            | Apramycin                    | 1>512 (1)   | 1/10 (10.0)  | NI/1        |
|----------------------------|------------------------------|---|--------------|-------------|
|                            | Amikacin                     | 2>64 (1)  | 1/10 (10.0)  | NI/1        |
|                            | Gentamicin                   | 0.25-256 (1) / 0.25-32 (1) / 1-16 (1) / 0.5-64 (1) / NI (4) | 8/10 (80.0)  | 6/8 (85.71) |
|                            | Kanamycin                    | 0.25-256 (1) / 4-28 (1) / NI (9)                            | 10/10 (100)  | 10/10 (100) |
| Aminoglycosides            | Neomycin                     | NI (3)  | 3/10 (30.0)  | 2/3 (66.66) |
|                            | Streptomycin                 | 0.25-256 (1) / 0.5-512 (2) / 2-256 (1) / NI (3)             | 7/11 (63.63) | 2/7 (28.57) |
|                            | Spectinomycin                | NI (1)  | 1/10 (10.0)  | 1/1 (100)   |
|                            | Tobramycin                   | 1-16(1)   | 1/10 (10.0)  | 0/1 (0.00)  |
|                            | Cephazolin                   | 4-64 (1)  | 1/10 (10.0)  | 1/1 (100)   |
|                            | Cephalothin                  | 1-512 (1)   | 1/10 (10.0)  | 1/1 (100)   |
|                            | Cefuroxime                   | 0.125-64 (1)  | 1/10 (10.0)  | 1/1 (100)   |
|                            | Cefotaxime                   | 0.0625-2 (1)  | 1/10 (10.0)  | 1/1 (100)   |
| Carbolanarias              | Cefquimone                   | 0.0625-2 (1)  | 1/10 (10.0)  | 1/1 (100)   |
| Cephalosporins             | Cefepime                     | 1-62 (1)  | 1/10 (10.0)  | 1/1 (100)   |
|                            | Cefoxitin                    | 0.25-32 (2)   | 1/10 (10.0)  | 1/2 (50.00) |
|                            | Ceftazidime                  | NI (1)  | 1/10 (10.0)  | 0/1 (0.00)  |
|                            | Ceftiofur                    | 0.12-16 (5) / 0.25-256 (1) / 6-256 (1)                      | 7/10 (70.0)  | 0/7 (0.00)  |
|                            | Ceftriaxone                  | 0.25-0.256 (1) / 1-64 (1)                                   | 2/10 (20.0)  | 1/2 (50.00) |
|                            | Imipenem                     | 0.256-16 (1) / NI (1)                                       | 22/10 (20.0) | 1/2 (50.00) |
| Carbapenems                | Ertapenem                    | 0.25-16 (1)   | 1/10 (10.0)  | 0/1 (0.00)  |
|                            | Meropenem                    | 0.25-16 (1)   | 1/10 (10.0)  | 1/1 (100)   |
| Dhaniaal                   | Florfenicol                  | 2-64 (1) / 4-32 (1) / 8-256 (1) / NI (2)                    | 5/10 (50.0)  | 4/5 (80.00) |
| Phenicol                   | Chloramphenicol              | 0-25-256 (1) / 1-128 (1) / 2-64 (1) / NI (2)                | 5/10 (50.0)  | 1/5 (20.00) |
|                            | Nalidixic Acid               | 0.25-256 / (1) / 0.5-512 (1) / 1-128 (1) / 4-64 (1)         | 4/10 (40.0)  | 2/4 (50.00) |
|                            | Ciprofloxacin                | 0.008-8 (1) / 0.25-256 (1) / 0.25-4 (1)                     | 3/10 (30.0)  | 1/3 (33.33) |
|                            | Enrofloxacin                 | 0.03-4 (1) / 0.0625-64 (1)                                  | 2/10 (20.0)  | NI/2        |
| Quinolones/Fuoroquinolones | Enoxacin                     | 0.0625-256 (1)  | 1/10 (10.0)  | NI/1        |
|                            | Danofloxacin                 | 0.0625 (1)  | 1/10 (10.0)  | NI/1        |
|                            | Moxifloxacin                 | 0.25-8 (1)  | 1/10 (10.0)  | 1/1 (100)   |
|                            | Oxolinic Acid                | 0.0625 (1)  | 1/10 (10.0)  | NI/1        |
| Lincosamides               | Clindamycin                  | NI (1)  | 1/10 (10.0)  | 1/1 (100)   |
| Monobactam                 | Aztreonam                    | 1-64 (1) / NI (1)   | 2/10 (20.0)  | 1/2 (50.00) |
| Donicilling                | Co-amoxiclav                 | NI  | 1/10 (10.0)  | NI/1        |
| Penicillins                | Amoxicillin/clavulanate acid | 0.25-256  | 1/10 (10.0)  | 1/1 (100)   |

|                   | Amaioillia                    | 0.25-256 (1) / 0.5->32 (1). 0.25-32 (1) 1->512 (1) / 128->512 (2) / | 10/10 (10.0) | 7/10 (70.00) |
|-------------------|-------------------------------|---|--------------|--------------|
|                   | Ampichini                     | NI (4)  | 10/10 (10.0) | //10 (/0.00) |
|                   | Ampicillin/sulbactam          | 2/1 - 32/16 (1)   | 1/10 (10.0)  | 1/1 (100)    |
|                   | Penicillin                    | NI (1)  | 1/10 (10.0)  | 1/1 (100)    |
|                   | Piperacillin                  | NI (1)  | 1/10 (10.0)  | <b>NI</b> /1 |
|                   | Piperacillin-tazobactam       | NI (1)  | 1/10 (10.0)  | <b>NI</b> /1 |
|                   | Ticarcillin                   | 128->1024 (1)   | 1/10 (10.0)  | 1/1 (100)    |
|                   | Ticarcillin/clavulanic acid   | NI (1)  | 1/10 (10.0)  | <b>NI</b> /1 |
|                   | Tilmicosin                    | NI (1)  | 11/10 (10.0) | 1/11 (100)   |
|                   | Tylosin                       | 0->512 (1)  | 1/10 (10.0)  | <b>NI</b> /1 |
| Macrolides        | Tiamulin                      | NI (1)  | 1/10 (10.0)  | 1/11 (100)   |
|                   | Tylosin Tartare base          | NI (1)  | 1/10 (10.0)  | 0/1 (100)    |
|                   | Tulathromycin                 | NI (1)  | 1/10 (10.0)  | 0/1 (100)    |
|                   | Sulfadimethoxine              | NI (1) 8-/512   | 2/10 (20.0)  | 1/2 (50.00)  |
|                   | Sulfamethoxazole-trimethoprim | 0.25-256 (1) /20-320 (1) / NI (1)                                   | 3/10 (30.0)  | 2/3 (66.66)  |
| Delete in hikiten | Sulfametoxazol                | NI (1)  | 1/10 (10.0)  | 1/1 (100)    |
| Polate minortor   | Sulfisoxazol                  | 0.25-256 (1)  | 1/10 (10.0)  | 1/1 (100)    |
|                   | Sulphonamide                  | 8-1024 (1) / 16-2048 (1) / NI (1)                                   | 3/10 (30.0)  | 2/3 (18.18)  |
|                   | Trimethoprim                  | 0.25-32 (1) / 0.5-32 (1) / ≤0.062->512 (1)                          | 3/10 (30.0)  | 2/3 (66.66)  |
|                   | Tetracycline                  | 0.125->512 (2) / 0.25-256 (1) /0.5-64 (1), NI (1)                   | 5/10 (50.0)  | 3/5 (60.00)  |
| Tetracyclines     | Chlortetracycline             | NI (1)  | 1/10 (10.0)  | <b>NI</b> /1 |
|                   | Oxytetracycline               | NI (1)  | 1/10 (10.0)  | <b>NI</b> /1 |
| Glicyclines       | Tigecycline                   | 0.5-8 (1)   | 1/10 (10.0)  | 0/1 (0.00)   |
| Nitrofurona       | Nitrofurantoin                | 0.5-16 (1)  | 1/10 (10.0)  | 0/1 (0.00)   |
| Nitorurans        | Nitrofurazone                 | 0.5-64 (1)  | 1/10 (10.0)  | NI/1         |
| Dolymyyin         | Polymyxin B                   | 0.25-16 (1)   | 1/10 (10.0)  | NI/1         |
| Polymyxin         | Colistin                      | 2-4 (1)   | 1/10 (10.0)  | 0/1 (0.00)   |

NI = not informed

Table 5 - Frequency of prospection and identification of resistance genes in studies selected by this systematic review on *Escherichia coli* isolated from calves, published between 1982 and 2020.

| Antimicrobial class | Gene/target    | Resistance mechanism                                   | N of studies<br>that tested<br>(%) | Identified |
|---------------------|----------------|--|------------------------------------|------------|
| Aminocoumarin       | mdtABC-TolC    | Efflux proteins that pump antibiotic                   | 1/17 (5.88)                        | Yes        |
|                     | aac (3)-IV     | Drug enzymatic inactivation                            | 1/17 (5.88)                        | Yes        |
|                     | aac (3)-II     | Drug enzymatic inactivation                            | 3/17 (17.64)                       | Yes        |
|                     | aac (6)-Ib     | Drug enzymatic inactivation                            | 1/17 (5.88)                        | Yes        |
|                     | AadA           | Drug enzymatic inactivation                            | 2/17 (11.76)                       | NI         |
|                     | aadA1          | Drug enzymatic inactivation                            | 5/17 (29.41)                       | Yes        |
|                     | aadA5          | Drug enzymatic inactivation                            | 1/17 (5.88)                        | Yes        |
|                     | AadB           | Drug enzymatic inactivation                            | 3/17 (17.64)                       | Yes        |
|                     | AmpC           | Drug enzymatic inactivation                            | 3/17 (17.64)                       | Yes        |
| Aminoglycosides     | ant(2)-1       | Drug enzymatic inactivation                            | 1/17 (5.88)                        | Yes        |
|                     | aph(3")-Ib     | Drug enzymatic inactivation                            | 1/17 (5.88)                        | Yes        |
|                     | aph(3'')- $lc$ | Drug enzymatic inactivation                            | 1/17 (5.88)                        | Yes        |
|                     | aph(6)         | Drug enzymatic inactivation                            | 1/17 (5.88)                        | Yes        |
|                     | BlaCITM        | Drug enzymatic inactivation                            | 1/17 (5.88)                        | Yes        |
|                     | Kan            | Work by binding to the bacterial 30S ribosomal subunit | 1/17 (5.88)                        | Yes        |
|                     | <i>RmtB</i>    | Enzymatic modification of antibiotic target which      | 1/17 (5.88)                        | Yes        |
|                     | StrA           | Enzymatic inactivation of antibiotic                   | 3/17 (17.64)                       | Yes        |
|                     | StrB           | Enzymatic inactivation of antibiotic                   | 3/17 (18.75)                       | Yes        |
| Carbonana           | blaOXA-1       | Drug enzymatic inactivation                            | 1/17 (5.88)                        | Yes        |
| Cardapeneins        | Intl           | Drug enzymatic inactivation                            | 1/17 (5.88)                        | Yes        |
|                     | blaCTX-M-14    | Drug enzymatic inactivation                            | 1/17 (5.88)                        | Yes        |
|                     | BlaCTX         | Drug enzymatic inactivation                            | 1/17 (5.88)                        | Yes        |
| Canhalaananina      | blaCTX-M-1     | Drug enzymatic inactivation                            | 1/17 (5.88)                        | Yes        |
| Cephalosportins     | BlaSHV         | Drug enzymatic inactivation                            | 2/17 (11.76)                       | Yes        |
|                     | ctxM-1         | Drug enzymatic inactivation                            | 1/17 (5.88)                        | Yes        |
|                     | ctxM-2         | Drug enzymatic inactivation                            | 1/17 (5.88)                        | Yes        |

|                    | ctxM-9     | Drug enzymatic inactivation                            | 1/17 (5.88)  | Yes |
|--------------------|------------|--|--------------|-----|
| Cephamycin         | BlaCMY     | Drug enzymatic inactivation                            | 1/17 (5.88)  | NI  |
| Cephamycin         | blaCMY-2   | Drug enzymatic inactivation                            | 1/17 (5.88)  | Yes |
|                    | dfrA-5     | Substitution of antibiotic action target               | 2/17 (11.76) | Yes |
|                    | DrfA       | Substitution of antibiotic action target               | 1/17 (5.88)  | Yes |
| Diaminopyrimidines | drfA-1     | Substitution of antibiotic action target               | 6/17(35.29)  | Yes |
|                    | drfA-14_   | Substitution of antibiotic action target               | 1/17 (5.88)  | Yes |
|                    | drfA-17    | Substitution of antibiotic action target               | 1/17 (5.88)  | Yes |
|                    | erm(x)     | Enzymatic modification of antibiotic target            | 1/17 (5.88)  | Yes |
| Maanalidaa         | ErmB       | Enzymatic modification of antibiotic target            | 1/17(5.88)   | Yes |
| Wacronues          | Maca       | Antibiotic resistance via the transport of antibiotics | 1/17 (5.88)  | Yes |
|                    | MacB       | Antibiotic resistance via the transport of antibiotics | 1/17 (5.88)  | Yes |
| Monobactam,        | tem-1      | Inactivation drug enzymatic modification               | 1/17 (5.88)) | Yes |
| Cephalosporin      | tem-2      | Inactivation drug enzymatic modification               | 1/17 (5.88)  | Yes |
|                    | BlaTEM     | Drug enzymatic inactivation                            | 4/17 (23.52) | Yes |
| Monobactams        | blaTEM-1   | Drug enzymatic inactivation                            | 1/17 (5.88)  | Yes |
|                    | blaTEM-1B  | Drug enzymatic inactivation                            | 2/17 (11.76) | Yes |
|                    | cat-1      | Drug enzymatic inactivation                            | 2/17 (11.76) | Yes |
|                    | catA1      | Drug enzymatic inactivation                            | 2/17 (11.76) | Yes |
| Phenicols          | CmlA       | Efflux proteins that pump antibiotic                   | 5/17 (29.41) | Yes |
|                    | cmlA-1     | Efflux proteins that pump antibiotic                   | 1/17 (5.88)  | NI  |
|                    | FloR       | Efflux proteins that pump antibiotic                   | 4/17 (23.52) | Yes |
|                    | acrEF-TolC | Efflux proteins that pump antibiotic                   | 1/17 (5.88)  | Yes |
|                    | emrAB-OMF  | Efflux proteins that pump antibiotic                   | 1/17 (5.88)  | Yes |
|                    | GryA       | Enzymatic modification of antibiotic target            | 1/17 (5.88)  | Yes |
| Quinolones         | ParC       | Enzymatic modification of antibiotic target which      | 1/17 (5.88)  | Yes |
|                    | Oep        | Efflux proteins that pump antibiotic                   | 1/17 (5.88)  | Yes |
|                    | Onr        | Protection of antibiotic action target                 | 2/17 (11.76) | Yes |
|                    | QnrA       | Protection of antibiotic action target                 | 1/17 (5.88)  | Yes |

| QnrB       | Protection of antibiotic action target   | 1/17 (5.88)   | Yes  |
|------------|--|---|--|
| QnrC       | Protection of antibiotic action target   | 1/17 (5.88)   | Yes  |
| QnrD       | Protection of antibiotic action target   | 1/17 (5.88)   | Yes  |
| QnrE       | Protection of antibiotic action target   | 1/17 (5.88)   | Yes  |
| QnrS       | Protection of antibiotic action target   | 1/17 (5.88)   | Yes  |
| mdtEF-TolC | Efflux proteins that pump antibiotic   | 1/17 (5.88)   | Yes  |
| tolC-OpmH  | Efflux proteins that pump antibiotic   | 1/17 (5.88)   | Yes  |
| mxAB-OprM  | Efflux proteins that pump antibiotic   | 1/17 (5.88)   | Yes  |
| MexB       | Antibiotic resistance via the transport of antibiotics   | 1/17 (5.88)   | Yes  |
| sul1       | Substitution of antibiotic action target   | 8/17 (47.05)  | Yes  |
| sul2       | Substitution of antibiotic action target   | 4/17 (23.52)  | Yes  |
| sul3       | Substitution of antibiotic action target   | 2/17 (11.76)  | Yes  |
| emrKY-TolC | Efflux proteins that pump antibiotic   | 1/17 (5.88)   | Yes  |
| acrAB-TolC | Efflux proteins that pump antibiotic   | 1/17 (5.88)   | Yes  |
| tet(A)     | Efflux proteins that pump antibiotic   | 9/17 (52.94)  | Yes  |
| tet(B)     | Efflux proteins that pump antibiotic   | 5/17 (29.41)  | Yes  |
| tet(C)     | Efflux proteins that pump antibiotic   | 1/17 (5.88)   | Yes  |
| tet(D)     | Efflux proteins that pump antibiotic   | 1/17 (5.88)   | Yes  |
| tet(M)     | Efflux proteins that pump antibiotic   | 1/17 (5.88)   | Yes  |
| tet(W)     | Efflux proteins that pump antibiotic   | 1/17 (5.88)   | Yes  |
|            | QnrB<br>QnrC<br>QnrD<br>QnrE<br>QnrS<br>mdtEF-TolC<br>tolC-OpmH<br>mxAB-OprM<br>MexB<br>sul1<br>sul2<br>sul3<br>emrKY-TolC<br>acrAB-TolC<br>tet(A)<br>tet(B)<br>tet(C)<br>tet(D)<br>tet(M)<br>tet(W) | QnrBProtection of antibiotic action targetQnrCProtection of antibiotic action targetQnrDProtection of antibiotic action targetQnrEProtection of antibiotic action targetQnrSProtection of antibiotic action targetQnrSProtection of antibiotic action targetMtEF-TolCEfflux proteins that pump antibiotictolC-OpmHEfflux proteins that pump antibioticmxAB-OprMEfflux proteins that pump antibioticsul1Substitution of antibiotic action targetsul2Substitution of antibiotic action targetsul3Substitution of antibiotic action targetemrKY-TolCEfflux proteins that pump antibiotictet(A)Efflux proteins that pump antibiotictet(B)Efflux proteins that pump antibiotictet(D)Efflux proteins that pump antibiotictet(M)Efflux proteins that pump antibiotictet(W)Efflux proteins that pump antibiotic | QnrBProtection of antibiotic action target1/17 (5.88)QnrCProtection of antibiotic action target1/17 (5.88)QnrDProtection of antibiotic action target1/17 (5.88)QnrEProtection of antibiotic action target1/17 (5.88)QnrSProtection of antibiotic action target1/17 (5.88)QnrSProtection of antibiotic action target1/17 (5.88)mdtEF-TolCEfflux proteins that pump antibiotic1/17 (5.88)mxAB-OprMEfflux proteins that pump antibiotic1/17 (5.88)mxABAntibiotic resistance via the transport of antibiotics1/17 (5.88)sul1Substitution of antibiotic action target8/17 (47.05)sul2Substitution of antibiotic action target2/17 (11.76)emrKY-TolCEfflux proteins that pump antibiotic1/17 (5.88)acrAB-TolCEfflux proteins that pump antibiotic1/17 (5.88)tet(A)Efflux proteins that pump antibiotic1/17 (5.88)tet(C)Efflux proteins that pump antibiotic1/17 (5.88)tet(D)Efflux proteins that pump antibiotic1/17 (5.88)tet(M)Efflux proteins that pump antibiotic1/17 (5.88)tet(W)Efflux proteins that pump antibiotic1/17 (5.88) |

NI = not informed

# Supplementary material

# Appendix S1: PRISMA 2020 Checklist

| Section and Topic             | Item<br># | Checklist item  | Location<br>where item is<br>reported |
|-------------------------------|-----------|---|---------------------------------------|
| TITLE                         |           |   |                                       |
| Title                         | 1         | Systematic review on antimicrobial resistance in virulent Escherichia coli isolated from calves   | §1                                    |
| ABSTRACT                      |           |   |                                       |
| Abstract                      | 2         | provide relevant and structured information on the main findings regarding the systematic review such as objectives, data source, type of studies, eligibility criteria, evaluation methods, results, limitations and conclusions   | y§1                                   |
| INTRODUCTION                  |           |   |                                       |
| Rationale                     | 3         | Describe the rationale for the review in the context of existing knowledge.   | §1, 2, 3                              |
| Objectives                    | 4         | Provide an explicit statement of the objective(s) or question(s) the review addresses.  | §4                                    |
| METHODS                       |           |   |                                       |
| Eligibility criteria          | 5         | Specify the inclusion and exclusion criteria for the review and how studies were grouped for the syntheses.   | §4                                    |
| Information sources           | 6         | Specify all databases, registers, websites, organizations, reference lists and other sources searched or consulted to identify studies. Specify the date when each source was last searched or consulted.   | §2                                    |
| Search strategy               | 7         | Present the full search strategies for all databases, registers and websites, including any filters and limits used.  | §3                                    |
| Selection process             | 8         | Specify the methods used to decide whether a study met the inclusion criteria of the review, including how many reviewers screened eachrecord and each report retrieved, whether they worked independently, and if applicable, details of automation tools used in the process.                     | §3                                    |
| Data collection process       | 9         | Specify the methods used to collect data from reports, including how many reviewers collected data from each report, whether they workedindependently, any processes for obtaining or confirming data from study investigators, and if applicable, details of automation tools used in the process. | §6                                    |
| Data items                    | 10a       | List and define all outcomes for which data were sought. Specify whether all results that were compatible with each outcome domain ineach study were sought (e.g. for all measures, time points, analyses), and if not, the methods used to decide which results to collect.                        | §6                                    |
|                               | 10b       | List and define all other variables for which data were sought (e.g. participant and intervention characteristics, funding sources). Describeany assumptions made about any missing or unclear information.   | §6                                    |
| Study risk of bias assessment | 11        | Specify the methods used to assess risk of bias in the included studies, including details of the tool(s) used, how many reviewers assessed ach study and whether they worked independently, and if applicable, details of automation tools used in the process.                                    | <b>§</b> 5                            |
| Effect measures               | 12        | Specify for each outcome the effect measure(s) (e.g. risk ratio, mean difference) used in the synthesis or presentation of results.   | §5                                    |

| Synthesis methods             | 13a | Describe the processes used to decide which studies were eligible for each synthesis (e.g. tabulating the study intervention characteristics and comparing against the planned groups for each synthesis (item #5)).   | <b>§</b> 6                        |
|-------------------------------|-----|--|-----------------------------------|
|                               | 13b | Describe any methods required to prepare the data for presentation or synthesis, such as handling of missing summary statistics, or dataconversions.   | <b>§</b> 6                        |
|                               | 13c | Describe any methods used to tabulate or visually display results of individual studies and syntheses.   | <b>§</b> 6                        |
|                               | 13d | Describe any methods used to synthesize results and provide a rationale for the choice(s). If meta-analysis was performed, describe themodel(s), method(s) to identify the presence and extent of statistical heterogeneity, and software package(s) used.                           | Not performed                     |
|                               | 13e | Describe any methods used to explore possible causes of heterogeneity among study results (e.g. subgroup analysis, meta-regression).   | Not performed                     |
|                               | 13f | Describe any sensitivity analyses conducted to assess robustness of the synthesized results.   | Not performed                     |
| Reporting bias<br>assessment  | 14  | Describe any methods used to assess risk of bias due to missing results in a synthesis (arising from reporting biases).  | Not performed                     |
| Certainty assessment          | 15  | Describe any methods used to assess certainty (or confidence) in the body of evidence for an outcome.  | Not performed                     |
| RESULTS                       |     |  |                                   |
| Study selection               | 16a | Describe the results of the search and selection process, from the number of records identified in the search to the number of studies included in the review, ideally using a flow diagram.   | §1 Figure 1                       |
|                               | 16b | Cite studies that might appear to meet the inclusion criteria, but which were excluded, and explain why they were excluded.  | Not performed                     |
| Study characteristics         | 17  | Cite each included study and present its characteristics.  | Supplementary<br>Material Table 1 |
| Risk of bias in studies       | 18  | Present assessments of risk of bias for each included study.   | Not performed                     |
| Results of individual studies | 19  | For all outcomes, present, for each study: (a) summary statistics for each group (where appropriate) and (b) an effect estimates and its precision (e.g). confidence/credible interval), ideally using structured tables or plots.   | §1 to 18                          |
| Results of syntheses          | 20a | For each synthesis, briefly summarize the characteristics and risk of bias among contributing studies.   | Not performed                     |
|                               | 20b | Present results of all statistical syntheses conducted. If meta-analysis was done, present for each the summary estimate and its precision(e.g.) confidence/credible interval) and measures of statistical heterogeneity. If comparing groups, describe the direction of the effect. | § 1 to 18                         |
|                               | 20c | Present results of all investigations of possible causes of heterogeneity among study results.   | Not performed                     |
|                               | 20d | Present results of all sensitivity analyses conducted to assess the robustness of the synthesized results.   | Not performed                     |
| Reporting biases              | 21  | Present assessments of risk of bias due to missing results (arising from reporting biases) for each synthesis assessed.  | Not performed                     |
| Certainty of evidence         | 22  | Present assessments of certainty (or confidence) in the body of evidence for each outcome assessed.  | Not performed                     |
| DISCUSSION                    | -   |  |                                   |
| Discussion                    | 23a | Provide a general interpretation of the results in the context of other evidence.  | §1 to 5                           |
|                               | 23b | Discuss any limitations of the evidence included in the review.  | <b>§</b> 1                        |
|                               | 23c | Discuss any limitations of the review processes used.  | Not performed                     |
|                               | 23d | Discuss implications of the results for practice, policy, and future research.   | § 1 to 5                          |

| OTHER INFORMATION                                    | N   |   |               |
|--|-----|---|---------------|
| Registration and                                     | 24a | Provide registration information for the review, including register name and registration number, or state that the review was not registered.  | Not performed |
| protocol   | 24b | Indicate where the review protocol can be accessed, or state that a protocol was not prepared.  | Not performed |
|  | 24c | Describe and explain any amendments to information provided at registration or in the protocol.   | Not performed |
| Support  | 25  | Describe sources of financial or non-financial support for the review, and the role of the funders or sponsors in the review.   | <b>§</b> 1    |
| Competing interests                                  | 26  | Declare any competing interests of review authors.  | <b>§</b> 1    |
| Availability of data,<br>code and other<br>materials | 27  | Report which of the following are publicly available and where they can be found: template data collection forms; data extracted fromincluded studies; data used for all analyses; analytic code; any other materials used in the review. | Not performed |

From: Page MJ, McKenzie JE, Bossuyt PM, et al. The PRISMA 2020 statement: an updated guideline for reporting systematic reviews. BMJ 2021;372: n71.doi:10.1136/bmj.n71

Appendix S2: Combination of terms used at each database investigated within all the sections from papers (title, abstract and full text), as well as the number of articles found in the search performed on May 6<sup>th</sup>, 2020.

| Database       | Combination of words   | Results      |
|----------------|--|--------------|
| Cabi           | ((bovine* OR cattle OR calve* OR calf OR heifer* OR cow* OR herd* OR farm*)<br>AND (enteropathogenic OR pathotypes OR "virulence genes" OR "virulence factors"<br>OR virulence) AND (Escherichia AND coli) AND (antimicrobial OR antibiotic OR<br>resistan* OR susceptibility OR "minimal inhibitory concentration" OR MIC OR "disc<br>diffusion" OR "resistance genes" OR ARG OR "drug resistance") AND ("intestinal<br>tract" OR diarrhea))  | 187 articles |
| Cochrane       | ((bovine* OR cattle OR calve* OR calf OR heifer* OR cow* OR herd* OR farm*)<br>AND (enteropathogenic OR pathotypes OR "virulence genes" OR "virulence factors"<br>OR virulence) AND (Escherichia AND coli) AND (antimicrobial OR antibiotic OR<br>resistan* OR susceptibility OR "minimal inhibitory concentration" OR MIC OR "disc<br>diffusion" OR "resistance genes" OR ARG OR "drug resistance") AND ("intestinal<br>tract" OR diarrhea))  | 1 article    |
| Pubmed         | (((((bovine* OR cattle OR calve* OR calf OR heifer* OR cow* OR herd* OR farm*)<br>AND (enteropathogenic OR pathotypes OR "virulence genes" OR "virulence factors"<br>OR virulence) AND (Escherichia AND coli) AND (antimicrobial OR antibiotic OR<br>resistan* OR susceptibility OR "minimal inhibitory concentration" OR MIC OR "disc<br>diffusion" OR "resistance genes" OR ARG OR "drug resistance") AND ("intestinal<br>tract" OR diarrhea)  | 186 articles |
| Scielo         | (bovine* OR cattle OR calve* OR calf OR heifer* OR cow* OR herd* OR farm*) AND<br>(enteropathogenic OR pathotypes OR "virulence genes" OR "virulence factors" OR<br>virulence) AND (Escherichia AND coli) AND (antimicrobial OR antibiotic OR<br>resistan* OR susceptibility OR "minimal inhibitory concentration" OR MIC OR "disc<br>diffusion" OR "resistance genes" OR ARG OR "drug resistance") AND ("intestinal<br>tract" OR diarrhea)  | 6 articles   |
| Scopus         | TITLE-ABS-(bovine* OR cattle OR calve* OR calf OR heifer* OR cow* OR herd* OR<br>farm*) TITLE-ABS-KEY(enteropathogenic OR pathotypes OR "virulence genes" OR<br>"virulence factors" OR virulence) AND TITLE-ABS-KEY (Escherichia AND coli)<br>TITLE-ABS (antimicrobial OR antibiotic OR resistan* OR susceptibility OR "minimal<br>inhibitory concentration" OR MIC OR "disc diffusion" OR "resistance genes" OR ARG<br>OR "drug resistance") TITLE-ABS-KEY ("intestinal tract" OR diarrhea) | 162 articles |
| Web of Science | TS= ((bovine* OR cattle OR calve* OR calf OR heifer* OR cow* OR herd* OR farm*)<br>AND (enteropathogenic OR pathotypes OR "virulence genes" OR "virulence factors"<br>OR virulence) AND (Escherichia AND coli) AND (antimicrobial OR antibiotic OR<br>resistan* OR susceptibility OR "minimal inhibitory concentration" OR MIC OR "disc<br>diffusion" OR "resistance genes" OR ARG OR "drug resistance") AND ("intestinal<br>tract" OR diarrhea))  | 390 articles |

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Appendix S3: Inclusion and exclusion criteria for studies selected by this systematic review on Escherichia coli isolated from the intestinal tract of calves and buffalo calves, published between 1982 and 2020

| Inclusion criteria  | Exclusion criteria   |
|---|--|
| Papers written in English, Spanish, French or Portuguese  | Papers written in other languages than<br>English, Spanish, French or Portuguese |
| Pathogenic E. coli  | Other microorganisms   |
| Isolated from calves  | Other animal species or other animal category other than calves                  |
| Virulence profile assessed by phenotypic or genotypic methods   | No assessment of virulent factors  |
| Assessment of in vitro antimicrobial susceptibility by phenotypic methods (MIC, disk diffusion or E-test) | No assessment of antimicrobial susceptibility                                    |
| Original data   | Full text not available  |
|   | Thesis, abstract, book chapter and reviews                                       |

-

**Appendix S4:** Detailed information of the 56 studies selected by this systematic review on antimicrobial resistance in pathogenic *Escherichia coli* isolated from calves, published between 1982 and 2020.

| First author, Year | Country      | Period         | Sample             | Type study | Population     | Age of animals     | N of<br>samples | Diarrhea<br>frequency | AMR test            | AMR test reference | N of<br>virulent<br>isolates |
|--------------------|--------------|----------------|--------------------|------------|----------------|--------------------|-----------------|-----------------------|---------------------|--------------------|------------------------------|
| Abdulgayeid, 2015  | Egypt        | NI             | Rectal swabs       | Sectional  | Buffalo calves | < 6 months         | 193             | 56.99                 | Disk diffusion      | CLSI or Eucast     | 95                           |
| Aly, 1996          | Egypt        | NI             | Feces              | Sectional  | Buffalo calves | 2-4 months         | 38              | 100                   | Disk diffusion      | CLSI or Eucast     | 12                           |
| Aneela, 2018       | Pakistan     | NI             | Rectal swabs       | Sectional  | Calves         | 3 months           | 28              | 100                   | Disk diffusion      | Other              | 2                            |
| Ary, 2008          | India        | 2004-2005      | Rectal swabs       | Sectional  | Calves         | < 2  months        | 46              | 100                   | Disk diffusion      | CLSI or Eucast     | 41                           |
| Awosile, 2020      | Canada       | 2014-2015      | Rectal swabs       | No design  | Calves         | < 2 weeks          | 4               | 0.00                  | MIC                 | CLSI or Eucast     | 4                            |
| Barigye, 2012      | USA          | 2010           | Feces              | Sectional  | Buffalo calves | < 2 weeks          | 97              | 100                   | MIC                 | Other              | 23                           |
| Borriello, 2012    | Italy        | 2006-2009      | Intestinal content | Sectional  | Calves         | < 4 weeks          | 314             | 100                   | Disk diffusion      | CLSI or Eucast     | 65                           |
| Bradford, 1999     | USA          | 2006-2009      | Feces              | No design  | Calves         | NI                 | NI              | 100                   | Disk diffusion /MIC | CLSI or Eucast     | 10                           |
| Bumunang, 2019     | South Africa | 1996           | Feces              | Sectional  | Calves         | NI                 | 600             | NI                    | Disk diffusion      | CLSI or Eucast     | NI                           |
| Cabal, 2013        | Spain        | 2015-2017      | Feces              | No design  | Calves         | NI                 | NI              | 0.00                  | Disk diffusion      | CLSI or Eucast     | 68                           |
| Çabalar, 2001      | Turkia       | NI             | Rectal swabs       | Sectional  | Calves         | NI                 | 59              | 15.25                 | Disk diffusion      | Bauer et al. 1966  | 1                            |
| Das, 2005          | India        | 2001-2002      | Feces              | Sectional  | Calves         | NI                 | 111             | NI                    | Disk diffusion      | CLSI or Eucast     | 13                           |
| De Rauw, 2019      | Belgium      | 1987-2009-2015 | NI                 | No design  | Calves         | 16 days-2.5 months | NI              | 100                   | Disk diffusion      | NI                 | 9                            |
| De Rycke, 1982     | France       | 1980           | Feces              | Sectional  | Calves         | NI                 | NI              | NI                    | Disk diffusion      | Other              | 10                           |
| Donaldson, 2006    | USA          | 2003           | Feces              | Sectional  | Calves         | 1-9 weeks          | 96              | 100                   | Disk diffusion/MIC  | CLSI or Eucast     | 10                           |
| Du, 2005           | China        | NI             | NI                 | No design  | Calves         | NI                 | NI              | 100                   | MIC                 | CLSI or Eucast     | 13                           |
| Du, 2004           | China        | 1982-1988      | NI                 | No design  | Calves         | NI                 | NI              | 100                   | MIC                 | CLSI or Eucast     | 9                            |
| Elashmawy, 2016    | Egypt        | NI             | Feces              | Sectional  | Buffalo calves | 1 day - 2 month    | 120             | 66.66                 | Disk diffusion      | CLSI or Eucast     | 18                           |
| Gamez, 2006        | Brazil       | 2001 - 2002    | Feces              | Sectional  | Calves         | < 3 months         | 200             | 100                   | Disk diffusion      | Bauer et al. 1966  | 53                           |
| Gharieb, 2019      | Egypt        | NI             | Feces              | No design  | Calves         | 1-3 weeks          | 80              | 100                   | MIC                 | CLSI or Eucast     | 8                            |
| Giammanco, 2002    | Italy        | NI             | Feces              | No design  | Calves         | NI                 | 37              | NI                    | Disk diffusion      | Other              | 37                           |
| Gonzalez, 1989     | Spain        | NI             | Feces              | No design  | Calves         | < 30 days          | 289             | 100                   | Disk diffusion      | Other              | 84                           |
| Gonzalez, 2019     | Argentina    | 2014-2015      | Feces              | No design  | Calves         | 2-10 days          | NI              | 100                   | Disk diffusion      | Bauer et al. 1966  | 5                            |
| Gueler, 2008       | Turkia       | 2001-2006      | Feces              | No design  | Calves         | < 2  months        | NI              | 62.5                  | Disk diffusion      | Other              | 66                           |
| Hakim, 2017        | Egypt        | NI             | Feces              | No design  | Buffalo calves | NI                 | 58              | 100                   | Disk diffusion      | CLSI or Eucast     | 14                           |
| Holland, 1999      | USA          | NI             | Feces              | Sectional  | Calves         | < 3 months         | 215             | 53.02                 | Disk diffusion      | Bauer et al. 1966  | 63                           |
| Islam, 2015        | Bangladesh   | 2014           | Feces              | Sectional  | Calves         | 6 days-2 months    | 100             | 100                   | Disk diffusion      | Bauer et al. 1966  | 2                            |
| Iweriebor, 2015    | South Africa | NI             | Feces              | Sectional  | Calves         | NI                 | 400             | 0.00                  | Disk diffusion      | CLSI or Eucast     | 95                           |
| Khalifa, 2019      | NI           | 2016           | Feces              | Sectional  | Calves         | < 3 months         | 100             | 100                   | Disk diffusion      | CLSI or Eucast     | 9                            |
| Kohansa, 2018      | Iran         | 2015-2016      | Rectal swabs       | Sectional  | Calves         | < 30 days          | 540             | 100                   | Disk diffusion      | CLSI or Eucast     | 71                           |
| Liao, 2019         | China        | NI             | Feces              | Sectional  | Calves         | NI                 | 30              | 100                   | Disk diffusion      | CLSI or Eucast     | 18                           |

| Lupindu, 2014   | Tanzania     | 2010-2012 | Feces        | Sectional    | Calves         | NI                     | 446  | NI    | Disk diffusion | CLSI or Eucast    | 10  |
|-----------------|--------------|-----------|--------------|--------------|----------------|------------------------|------|-------|----------------|-------------------|-----|
| Maciel, 2019    | Brazil       | 2014-2015 | Feces        | Case control | Calves         | 21-60 days             | 60   | 50.00 | Disk diffusion | CLSI or Eucast    | 9   |
| Mahanti, 2014   | India        | NI        | Feces        | Sectional    | Buffalo calves | NI                     | 363  | 0.00  | Disk diffusion | CLSI or Eucast    | 25  |
| Manna, 2006     | India        | 2003      | Feces        | Sectional    | Calves         | 1-3 months             | 79   | 100   | Disk diffusion | Bauer et al. 1966 | 11  |
| Medina, 2011    | Spain        | 1993-2005 | Feces        | No design    | Calves         | NI                     | NI   | 100   | Disk diffusion | CLSI or Eucast    | 24  |
| Mercado, 2004   | Argentina    | 1995-200  | Feces        | No design    | Calves         | < 3 months             | NI   | 100   | Disk diffusion | Bauer et al. 1966 | 12  |
| Mohammed, 2019  | Egypt        | 2015-2016 | Feces        | Sectional    | Calves         | $\leq$ 3 months        | 56   | 46.42 | Disk diffusion | CLSI or Eucast    | 6   |
| Montso, 2019    | South Africa | 2017      | Feces        | Sectional    | Calves         | NI                     | 780  | NI    | Disk diffusion | Bauer et al. 1966 | NI  |
| Niraj, 2011     | India        | NI        | NI           | No design    | Calves         | NI                     | NI   | 100   | Disk diffusion | Bauer et al. 1966 | NI  |
| Nizza, 2010     | Italy        | 2006-2008 | Feces        | Sectional    | Buffalo calves | $\leq$ 30 days         | 169  | 100   | Disk diffusion | CLSI or Eucast    | 94  |
| Orden, 1999     | Spain        | 1993-1995 | Feces        | No design    | Calves         | $\leq$ 3 months        | NI   | 100   | MIC            | CLSI or Eucast    | 137 |
| Orden, 2000     | Spain        | 1993-1995 | Feces        | No design    | Calves         | $\leq$ 3 months        | NI   | 100   | MIC            | CLSI or Eucast    | 137 |
| Pereira, 2011   | USA          | 2009      | Feces        | Sectional    | Calves         | 2 days                 | 117  | 47.86 | Disk diffusion | CLSI or Eucast    | 117 |
| Rigobelo, 2006  | Brazil       | 2001-2002 | Feces        | Sectional    | Calves         | < 3 months             | 200  | 100   | Disk diffusion | CLSI or Eucast    | NI  |
| Rusheeba, 2015  | India        | NI        | Feces        | Sectional    | Calves         | < 4 months             | NI   | 100   | Disk diffusion | NI                | 6   |
| Shahrani, 2014  | Iran         | 2010-2011 | Feces        | Sectional    | Calves         | 2-30 days              | 8241 | 100   | Disk diffusion | Bauer et al. 1966 | 419 |
| dSharma, 2017   | India        | 2013-2015 | Feces        | Sectional    | Calves         | $\leq$ 3 months        | 350  | 100   | Disk diffusion | NI                | 65  |
| Smith, 1988     | Chile        | NI        | Rectal swabs | Sectional    | Calves         | $\leq 10 \text{ days}$ | 77   | 100   | Disk diffusion | Bauer et al. 1966 | 32  |
| Srivani, 2019   | India        | 2014-2015 | Feces        | Sectional    | Buffalo calves | < 3 months             | 375  | 100   | Disk diffusion | Bauer et al. 1966 | 34  |
| Srivani, 2017   | India        | 2014-2015 | Feces        | Sectional    | Buffalo calves | < 3 months             | 375  | 100   | Disk diffusion | CLSI or Eucast    | 106 |
| Umpierrez, 2017 | Uruguay      | 2012-2014 | Feces        | No design    | Calves         | $\leq 6$ months        | 303  | 79.87 | Disk diffusion | Bauer et al. 1966 | 26  |
| Valat, 2012     | France       | 2006-2010 | Feces        | No design    | Calves         | NI                     | 204  | NI    | Disk diffusion | NI                | NI  |
| Valat, 2014     | France       | 2001-2012 | Feces        | No design    | Calves         | NI                     | 259  | NI    | Disk diffusion | Bauer et al. 1966 | NI  |
| Vargas, 2017    | Brazil       | NI        | Feces        | Sectional    | Calves         | $\leq$ 6 months        | 40   | 37.50 | Disk diffusion | Bauer et al. 1966 | 12  |
| Verdier, 2012   | Sweden       | 2004-2005 | Rectal swabs | Case control | Calves         | $\leq 1$ months        | 95   | 58.90 | MIC            | CLSI or Eucast    | NI  |

NI: Not Informed AMR: Antimicrobial resistance

Appendix S5: Evaluation of possible limitations and bias in the methodology of the 56 articles selected for this systematic review on *Escherichia coli* 

from the intestinal tract of calves and buffalo calves, published between 1982 and 2020.

| First author, Year | Test MIC | Test Disk Difusion  | Reference protocol | Antibiotic [] | Breakp/Halo diameter |
|--------------------|----------|---------------------|--------------------|---------------|----------------------|
| Abdulgaveid, 2015  | 0        | Disk diffusion      | CLSI or Eucast     | Yes           | CLSI or Eucast       |
| Aly, 1996          | 0        | Disk diffusion      | CLSI or Eucast     | Yes           | Other                |
| Aneela, 2018       | 0        | Disk diffusion      | Other              | Yes           | Other                |
| Ary, 2008          | 0        | Disk diffusion      | CLSI or Eucast     | Yes           | CLSI or Eucast       |
| Awosile, 2020      | Yes      | MIC                 | CLSI or Eucast     | Yes           | CLSI or Eucast       |
| Barigye, 2012      | Yes      | MIC                 | Other              | No            | Other                |
| Borriello, 2012    | 0        | Disk diffusion      | CLSI or Eucast     | Yes           | CLSI or Eucast       |
| Bradford, 1999     | Yes      | Disk diffusion /MIC | CLSI or Eucast     | No            | CLSI or Eucast       |
| Bumunang, 2019     | 0        | Disk diffusion      | CLSI or Eucast     | Yes           | CLSI or Eucast       |
| Cabal, 2013        | 0        | Disk diffusion      | CLSI or Eucast     | Yes           | CLSI or Eucast       |
| Çabalar, 2001      | 0        | Disk diffusion      | Bauer et al. 1966  | No            | Bauer et al. 1966    |
| Das, 2005          | 0        | Disk diffusion      | CLSI or Eucast     | Yes           | CLSI or Eucast       |
| De Rauw, 2019      | 0        | Disk diffusion      | NI                 | No            | NI                   |
| De Rycke, 1982     | 0        | Disk diffusion      | Other              | No            | Other                |
| Donaldson, 2006    | Yes      | Disk diffusion/MIC  | CLSI or Eucast     | Yes           | CLSI or Eucast       |
| Du, 2005           | Yes      | MIC                 | CLSI or Eucast     | No            | CLSI or Eucast       |
| Du, 2004           | Yes      | MIC                 | CLSI or Eucast     | No            | CLSI or Eucast       |
| Elashmawy, 2016    | 0        | Disk diffusion      | CLSI or Eucast     | Yes           | CLSI or Eucast       |
| Gamez, 2006        | 0        | Disk diffusion      | Bauer et al. 1966  | No            | Bauer et al. 1966    |
| Gharieb, 2019      | Yes      | MIC                 | CLSI or Eucast     | Yes           | CLSI or Eucast       |
| Giammanco, 2002    | 0        | Disk diffusion      | Other              | No            | Other                |
| Gonzalez, 1989     | 0        | Disk diffusion      | Other              | Yes           | Other                |
| Gonzalez, 2019     | 0        | Disk diffusion      | Bauer et al. 1966  | No            | Bauer et al. 1966    |
| Gueler, 2008       | 0        | Disk diffusion      | Other              | Yes           | CLSI or Eucast       |
| Hakim, 2017        | 0        | Disk diffusion      | CLSI or Eucast     | Yes           | CLSI or Eucast       |
| Holland, 1999      | 0        | Disk diffusion      | Bauer et al. 1966  | Yes           | Bauer et al. 1966    |
| Islam, 2015        | 0        | Disk diffusion      | Bauer et al. 1966  | Yes           | Bauer et al. 1966    |
| Iweriebor, 2015    | 0        | Disk diffusion      | CLSI or Eucast     | Yes           | CLSI or Eucast       |
| Khalifa, 2019      | 0        | Disk diffusion      | CLSI or Eucast     | Yes           | CLSI or Eucast       |
| Kohansal, 2018     | 0        | Disk diffusion      | CLSI or Eucast     | Yes           | CLSI or Eucast       |
| Liao, 2019         | 0        | Disk diffusion      | CLSI or Eucast     | Yes           | NI                   |
| Lupindu, 2014      | 0        | Disk diffusion      | CLSI or Eucast     | Yes           | CLSI or Eucast       |
| Maciel, 2019       | 0        | Disk diffusion      | CLSI or Eucast     | Yes           | CLSI or Eucast       |
| Mahanti, 2014      | 0        | Disk diffusion      | CLSI or Eucast     | Yes           | CLSI or Eucast       |

| Manna, 2006     | 0   | Disk diffusion | Bauer et al. 1966 | Yes | Bauer et al. 1966 |
|-----------------|-----|----------------|-------------------|-----|-------------------|
| Medina, 2011    | 0   | Disk diffusion | CLSI or Eucast    | No  | CLSI or Eucast    |
| Mercado, 2004   | 0   | Disk diffusion | Bauer et al. 1966 | Yes | Bauer et al. 1966 |
| Mohammed, 2019  | 0   | Disk diffusion | CLSI or Eucast    | Yes | CLSI or Eucast    |
| Montso, 2019    | 0   | Disk diffusion | Bauer et al. 1966 | Yes | Bauer et al. 1966 |
| Niraj, 2011     | 0   | Disk diffusion | Bauer et al. 1966 | No  | Bauer et al. 1966 |
| Nizza, 2010     | 0   | Disk diffusion | CLSI or Eucast    | Yes | CLSI or Eucast    |
| Orden, 1999     | Yes | MIC            | CLSI or Eucast    | No  | CLSI or Eucast    |
| Orden, 2000     | Yes | MIC            | CLSI or Eucast    | No  | CLSI or Eucast    |
| Pereira, 2011   | 0   | Disk diffusion | CLSI or Eucast    | Yes | CLSI or Eucast    |
| Rigobelo, 2006  | 0   | Disk diffusion | CLSI or Eucast    | Yes | CLSI or Eucast    |
| Rusheeba, 2015  | 0   | Disk diffusion | NI                | Yes | NI                |
| Shahrani, 2014  | 0   | Disk diffusion | Bauer et al. 1966 | Yes | CLSI or Eucast    |
| Sharma, 2017    | 0   | Disk diffusion | NI                | Yes | Bauer et al. 1966 |
| Smith, 1988     | 0   | Disk diffusion | Bauer et al. 1966 | Yes | NI                |
| Srivani, 2019   | 0   | Disk diffusion | Bauer et al. 1966 | No  | Bauer et al. 1966 |
| Srivani, 2017   | 0   | Disk diffusion | CLSI or Eucast    | No  | Bauer et al. 1966 |
| Umpierrez, 2017 | 0   | Disk diffusion | Bauer et al. 1966 | No  | CLSI or Eucast    |
| Valat, 2012     | 0   | Disk diffusion | NI                | No  | CLSI or Eucast    |
| Valat, 2014     | 0   | Disk diffusion | Bauer et al. 1966 | No  | Other             |
| Vargas, 2017    | 0   | Disk diffusion | Bauer et al. 1966 | Yes | Bauer et al. 1966 |
| Verdier, 2012   | Yes | MIC            | CLSI or Eucast    | Yes | CLSI or Eucast    |

NI: Not Informed.

# **CHAPTER 2**

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# Antimicrobial resistance and public and animal health risks associated

# with pathogenic Escherichia coli isolated from calves

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# Highlights

High resistance rates to several classes of antimicrobials among *E. coli* from calves
High levels of multidrug resistance among *E. coli* from calves, Minas Gerais, Brazil
ESBL-producing *E. coli* strains from calves, Minas Gerais, Brazil
EHEC/STEC pathotypes of *E. coli* involved in gastrointestinal infections in calves

#### Abstract

The aims of the present study were to determine the antimicrobial susceptibility profile of pathogenic E. coli strains isolated from fecal samples of calves and buffalo calves from 2008 to 2013 in Minas Gerais, Brazil, as well as to determine the frequency of O157 gene and strains carrying extended-spectrum beta-lactamases (ESBL) and mobile colistin resistance (mcr) genes. Five hundred and eighteen E. coli strains were tested for susceptibility against ten different antimicrobials, using the broth microdilution technique. Tetracycline was the antimicrobial with the highest percentage of resistance among isolates [73.74% (382/518)]; followed by ampicillin [61.9% (321/518)], sulfamethoxazole/trimethoprim [60.23% (312/518)];chloramphenicol [37.06% (192/518)]; gentamicin [24.32% (126/518)], ciprofloxacin [28.57% (148/518)], cefazolin [17.18% (89/518)], colistin [10.42% (54/518)] and cefoxitin [6.56% (34/518)]. Multidrug resistance, considered as the resistance to three or more antimicrobial classes, it was observed in 66.79% (346/518) of the isolates while extensively resistant strains defined as not susceptible to one or more antimicrobial agents in all .it was observed in 11.58% (60/518) of the isolates. The presence of genes mcr1, mcr2, mcr3 or mcr5 was not observed in any of the isolates resistant to colistin in vitro. Among the isolates resistant to cephalosporins (cefazolin or cefoxitin) 19.11% were ESBL producing strains, of which 94.74% (18/19) were also multidrug resistant. All enterohemorrhagic E. coli (EHEC) and Shiga toxin-producing E. coli (STEC) isolates were tested by PCR for the presence of O157 gene and they were all negative. Overall, the tested pathogenic E. coli strains showed high rates of resistance to penicillin, tetracyclines and folate inhibitors, in addition to an alarming rate of multidrug resistance and strains able to produce ESBL.

Keywords: pathogenesis, virulence genes, diarrhea.

#### 1. Introduction

Antimicrobial resistance (AMR) is one of the most significant threats to human and animal health, being considered an emerging global issue [1]. In fact, the implementation of control and prevention strategies on AMR and the rational use of antimicrobials, is one of the priorities of the One Health initiative, which recognize that both human and animal health are interconnected [2, 3]. In the United States, more than 2.8 million antimicrobial-resistant infections occur each year and more than 35,000 people die by infections caused by resistant bacteria [4]. Likewise, in the European Union, AMR is estimated to cost  $\in$  1.5 billion annually in healthcare costs and are responsible for around 33,000 deaths per year [5, 6]. For other regions/countries, there are no reliable statistics/data in this regard, which prevents the drawing of a real picture of the situation worldwide [7].

Animal production systems aligned with the inappropriate use of antimicrobials have been identified as one of the main factors responsible for the emergence of AMR bacteria [8, 9]. In animal health, antimicrobials are used for therapy, metaphylaxis, prophylaxis, and growth promotion, being enteric diseases one of the main animal infections that demands the use of these drugs [8]. Among enteric diseases, diarrhea is one of the most frequent, responsible for up to 75% of deaths in calves younger than three weeks, additionally to economic losses, such as reduced animal weight gain, treatment costs and lower development of affected individuals [10].

Diarrhea is considered a multifactorial disease with the association of several etiological agents that can act alone or in combination [11], among them, *Escherichia coli*, a facultative anaerobe bacterium present in the intestinal microbiota of humans and animals [11]. Depending on the virulence factors harbored by *E. coli* strains, they are

classified in pathotypes, which have different pathogenic/zoonotic potentials and are responsible for distinct clinical manifestations and fatality rates [12, 13].

According to the World Health Organization [14] (WHO), about 11 million children under 5 years of age die from gastroenteritis caused by *E. coli*, mainly in developing countries [9]. In calves, *E. coli* infections cause lesions in the gastrointestinal tract of animals, impairing the absorption of nutrients, additionally to a systemic condition due to dehydration, prostration and anorexia [15]. *E. coli* strains and other Gram-negative bacteria that enter the intestinal tract via exposures to contaminated food, water, and other external sources; therefore, risk factors for fecal carriage of drug-resistant commensal *E. coli* and antimicrobial resistance genes (ARGs) could include exposures to environmental sources of drug-resistant bacteria in addition to traditional risks such as prior use of antimicrobials [13]. Associated with the diversity of virulence factors, *E. coli* is also an important agent considering the dissemination of AMR, since the bacteria can easily transfer drug resistance-associated genes on mobile genetic elements, such as bacteriophages, plasmids, and pathogenicity islands, to different species and habitats [16, 17].

In this context, monitoring the virulence and antimicrobial resistance of diarrheal *E. coli* from animal origin provides useful information about the epidemiology of the disease, which is especially important considering that cattle and other ruminants can be reservoirs of pathotypes, mainly O 157 of public health importance. Therefore, the aims of the present study were to determine the antimicrobial susceptibility profile of pathogenic *E. coli* strains isolated from calves and buffalo calves from 1990 to 2013 in Minas Gerais, Brazil, as well as the frequency of O157 strains and strains carrying extended-spectrum beta-lactamases (ESBL) and mobile colistin resistance (*mcr*) genes.

# 2. Material and methods

#### 2.1. Bacterial strains and culture conditions

In the present study, 518 pathogenic *E. coli* strains isolated from feces of calves (n = 483) and buffalo calves (n = 35), from 1990 to 2013, were tested, from different regions of the State of Minas Gerais, Brazil. The strains are the entire collection of the Laboratório de Bacteriologia Aplicada (LBA), Escola de Veterinária, Universidade Federal de Minas Gerais (UFMG) and were identified using standard methods by previous studies [18-23]. Detailed information about the strains, regarding geographical location, host, year of isolation and occurrence of diarrhea are shown in the Table 1.

The strains were maintained frozen at - 80 °C in Brain Heart Infusion (BHI) broth plus 20% glycerol (Synth, Brazil). The isolates were cultured onto BHI agar (Merck, Germany) and MacConkey agar (Oxoid, England) plates, incubated at 37°C for 24 h for molecular and phenotypic tests.

#### 2.2.Antimicrobial susceptibility test

The antimicrobial susceptibility test was performed using the broth microdilution technique to assess the minimal inhibition concentration (MIC), according to Clinical and Laboratory Standards Institute (CLSI) [24]. Ten different antimicrobials from eight different classes were tested as described in Table 2. All tests were performed in duplicate, and *Pseudomonas aeruginosa* ATCC 27853, *E. coli* ATCC 25922, and *Enterococcus faecalis* ATCC 29212 were used as quality controls strains in all assays. The results were interpreted according to CLSI [25]. The MIC<sub>50</sub> and MIC<sub>90</sub> values were defined as the lowest concentration of the antibiotic at which 50% and 90% of the strains were inhibited, respectively. Strains were defined as multidrug-resistant (MDR) when they were not susceptible to at least one agent in three or more antimicrobial classes, while extensively resistant (XDR) strains were defined as not susceptible to one or more

antimicrobial agents in all but in two or less of the following antimicrobial classes: fluoroquinolones, folate pathway inhibitors, penicillins, phenicols and polymyxins [26].

# 2.3. Production of extended-spectrum beta-lactamase (ESBL)

To assess the production of ESBL, isolates resistant to cefoxitin or cefazolin (cephalosporins) were tested according to the methodology proposed by CLSI [24], using the following antimicrobials disks: cefotaxime (30 µg) or ceftazidime (30 µg) with or without acid clavulanate (10 µg) (Liofilchem®, Italy). A difference of  $\geq$  5 mm between the zone diameters of any of the cephalosporin disks and their respective cephalosporin/clavulanate disks was considered a phenotypic confirmation of ESBL production.

# 2.4.DNA extraction

Strains were submitted to genomic DNA extraction according to the protocol previously described by Pitcher et al [27]. The quantity and quality of DNA extracted were assessed by spectrophotometry using the NanoVue<sup>TM</sup> spectrophotometer (GE Healthcare, USA). DNA samples were kept at -20 °C until the analysis.

# 2.5.Pathotypes and phylogroups

Virulence genes (*Stx1*, *Stx2*, *east*, *cnf2*, *saa*, *int*, *Sta*, *F41*, *F5* and *ehl*) from all strains were previously identified in previous studies [20-23]. According to these data, the following criteria were used to classify the pathotypes [18]: enterotoxigenic *E. coli* (ETEC) was classified mainly for the presence of one of the fimbrial adhesins F5 or F41 or production of heat-stable toxin (*STa*); enteropathogenic *E. coli* (EPEC) was defined by the presence of intimin gene (*eae*); enterohemorrhagic *E. coli* (EHEC) should harbored the genes coding for stx1 and/or stx2 toxins and for intimin (*eae*); Shiga toxin-producing *E. coli* isolates (STEC) were classified based on the presence of the toxins genes *stx1* and/or

*stx2*; necrotoxigenic *E. coli* (NTEC) was characterized for the presence of the CNF2 toxin; and enteroaggregative *E. coli* (EAEC) by the presence of *east1* enterotoxin gene. Strains carrying virulence factors that characterize more than one pathotype were classified as hybrid. Complete information on pathotype classification is shown in Supplementary Table S1.

Regarding the classification of phylogenetic groups, part of the isolates [20, 22] was previously classified following the methodology described by Clermont et al [28]; whereas the others [21, 23] were classified in the present study using the same method (Table 3).

# 2.6. Detection of E. coli O157 gene and mobile colistin resistance (mcr) genes

All strains classified as EHEC and STEC, according to the virulence genes identified, were tested by PCR to evaluate the presence O157 antigen, according to Paddock et al [29]. The detection of genes *mcr-1*, *mcr-2*, *mcr-3*, and *mcr-5* was performed using a multiplex PCR described by Rebelo et al [30]. Primers, fragment sizes and positive controls used in all assays are listed in Table 3.

#### 2.7. Statistical analysis

The frequency distributions for the categorical variables were calculated. Logistic multivariable models using ten dependent variables (multidrug resistance, aminoglycoside, penicillin, cephem, fluoroquinolones, phenicol, polymyxin, folate inhibitor, tetracycline and ESBL) and 20 independent variables (age, sex, sampling year, diarrhea, pathotypes, aminoglycosides, penicillin, cephem, quinolone, phenicol, polymyxin, folate inhibitor, tetracycline, EHEC, EPEC, ETEC, hybrid, NTEC, STEC, EAEC) were built in the STATA 14.0 (http://www.stata.com) to assess the factors

associated with the occurrence of multidrug resistance and resistance in pathogenic *E*. *coli* strains. Independent variable selection was performed analyzing frequency distribution of its categories and the association between them by  $\chi^2$  and Fisher exact test (Supplementary Table S2 and S3). Variables were considered for inclusion in a multivariable model if significance was p < 0.24 [31]. The multivariate models were evaluated by testing the predictive ability of the model (sensibility and specificity) and the goodness-of-fit were accessed with Pearson  $\chi^2$  and Hosmer-Lemeshow tests [31].

Data were also imported into R statistical software version 4.2.1 (cran.r-project.org) and figures were constructed using the ggplot2[31] and cyclize [32].

# 3. Results

#### 3.1. Antimicrobial susceptibility tests

Tetracycline was the antimicrobial with the highest percentage of resistant isolates [73.74% (382/518)],followed ampicillin [61.9% (321/518)],by sulfamethoxazole/trimethoprim [60.23% (312/518)],chloramphenicol [37.06% (192/518)], gentamicin [24.32% (126/518)] and ciprofloxacin [28.57% (148/518)]. Isolates also showed resistance to cefazolin [17.18% (89/518)], colistin [10.42% (54/518)] and cefoxitin [6.56% (34/518)]. In contrast, amikacin was the antimicrobial with the highest percentage of susceptible strains [97.87% (507/518)]. Detailed information on antimicrobial resistance, as well MIC<sub>50</sub> and MIC<sub>90</sub> are described in Table 2 and Fig.1.

One hundred and twenty-two different antimicrobial resistance profiles were observed among the tested isolates. Only 8.69% (45/518) of the isolates were sensitive to all classes tested, whereas MDR was observed in 66.79% (346/518) of the isolates, with 2.34% (12/518) resistant to seven different antimicrobial classes. XDR was observed in

11.58% (60/518) of the isolates, of which 60% (36/60) were resistant to one class and 40% (24/60) to two classes of antimicrobials. Among XDR isolates, most were resistant to folate inhibitor class [41.66% (15/36)], followed by polymyxin [25% (9/36)], phenicol [13.88% (5 /36)], penicillin [11.11% (4/36)] and fluoroquinolone [8.33% (3/36)]. The antimicrobial class most frequent among the strains classified as XDR was folate inhibitor [62.5% (15/24)], and the most frequent combinations of resistance were folate inhibitor + phenicol [25% (6/24)], folate inhibitor + fluoroquinolone 16.66% (4/24)], folate inhibitor + polymyxin [8.33% (2/24)].

Different levels of AMR were observed between the pathotypes, with the EHEC pathotype being the most associated 28.32(98/346) followed by STEC with 25.0% (87/346), EAEC 24.57% (84/346), ETEC 8.67% (30/346), EPEC 6.06% (21/346), NTEC 5.49% (19/346) and Hybrid *E. coli* with 2.02% (7/346). Temporal distribution of the resistance profiles observed are shown in Figure 2A. Most MDR strains were isolated in 2008 [225/346 (65.03%)], followed by 2012 [95/346 (27.46%)] and 2013 [15/346 (4.34%)]. For the XDR isolates, 80% (48/60) belonged to the year 2008, followed by 10% (6/60) in 2012, 6.66% (4/60) in 2013 and 3.33% (2/100) isolated without information regarding the year of isolation.

# 3.2. Detection of ESBL production, O157 gene and mcr genes

Among the cephalosporin resistant strains 19.19% (19/99) of isolates were ESBL producing, from which 94.74% (18/19) were also MDR. These results are described in the Table 4.

All EHEC isolates and STEC isolates were negative in the PCR for detection of O157 antigen. Likewise, although 10.42% (54/518) of the strains showed a colistin resistance phenotype, they were all negative in the PCR for *mcr* genes (*mcr1*, *mcr2*, *mcr3*)

and *mcr5*).

# 3.3. E. coli pathotypes and phylogroups

Distribution of phylogroups and pathotypes according to year of isolation is shown in Fig. 2 (B and C). The most prevalent pathotype was STEC [26.64% (138/518)], followed by EHEC [24.90% (129/518)], EAEC [24.32% (126/518)], ETEC [7.91% (41/518)], NTEC [7.52% (39/518)] and EPEC [5.59% (29/518)]. Hybrid *E. coli* pathotypes were observed in 3.08% (16/518) of the isolates. Most *E. coli* strains belong to the phylogroup B1 [58.49% (303/518)], followed by E [13.12% (68/518)], A [5.40% (28/518)], C [4.44% (23/518)], F [3.47% (18/518)], D [1.35% (7/518)], B2 [0.77% (4/518)], and Clade I and II with 0.38% (2/518) each. Relation between pathotypes and phylogroups is shown in Fig.3. Forty-seven isolates [9.07% (47/518)] did not belong to any of the tested phylogroups and 3.09% (16/518) were not typeable.

The presence of diarrhea among the animals was more associated with the pathotype EAEC [27.02% (60/222)], followed by EHEC [26.57% (59/222)], STEC [19.36% (43/222)], ETEC [ 10.36% (23/222)], NTEC [5.85% (13/222)], EPEC [5.85% (13/222)] and 4.05% [(9/ 222)] *E. coli* hybrid pathotype. On the other hand, [57.14% (296/518)] strains isolated from animals without clinical signs of diarrhea were more associated with STEC [32.09% (95/296)], followed by EHEC [23.64% (70/296)], EAEC [22.29% 66/296], NTEC [8.10% (24/296)], ETEC [6.08% (18/296)], EPEC [5.40% (16/296)] and hybrid *E. coli* [2.36% (7/296)].

Among the strains isolated from animals with diarrhea, 58.10% (129/222) belonged to phylogroup B1, followed by E [14.41% (32/222)], A [5.40% (12/222)], C [3.60% (8/222)], F [3.15% (7/222)], D [1.35% 3/222(D)] and Clade I and II [0.45% 1/222)]. Four isolates [1.80% (4/22)] were non-typeable and 9.90% (22/222) were negative according the Clermont et al.[28] technique. Regarding to animals without clinical signs,

the most common phylogroup was also B1 (58.78% 174/296), followed by phylogroup E [12.16% (36/296)], A [5.40% (16/296)], C [5.06% (15/296)], F [3.71% (11/296)], D [1.35% (4/296)] and Clade I and II [0.33% (1/296)], whereas 6.12% (12/296) were non-typable and 8.44% (25/296) were negative.

# 3.4. Logistic multivariable models

The logistic multivariable model using AMR as outcome was the only model that showed a good fit [Pearson  $\chi^2 = 273.85$  (P-value = 0.9504) and the Hosmer-Lemeshown  $\chi^2 = 11.24$  (P-value = 0.1884)], with age (months), some antimicrobial classes (aminoglycoside, penicillin, cephem, quinolone, phenicol and tetracycline) and the EHEC pathotype been found as significantly associated with AMR. The final multivariate logistic model for AMR is shown in Table 5. All significant variables in the final model showed positive association with AMR, being the higher odds ratios (OR) exhibited by fluoroquinolones and penicillin. The sensitivity of the model was 100% and the specificity was 51.16%, correctly classifying 83.78% the AMR. The area under the curve for the ROC curve was 0.9628.

# 4. Discussion

In the present study, we investigated the antimicrobial susceptibility profile of pathogenic strains of *E. coli* isolated from calves and buffalo calves from 1990 to 2013 in Minas Gerais. The results showed high rates of resistance to several classes of antimicrobials, mainly tetracyclines, penicillin and folate inhibitor, as well as high levels of MDR, which is a concern from two point of views, animal health and public health, since most of the tested *E. coli* strains exhibited great zoonotic potential (EHEC/STEC), despite O157 antigen has not been observed in any of the isolates. Additionally, it is important to note that the antimicrobials to which resistance was observed are generally also the first choice for treatment of *E. coli* infections and have a low economic cost and

belong to the same class as those administered in for enteric infections caused by *E. coli* in humans [10, 32, 33]. On the other hand, from the animal health point of view, the vast resistance and MDR rates observed among the tested strains also show a huge problem in treating enteric infections caused by *E. coli* in cattle [34]. The intensive use of antimicrobial in food-producing animals, for different purposes, such as therapy, metaphylaxis, prophylaxis, and growth promotion [35, 36], are probably the explanation these findings. Indeed, data from the United States and European Union all countries have shown that tetracycline followed by penicillin are the two best-selling antimicrobial classes, considered to be easily accessible, abundant and inexpensive antimicrobials, contributing to their overemployment [7, 37].

In this sense, it is important to note that all the antimicrobial classes that remained significant in the final logistic model are also among the most sold antimicrobials [37] to produce food from animals, strongly suggesting the relationship of their overuse with the increasing AMR in E. coli from animal origin, demonstrated herein and elsewhere [38]. This association is reinforced considering that, except for tetracyclines, all the antimicrobials' classes significantly associated with MDR in the final model, showed the same profile: low rates of resistance only to the class but intensely related to MDR. As an example, the fluroquinolones were the antimicrobial class most significantly associated with MDR (Table 5), although most of the tested *E. coli* were susceptible to ciprofloxacin (69.30%), the assessed fluroquinolone. However, among the fluroquinolone's resistant strains 91.89% (136/148) were also MDR, which suggest that the resistance to this class is probably given by unspecific mechanisms in the evaluated population, such as efflux pumps, able to confer resistance simultaneously to several different antimicrobial classes [39]. Although the genetic bases of resistance were not assessed in the present study, these findings all together, point to the wide dissemination
of generic MDR mechanisms in the studied population, which make the results observed even worrier. In addition, age was also associated with MDR in the final model, probably because older animals have longer exposure time to both the use of antimicrobials and infections.

The production of ESBL by *Enterobacteriaceae* is also a finding of great clinical and epidemiological relevance, as these isolates have the ability to hydrolyze the structures of beta-lactam rings, that act hampering the synthesis in the cell wall, thus promoting resistance against the antimicrobial [40]. Furthermore, the presence of this type of resistance may be an indication of resistance to other classes of antimicrobials [41]. Indeed, among the cephalosporin-resistant isolates that were ESBL-producing, 94.7% were also MDR, which is of great concern, since humans can acquire these strains through consumption of contaminated food or water, or through soil contamination and occupational activity [42]. The indiscriminate use of antimicrobials, aligned with several other factors, such as lack of adopted management measures in animal farms, lack of preventive measures, insufficient training of personnel, all together can lead to a high prevalence of ESBL production [4]. Moreover, it is also important to mention that most 52.63% (10/19) of the ESBL-producing strains were EHEC/STEC [43, 44], which have cattle as its main reservoir and are of significant clinical importance in public health, especially considering the O157 strains [45]. This role of cattle as carriers of these pathotypes may also explain the high prevalence and the absence of clinical signs for EHEC/STEC [46, 47] pathotype observed, considering the whole population. In the present study, the search for serogroup O157 among STEC/EHEC E. coli isolates resulted negative for the molecular test performed, indicating the absence of the marker among the tested strains. Nonetheless, it is worth to emphasize the importance of surveillance and control of this marker, mainly among bovine strains, as well as of MDR and ESBL producers, as central components in the strategy to fighting the spreading of highly pathogenic *E. coli* and AMR.

Another important marker related to AMR is the plasmid-mediated colistin resistance carrying *mcr* genes, which threat animal health and public health, due to the possibility of quickly spread [48]. However, in the present study, albeit phenotypic resistance to colistin was found in 10.42% of the isolates, none exhibited the tested *mcr* genes (*mcr-1*, *mcr-2*, *mcr-3* and *mcr-5*). It is possible that other chromosomal mechanisms or different *mcr* genes not tested may be involved in the colistin resistance observed in vitro, such as membrane alteration, efflux pump and even cross-resistance with other antimicrobials [49]. Also, the phenotypic resistance to colistin observed, even possible considered low (10.42%) and having the genetic basics unknown, it can be pondered important from the public health perspective, since colistin is an antimicrobial commonly used as a last resort in infections caused by multidrug-resistant Gram-negative bacteria [50]. In addition, it is important to mention that resistance to colistin among *E. coli* (6.3%) and *Salmonella* enterica (21%) strains isolated from pigs was previously reported in Brazil [51].

Regarding to *E. coli* phylogroups, which is a combinations of genes associated with different sources of infections [28, 52], our stud showed phylogroup B1 as the most frequent, followed by phylogroup E and phylogroup A, which are in accordance to literature data, being most frequently associated with intestinal infections of cattle [53, 54].

Despite having a considerably expressive collection with 518 isolates, this sampling comes from a non-probabilistic collection, which brings a non-systematic error to the analysis that cannot be corrected. Another limitation of the study is that the collection came from samples that were isolated almost ten years ago, which limits the inferences today.

#### 5. Conclusion

In conclusion, the pathogenic *E. coli* strains isolated from calves and buffalo calves from 1990 to 2013 in Minas Gerais assessed in the present study showed high rates of resistance to penicillin, tetracyclines and folate inhibitors, in addition to an alarming rate of multidrug resistance and strains able to produce ESBL, which altogether point to a non-negligible risk to public and animal health and for the need to build better strategies for monitoring bacterial infections caused by *E. coli* in animals.

## **Conflict of Interest Statement**

The authors declare no conflict of interest

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Fig 1. Susceptibility profile and minimal inhibitory concentrations (MIC) of several antimicrobials for *Escherichia coli* strains isolated from calves and buffalo calves from 1990 to 2013, in Minas Gerais, Brazil.



Fig.2 (A, B and C). Distribution of multi-resistance phenotype, phylogroups and pathotypes, according to year of isolation of *Escherichia coli* strains isolated from calves and buffalo calves from 1990 to 2013, in Minas Gerais, Brazil.



Fig.3 Relation between pathotypes and phylogroups of *Escherichia coli* strains isolated from calves and buffalo calves from 1990 to 2013, in Minas Gerais, Brazil.

# Tables

Table 1. Detailed epidemiological information of *Escherichia coli* strains isolated from calves and buffalo calves in Minas Gerais, Brazil, 1990 to 2013.

| Reference | N of isolates | Geographic location | Year of isolation | Host           | Age of animals | Diarrhea* (%)     |
|-----------|---------------|---------------------|-------------------|----------------|----------------|-------------------|
| [18,19]   | 343           | Martinho Campos     | 2008              | Calves         | 1 to 9 weeks   | 123/343 (35.86 %) |
| [18,19]   | 80            | Belo Horizonte      | 2012              | Calves         | 1 to 6 weeks   | 57/80 (71.25%)    |
| [18,19]   | 36            | Belo Horizonte      | 2012              | Calves         | 1 to 9 weeks   | 12/36 (33.33%)    |
| [20]      | 35            | Oliveira            | 2013              | buffalo calves | 1 to 12weeks   | 17/35 (48.57%)    |
| [21]      | 24            | NI                  | NI                | Calves         | 1 to 9 weeks   | 13/24 (54.16%)    |

\*The pathogenic strains of *Escherichia coli* were isolated from diarrheic and no diarrheic calves.

| Antimionahial                 | Class            | Damas MIC         | B           | reakpoi | ints        | <b>D</b> (0/) | T (0/) | S (0/) | MIC <sub>50</sub> | MIC <sub>90</sub> |
|-------------------------------|------------------|-------------------|-------------|---------|-------------|---------------|--------|--------|-------------------|-------------------|
| Anumicrobia                   | Class            | Kange MIC         | S           | Ι       | R           | <u> </u>      | 1 (%)  | 5 (%)  | (µg/mL)           | (µg/mL)           |
| Amikacin                      | Aminoglycoside   | 0.5-256           | ≤16         | 32      | ≥64         | 0.77          | 1.35   | 97.87  | 1.15              | 5.80              |
| Ampicillin                    | Penicillin       | 0.25-128          | $\leq 8$    | 16      | ≥ 32        | 61.96         | 1.54   | 36.48  | >128              | >128              |
| Cefazolin                     | Cephem           | 0.12-64           | $\leq 2$    | 4       | $\geq 8$    | 17.18         | 1.15   | 59.26  | 1.90              | 6.17              |
| Cefoxitin                     | Cephem           | 0.25-128          | $\leq 8$    | 16      | ≥ 32        | 6.56          | 6.17   | 87.25  | 2.02              | 11.83             |
| Ciprofloxacin                 | Fluoroquinolone  | 0.12-64           | ≤1          | 2       | $\geq$ 4    | 28.57         | 2.12   | 69.30  | 0.17              | 23.90             |
| Chloramphenicol               | Phenicol         | 0.25-128          | $\leq 8$    | 16      | ≥ 32        | 37.06         | 9.65   | 49.42  | 6.55              | >128              |
| Colistin                      | Polymyxin E      | 0.12-64           | $\leq 2$    | -       | $\geq$ 4    | 10.42         | 0.00   | 89.57  | < 0.12            | 2.94              |
| Gentamicin                    | Aminoglycoside   | 0.12-64           | ≤4          | 8       | ≥16         | 24.32         | 3.28   | 72.39  | 0.42              | 24.63             |
| Sulfamethoxazole/trimethoprim | Folate inhibitor | 0.015/0.296-8/152 | $\leq 2/38$ | -       | $\geq 4/76$ | 60.23         | 0.00   | 60.23  | >8/152            | >8/152            |
| Tetracycline                  | Tetracycline     | 0.12-64           | <u>≤</u> 4  | 8       | ≥16         | 73.74         | 1.35   | 24.90  | 45.12             | >64               |

Table 2. Antimicrobial susceptibility of pathogenic *Escherichia coli* strains isolated from calves and buffalo calves in Minas Gerais, Brazil, 1990 to 2013.

S: susceptible; I: intermediate susceptible; R: resistant; MIC: minimal inhibitory concentration; MIC50: minimal inhibitory concentration that inhibited 50% of the tested strains; MIC90: minimal inhibitory concentration that inhibited 90% of the tested strains

| Target genes     | Primers    | Sequence 5'-3'                 | Product<br>size (pb) | References | Positive controls             |  |  |  |
|------------------|------------|--------------------------------|----------------------|------------|-------------------------------|--|--|--|
|                  | mcr1_fw    | AGTCCGTTTGTTCTTGTGGC           | 220                  | [20]       | Vichaiolla na sumania LEM2909 |  |  |  |
| mcr-1            | mcr1_rev   | AGATCCTTGGTCTCGGCTTG           | 520                  | [29]       | Riedstetta pheumonie LEM2808  |  |  |  |
| m on 2           | mcr2_fw    | CAAGTGTGTTGGTCGCAGTT           | 715                  | [20]       | Essheriahia aali KD27         |  |  |  |
| mer-2            | mcr2_rev   | TCTAGCCCGACAAGCATACC           | /15                  | [29]       | Escherichia coli KF37         |  |  |  |
|                  | mcr3_fw    | AAATAAAAATTGTTCCGCTTATG        | 020                  | [20]       | Eachemistria cali 2012 SO252  |  |  |  |
| mcr-5            | mcr3_rev   | AATGGAGATCCCCGTTTTT            | 929                  | [29]       | Escherichia coli 2013-SQ332   |  |  |  |
|                  | mcr5_fw    | ATGCGGTTGTCTGCATTTATC          | 1644                 | [20]       | Salmonella Paratyphi 13-      |  |  |  |
| mcr-3            | mcr5_rev   | TCATTGTGGTTGTCCTTTTCTG         | 1044                 | [29]       | SAO1718                       |  |  |  |
| with $E(0.157)$  | rfbE_fw    | CAGGTGAAGGTGGAATGGTTGTC        | 296                  | [20]       | Escherichia coli EHEC 0157    |  |  |  |
| ŊD-Е (0157)      | rfbE_rev   | TTAGAATTGAGACCATCCAATAAG       | 290                  | [28]       | LEM2807                       |  |  |  |
| aluu A           | chuA.1b    | 5'-ATGGTACCGGACGAACCAAC-3'     | 200                  | [27]       | Escherichia coli STEC 424 LEM |  |  |  |
| спиА             | chuA.2     | 5'-TGCCGCCAGTACCAAAGACA-3'     | 288                  | [27]       | 2808                          |  |  |  |
| nial             | yjaA.1b    | 5'-CAAACGTGAAGTGTCAGGAG-3'     | 211                  | [27]       | Escherichia coli STEC 424 LEM |  |  |  |
| ујаА             | yjaA.2b    | 5'- AATGCGTTCCTCAACCTGTG-3'    | 211                  | [27]       | 2808                          |  |  |  |
| Tam E4 a2        | TspE4C2.1b | 5'-CACTATTCGTAAGGTCATCC-3'     | 150                  | [27]       | Escherichia coli STEC 168 LEM |  |  |  |
| <i>TSPE</i> 4.C2 | TspE4C2.2b | 5'- AGTTTATCGCTGCGGGTCGC-3'    | 132                  | [27]       | 2809                          |  |  |  |
| arpA             | Acek.f     | 5'-AAGCCTATTCGCCAGCTTGC-3'     | 400                  | [27]       | Escherichia coli EHEC 028 LEM |  |  |  |
|                  | ArpA1.r    | 5'-TCTCCCCATACCGTACGCTA-3'     | 400                  | [27]       | 2810                          |  |  |  |
| a                | ArpAgpE.f  | 5'-GATTCCATCTTGTCAAAATATGCC-3' | 201                  | [27]       | Escherichia coli ETEC 068 LEM |  |  |  |
| arpA             | ArpAgpE.r  | 5'-GAAAAGAAAAAGAATTCCCAAGAG-3' | 501                  | [27]       | 2811                          |  |  |  |
| tum A            | trpAgpC.1  | 5'-AGTTTTATGCCCAGTGCGAG-3'     | 210                  | [27]       | Escherichia coli EHEC 107 LEM |  |  |  |
| irpA             | trpAgpC.2  | 5'-TCTGCGCCGGTCACGCCC-3'       | 219                  | [27]       | 2812                          |  |  |  |

**Table 3.** O157 serotype-specific and mobile colistin resistance (*mcr*) primers and positive controls tested in enterohemorrhagic, Shiga toxin-producing and cephalosporin resistant *Escherichia coli* strains isolated from calves and buffalo calves in Minas Gerais, Brazil, 1990 to 2013.

| Stuain | Heat     | Year of   | Animal  | al Diarrhea | Geographical<br>location | Antimicrobial resistance profile |     |     |     |     |     |     |     |     |     | MDR | Virulence profile |      |      |      |     |     |     |     |    | PG  | PT 1 | Ref.    |         |
|--------|----------|-----------|---------|-------------|--------------------------|----------------------------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-------------------|------|------|------|-----|-----|-----|-----|----|-----|------|---------|---------|
| Stram  | nost     | isolation | age     |             |                          | AMC                              | AMP | CFZ | СТХ | CIP | CLO | COL | GEN | STX | TET |     | stx1              | stx2 | east | cnf2 | saa | eae | sta | F41 | F5 | ehl | -    |         |         |
| 62     | calves   | 2008      | 5 weeks | +           | Martinho Campos          | S                                | R   | R   | R   | R   | S   | S   | S   | R   | R   | +   | -                 | +    | -    | -    | -   | -   | -   | -   | -  | -   | -    | STEC    | [18,19] |
| 119    | calves   | 2008      | 2 weeks | -           | Martinho Campos          | S                                | R   | R   | S   | R   | R   | S   | S   | R   | R   | +   | -                 | -    | +    | -    | -   | -   | -   | -   | -  | -   | B1   | east1 + | [18,19] |
| 253    | calves   | 2008      | 9 weeks | -           | Martinho Campos          | S                                | S   | R   | R   | S   | S   | R   | S   | S   | R   | +   | -                 | -    | -    | +    | -   | -   | -   | -   | -  | -   | -    | NTEC    | [18,19] |
| 254    | calves   | 2008      | 4 weeks | +           | Martinho Campos          | S                                | R   | R   | S   | S   | S   | S   | S   | R   | R   | +   | +                 | -    | -    | -    | -   | +   | -   | -   | -  | +   | B1   | EHEC    | [18,19] |
| 271    | calves   | 2008      | 4 weeks | +           | Martinho Campos          | S                                | R   | R   | S   | R   | R   | S   | R   | R   | R   | +   | -                 | -    | +    | -    | -   | -   | -   | -   | -  | -   | B1   | east1   | [18,19] |
| 305    | calves   | 2008      | 5 weeks | -           | Martinho Campos          | S                                | S   | R   | R   | Ι   | S   | R   | S   | R   | S   | +   | +                 | -    | -    | -    | +   | -   | -   | -   | -  | -   | B1   | STEC    | [18,19] |
| 310    | calves   | 2008      | 5 weeks | -           | Martinho Campos          | S                                | S   | S   | R   | S   | Ι   | R   | S   | S   | S   | -   | +                 | -    | -    | -    | -   | +   | -   | -   | -  | -   | B1   | EHEC    | [18,19] |
| 316    | calves   | 2008      | 5 weeks | -           | Martinho Campos          | S                                | S   | R   | R   | R   | S   | R   | S   | S   | S   | +   | -                 | +    | -    | -    | +   | -   | -   | -   | -  | -   | B1   | STEC    | [18,19] |
| 332    | calves   | 2008      | 6 weeks | -           | Martinho Campos          | S                                | R   | S   | R   | S   | R   | S   | S   | R   | R   | +   | -                 | -    | +    | -    | -   | -   | -   | -   | -  | -   | -    | east1 + | [18,19] |
| 340    | calves   | 2008      | 2 weeks | +           | Martinho Campos          | S                                | R   | R   | S   | S   | R   | S   | S   | R   | R   | +   | -                 | -    | +    | -    | -   | -   | -   | -   | -  | -   | -    | east1 + | [18,19] |
| 342    | calves   | 2008      | 1 weeks | +           | Martinho Campos          | S                                | R   | R   | S   | R   | R   | S   | S   | R   | R   | +   | -                 | -    | +    | -    | -   | -   | -   | -   | -  | -   | B1   | east1 + | [18,19] |
| 355    | calves   | 2008      | 2 weeks | -           | Martinho Campos          | R                                | R   | R   | R   | S   | R   | R   | R   | R   | R   | +   | -                 | -    | +    | -    | -   | -   | -   | -   | -  | -   | -    | east1 + | [18,19] |
| 390    | calves   | NI        | 2 weeks | +           | NI                       | Ι                                | R   | R   | R   | S   | Ι   | S   | S   | R   | R   | +   | +                 | -    | NT   | -    | NT  | +   | -   | -   | -  | NT  | B1   | EHEC    | [21]    |
| 392    | calves   | NI        | 2 weeks | -           | NI                       | S                                | I   | R   | S   | S   | S   | S   | S   | R   | R   | +   | -                 | -    | NT   | -    | NT  | +   | -   | -   | -  | NT  | B1   | EPEC    | [21]    |
| 416    | buffallo | 2013      | NI      | -           | Oliveira                 | S                                | R   | R   | S   | S   | Ι   | S   | S   | S   | R   | +   | +                 | -    | -    | -    | -   | +   | -   | -   | -  | +   | B1   | EHEC    | [20]    |
| 458    | calves   | 2012      | 5 weeks | +           | Belo Horizonte           | S                                | R   | R   | S   | S   | R   | S   | R   | R   | R   | +   | -                 | -    | +    | NT   | NT  | +   | -   | -   | -  | NT  | Е    | EPEC    | [18,19] |
| 479    | calves   | 2012      | 2 weeks | -           | Belo Horizonte           | S                                | R   | R   | Ι   | R   | R   | S   | Ι   | R   | R   | +   | +                 | -    | -    | NT   | NT  | +   | -   | -   | -  | NT  | D    | EHEC    | [18,19] |
| 493    | calves   | 2012      | 2weeks  | +           | Belo Horizonte           | S                                | R   | R   | S   | S   | S   | R   | R   | R   | R   | +   | +                 | -    | +    | NT   | NT  | +   | -   | -   | -  | NT  | -    | EHEC    | [18,19] |
| 510    | calves   | 2012      | 3 weeks | +           | Belo Horizonte           | S                                | R   | R   | S   | S   | R   | S   | R   | R   | R   | +   | -                 | -    | +    | NT   | NT  | -   | -   | -   | -  | NT  | А    | east1   | [18,19] |

Table 4 – Detailed information of ESBL-producing *Escherichia coli* isolated from calves and buffalo calves in Minas Gerais, Brazil, 1990 to 2013.

Antimicrobial resistance (AMR), Amikacin (AMC), ampicillin (AMP), cefazolin (CFZ), ciprofloxacin (CIP), chloramphenicol (CLO), colistin (COL), gentamicin (GEN), sulfamethoxazole/trimethoprim (STX), Tetracycline (TET).

**Table 5.** Multivariable logistic regression model for the risk factors associated to AMR in Escherichia coli strains isolated from calves and buffalo calves in Minas Gerais, Brazil, 1990 to 2013.

| AMR                    | Odds Ratio    | p-value | 95% CI  |         |  |  |  |
|------------------------|---------------|---------|---------|---------|--|--|--|
| Age (months)           | 1.5850        | 0.089   | 0.932   | 2.694   |  |  |  |
| Aminoglycoside         |               |         |         |         |  |  |  |
| Susceptible            | Base category |         |         |         |  |  |  |
| Intermediary/Resistant | 13.337        | 0.000   | 4.381   | 40.602  |  |  |  |
| Penicillin             |               |         |         |         |  |  |  |
| Susceptible            | Base category |         |         |         |  |  |  |
| Intermediary/Resistant | 28.481        | 0.000   | 12.263  | 66.147  |  |  |  |
| Cephems                |               |         |         |         |  |  |  |
| Susceptible            | Base category |         |         |         |  |  |  |
| Intermediary/Resistant | 4.993         | 0.000   | 2.354   | 10.593  |  |  |  |
| Fluoroquinolones       |               |         |         |         |  |  |  |
| Susceptible            | Base category |         |         |         |  |  |  |
| Intermediary/Resistant | 72.278        | 0.000   | 220.762 | 251.620 |  |  |  |
| Phenicol               |               |         |         |         |  |  |  |
| Susceptible            | Base category |         |         |         |  |  |  |
| Intermediary/Resistant | 3.895         | 0.000   | 1.851   | 8.195   |  |  |  |
| Tetracycline           |               |         |         |         |  |  |  |
| Susceptible            | Base category |         |         |         |  |  |  |
| Intermediary/Resistant | 17.482        | 0.000   | 6.623   | 46.142  |  |  |  |
| EHEC                   |               |         |         |         |  |  |  |
| Others                 | Base category |         |         |         |  |  |  |
| Positive               | 2.431         | 0.028   | 1.099   | 5.373   |  |  |  |

Log likelihood = -110.833; Pseudo R2 = 0.6634. Sensitivity: 100.00%. Specificity: 51.16%. Correctly classified: 83.78%. E. coli enterohemorrhagic (EHEC).

## **Supplementary Material**

**Supplementary Table S1**. Main criteria defined to classify pathotypes of *Escherichia coli* isolated from calves and buffalo calves in Minas Gerais, Brazil, 1990 to 2013.

| Pathotype       | Classification criteria  | Reference |
|-----------------|--|-----------|
| EPEC            | (+) Intimin eae, and (-) stx1/ltx2   | [16]      |
| ETEC            | + <i>F5(K99</i> ) or F41 or Sta  | [16]      |
| STEC            | (-) Intimin <i>eae</i> and (+) for ( <i>stx1</i> or <i>stx2</i> )                                      | [16]      |
| EHEC            | (+) Intimin <i>eae</i> and + for ( <i>stx</i> 1 or <i>stx</i> 2)                                       | [16]      |
| NTEC            | (+) <i>cnf</i> 2   | [16]      |
| EAEC            | (+) <i>east</i>  | [16]      |
| E. coli hybrid* | *combination of virulence factors from more than one of the pathotypes EPEC, ETEC, STEC, EHEC and NTEC | [16]      |

*E. coli* enteropathogenic (EPEC), *E. coli* enterotoxigenic (ETEC), *E. coli* Shiga toxin producer (STEC), *E. coli* enterohemorrhagic (EHEC), *E. coli* enterohemorrhagic (E

| Variable       | Frequency | Percentage (%) |  |  |  |  |  |
|----------------|-----------|----------------|--|--|--|--|--|
| Sampling Year  |           |                |  |  |  |  |  |
| 2008           | 343       | 66.22          |  |  |  |  |  |
| 2012           | 116       | 22.39          |  |  |  |  |  |
| 2013           | 35        | 6.76           |  |  |  |  |  |
| Not available  | 24        | 4.63           |  |  |  |  |  |
| Phylogroup     |           |                |  |  |  |  |  |
| А              | 28        | 5.41           |  |  |  |  |  |
| B1             | 303       | 58.49          |  |  |  |  |  |
| B2             | 4         | 0.77           |  |  |  |  |  |
| С              | 23        | 4.44           |  |  |  |  |  |
| Clade I        | 2         | 0.39           |  |  |  |  |  |
| Clade II       | 2         | 0.39           |  |  |  |  |  |
| D              | 7         | 1.35           |  |  |  |  |  |
| Е              | 68        | 13.13          |  |  |  |  |  |
| F              | 18        | 3.47           |  |  |  |  |  |
| Negative       | 47        | 9.07           |  |  |  |  |  |
| Not classified | 16        | 3.09           |  |  |  |  |  |
| Diarrhea       |           |                |  |  |  |  |  |
| Negative       | 296       | 57.14          |  |  |  |  |  |
| Positive       | 222       | 42.86          |  |  |  |  |  |
| Sex            |           |                |  |  |  |  |  |
| Female         | 472       | 95.55          |  |  |  |  |  |
| Male           | 22        | 4.45           |  |  |  |  |  |
| EHEC           |           |                |  |  |  |  |  |
| Others         | 389       | 75.10          |  |  |  |  |  |
| EHEC           | 129       | 24.90          |  |  |  |  |  |
| EPEC           |           |                |  |  |  |  |  |
| Others         | 489       | 94.40          |  |  |  |  |  |
| EPEC           | 29        | 5.60           |  |  |  |  |  |
| ETEC           |           |                |  |  |  |  |  |
| Others         | 477       | 92.08          |  |  |  |  |  |
| ETEC           | 41        | 7.92           |  |  |  |  |  |
| Hybrid         |           |                |  |  |  |  |  |
| Others         | 502       | 96.91          |  |  |  |  |  |
| Hybrid         | 16        | 3.09           |  |  |  |  |  |
| NTEC           |           |                |  |  |  |  |  |
| Others         | 479       | 92.47          |  |  |  |  |  |
| NETC           | 39        | 7 53           |  |  |  |  |  |
| STEC           | 57        | ,              |  |  |  |  |  |
| Others         | 380       | 75 68          |  |  |  |  |  |
| STEC           | 138       | 73.00<br>24 32 |  |  |  |  |  |
| FAFC           | 130       | 24.32          |  |  |  |  |  |
| Others         | 307       | 75 68          |  |  |  |  |  |
| Unicis         | 374       | 13.00          |  |  |  |  |  |

**Supplementary Table S2.** Frequency distribution and percentage of categorical variables from the dataset of AMR in *Escherichia coli* strains isolated from calves and buffalos between 2008 and 2013 in Minas Gerais Brazil.

| EAEC                   | 126 | 24.32 |
|------------------------|-----|-------|
| AMR                    |     |       |
| No                     | 172 | 33.20 |
| Yes                    | 346 | 66.80 |
| Aminoglycosides        |     |       |
| Susceptible            | 369 | 71.24 |
| Intermediary/Resistant | 149 | 28.76 |
| Penicillin             |     |       |
| Susceptible            | 189 | 36.49 |
| Intermediary/Resistant | 329 | 63.51 |
| Cephem                 |     |       |
| Susceptible            | 291 | 56.18 |
| Intermediary/Resistant | 227 | 43.82 |
| Fluoroquinolones       |     |       |
| Susceptible            | 359 | 69.31 |
| Intermediary/Resistant | 159 | 30.69 |
| Phenicol               |     |       |
| Susceptible            | 276 | 53.28 |
| Intermediary/Resistant | 242 | 46.72 |
| Polymyxin              |     |       |
| Susceptible            | -   | -     |
| Resistant              | 518 | 100   |
| Folate Inhibitor       |     |       |
| Susceptible            | -   | -     |
| Resistant              | 518 | 100   |
| Tetracycline           |     |       |
| Susceptible            | 129 | 24.90 |
| Intermediary/Resistant | 389 | 75.10 |

| AMR: Antimicrobial resistance, E. coli enterohemorrhagic (EHEC), E. coli enteropathogenic      |
|--|
| (EPEC), E. coli enterotoxigenic (ETEC), E. coli hybrid, E. coli necrotoxigênic (NTEC). E. coli |
| Shiga toxin producer (STEC) and E. coli enteroaggregative (EAEC)                               |

| Variable         | Year  | Diarrhea | Sex   | AMR   | EHEC  | EPEC  | ETEC  | Hybrid | NTEC  | STEC  | EAEC  | Aminoglycosides | Penicillin | Cephems | Fluoroquinolones | Phenicol | Polymyxin | Folate<br>Inhibitor | Tetracycline |
|------------------|-------|----------|-------|-------|-------|-------|-------|--------|-------|-------|-------|-----------------|------------|---------|------------------|----------|-----------|---------------------|--------------|
| Year             | 1     |          |       |       |       |       |       |        |       |       |       |                 |            |         |                  |          |           |                     |              |
| Diarrhea         | 0     | 1        |       |       |       |       |       |        |       |       |       |                 |            |         |                  |          |           |                     |              |
| Sex              | 0     | 0.235    | 1     |       |       |       |       |        |       |       |       |                 |            |         |                  |          |           |                     |              |
| AMR              | 0     | 0.017    | 0     | 1     |       |       |       |        |       |       |       |                 |            |         |                  |          |           |                     |              |
| EHEC             | 0     | 0.446    | 0.022 | 0.011 | 1     |       |       |        |       |       |       |                 |            |         |                  |          |           |                     |              |
| EPEC             | 0.273 | 0.825    | 0.621 | 0.508 | 0     | 1     |       |        |       |       |       |                 |            |         |                  |          |           |                     |              |
| ETEC             | 0     | 0.074    | 0.242 | 0.366 | 0     | 0.155 | 1     |        |       |       |       |                 |            |         |                  |          |           |                     |              |
| Hybrid           | 0     | 0.271    | 0     | 0.047 | 0.016 | 1     | 0.628 | 1      |       |       |       |                 |            |         |                  |          |           |                     |              |
| NTEC             | 0     | 0.564    | 0.627 | 0.013 | 0     | 0.154 | 0.061 | 0.623  | 1     |       |       |                 |            |         |                  |          |           |                     |              |
| STEC             | 0     | 0.001    | 0.042 | 0.275 | 0     | 0     | 0     | 0.009  | 0     | 1     |       |                 |            |         |                  |          |           |                     |              |
| East             | 0     | 0.214    | 0.002 | 0.972 | 0     | 0     | 0     | 0.016  | 0     | 0     | 1     |                 |            |         |                  |          |           |                     |              |
| Aminoglycosides  | 0     | 0.047    | 0.002 | 0     | 0.186 | 1     | 0.664 | 0.049  | 0.055 | 0.244 | 0.463 | 1               |            |         |                  |          |           |                     |              |
| Penicillin       | 0     | 0.001    | 0.005 | 0     | 0.034 | 0.573 | 0.044 | 0.014  | 0.002 | 0.046 | 0.056 | 0               | 1          |         |                  |          |           |                     |              |
| Cephems          | 0.029 | 0.003    | 0.538 | 0     | 0.913 | 0.377 | 0.991 | 0.199  | 0.19  | 0.004 | 0.015 | 0.058           | 0          | 1       |                  |          |           |                     |              |
| Fluoroquinolones | 0     | 0.721    | 0.005 | 0     | 0.567 | 0.199 | 0.884 | 0.167  | 0.283 | 0.171 | 0.012 | 0.01            | 0.003      | 0.018   | 1                |          |           |                     |              |
| Phenicol         | 0.067 | 0.446    | 0.711 | 0     | 0.092 | 0.834 | 0.21  | 1      | 0.207 | 0.092 | 0.037 | 0.001           | 0          | 0       | 0                | 1        |           |                     |              |
| Polymyxin        | -     | -        | -     | -     | -     | -     | -     | -      | -     | -     | -     | -               | -          | -       | -                | -        | 1         |                     |              |
| Folate Inhibitor | -     | -        | -     | -     | -     | -     | -     | -      | -     | -     | -     | -               | -          | -       | -                | -        | -         | 1                   |              |
| Tetracycline     | 0     | 0        | 0.004 | 0     | 0.15  | 0.731 | 0.405 | 0.018  | 0.042 | 0.287 | 0.131 | 0               | 0          | 0       | 0.006            | 0        | -         | -                   | 1            |

**Supplementary Table S3.** P-values resulting from  $X^2$  and/or Exact Fisher tests verifying the independence among the independent variables in the dataset of AMR in *Escherichia coli* strains isolated from calves and buffalos, from 2008 to 2013, in Minas Gerais, Brazil.

AMR: Multiresistence, *E. coli* enterohemorrhagic (EHEC), *E. coli* enteropathogenic (EPEC), *E. coli* enterotoxigenic (ETEC), *E. coli* hybrid, *E. coli* necrotoxigênic (NTEC). *E. coli* Shiga toxin producer (STEC) and *E. coli* enteroaggregative (EAEC)

## **General conclusion**

In conclusion, high rates of antimicrobial resistance among virulent *E. coli* from intestinal tract of calves and buffalo calves were observed, in both chapters of the present thesis. These findings point to a non-negligible risk to public and animal health and to the need to build better strategies for monitoring bacterial infections caused by *E. coli* in animals.