

# ORIVALDO BENEDITO DA SILVA

# MORPHOPHYSIOLOGICAL CHARACTERISTICS OF SUNFLOWER GENOTYPES UNDER WATER DEFICIT

LAVRAS-MG 2023

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Tese Apresentada à Universidade Federal de Lavras, como parte das exigências do Programa de Pós-Graduação em Botânica Aplicada, área de concentração em Botânica Aplicada para a obtenção do título de Doutor.

Prof. Dr. Evaristo Mauro de Castro Orientador Prof. Dr. Marcio Paulo Pereira Co-Orientador

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Ficha catalográfica elaborada pelo Sistema de Geração de Ficha Catalográfica da Biblioteca Universitária da UFLA, com dados informados pelo(a) próprio(a) autor(a).

> Silva, Orivaldo Benedito da. Morphophysiologicalcharacteristics of sunflower genotypes under water deficit / Orivaldo Benedito da Silva. - 2023. 68 p.

Orientador(a): Evaristo Mauro de Castro. Coorientador(a): Marcio Paulo Pereira. Tese (doutorado) - Universidade Federal de Lavras, 2023. Bibliografia.

1. *Helianthus annuus* L. 2. Anatomia foliar e radicular. 3. Sistema radicular. I. Castro, Evaristo Mauro de. II. Pereira, Marcio Paulo. III. Título.

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# MORPHOPHYSIOLOGICAL CHARACTERISTICS OF SUNFLOWER GENOTYPES UNDER WATER DEFICIT

# CARACTERÍSTICAS MORFOFISIOLÓGICAS DE GENÓTIPOS DE GIRASSOL SOB DÉFICIT HÍDRICO

Tese Apresentada à Universidade Federal de Lavras, como parte das exigências do Programa de Pós-Graduação em Botânica Aplicada, área de concentração em Botânica Aplicada para a obtenção do título de Doutor.

APROVADA em 15 setembro de 2023. Profa. Dra. Marinês Ferreira Pires Lira, UFLA Profa. Dra. Vanessa Cristina Stein, UFLA Prof. Dr. Paulo Eduardo Ribeiro Marchiori, UFLA Profa. Dra. Maria do Carmo Vieira, UFGD

> Prof. Dr. Evaristo Mauro de Castro Orientador Prof. Dr. Marcio Paulo Pereira Co-Orientador

> > LAVRAS-MG 2023

Aos meus pais, Antonio Manoel Benedito dos Santos e Maria Eremita da Silva Meus maiores exemplos de vida. Dedico

> In memoriam Ao Professor Dr. Arno Rieder Professor da Universidade do Estado de Mato Grosso – UNEMAT Profissional e amigo, importante na minha trajetória Estará sempre em nossos corações.

## AGRADECIMENTOS

A Deus, pelo dom da vida em toda a minha trajetória.

À minha família, por ser a minha base, apoiando, incentivando e participando de cada conquista.

Ao meu orientador, Professor Dr. Evaristo Mauro de Castro, pelas orientações, incentivos, amizade, confiança e contribuições. Sendo sempre uma inspiração como profissional.

Ao meu Coorientador, Professor Dr. Marcio Paulo Pereira, pelas Coorientações, contribuições e incentivos.

À técnica do Laboratório de Anatomia Vegetal, Letícia Fagundes Pereira, por todo suporte e amizade.

À Isabella Andrade Borges, pela dedicação e contribuição nas atividades laboratoriais da pesquisa e pala amizade construída.

Aos discentes, integrantes do grupo de pesquisa, "Modificações estruturais e fisiológicas de tolerância ao déficit hídrico em plantas cultivadas". Assim, agradecer diretamente aos

integrantes: Yohanna Vassura, Mateus Vilela Pires, Edilson Luiz Cândido, Isabela Aparecida Santin de Barros, Thomaz Piton Almeida, Bruno Henrique Feitosa e Giovanna Oliveira Silveira.

Aos amigos (as), construídos durante a trajetória do Doutorado na Botânica Aplicada: Mariana, Camila, Ana Luisa, Fernanda, Alana, Eduarda e Joabe.

A Universidade Federal de Lavras, pela oportunidade de realizar uma brilhante trajetória na Pós-Graduação.

Ao Programa de Pós-Graduação em Botânica Aplicada, UFLA, em nome das Professoras Dra. Marinês Ferreira Pires Lira e Dra. Vanessa Cristina Stein (Coordenadoras), ao chefe do Departamento de Biologia, Professor Dr. Thiago Alves Magalhães e ao NEBOT.

À Coordenação de Aperfeiçoamento de Pessoal de Nível Superior, CAPES, pela concessão da bolsa, Ao CNPq e FAPEMIG, pelos financiamentos dos projetos do grupo de pesquisa e manutenção do programa de Pós-Graduação em Botânica Aplicada, UFLA.

Aos pesquisadores da EMBRAPA, Dra. Luciana Marques de Carvalho e Dr. Claudio Guilherme Portela de Carvalho, pelas disponibilidades dos genótipos de girassol, parcerias e contribuições na pesquisa.

Aos Membros dos Laboratórios do Setor de Fisiologia Vegetal, UFLA, Professores Dr. Paulo Eduardo Ribeiro Marchiori, Dr. João Paulo Rodrigues Alves Delfino Barbosa e Doutoranda Alana Batista Cruz, pelas disponibilidades dos equipamentos e estruturas laboratoriais.

# Muito obrigado!

## RESUMO

O girassol (Helianthus annuus L., Asteraceae) é uma planta classificada como tolerante à seca. Entretanto, a sua produtividade é afetada em condições de déficit hídrico, dependendo do estágio reprodutivo e tempo de duração da limitação hídrica. Portanto, é fundamental a investigação de características que atribuem tolerância ou susceptibilidade do girassol ao déficit hídrico controlado, contribuindo com programas de melhoramento genético da cultura. Neste sentido, o objetivo da pesquisa foi avaliar um conjunto de características morfológicas, anatômicas, fisiológicas e bioquímicas em quatro genótipos de girassol sob déficit hídrico controlado. O experimento foi desenvolvido em esquema fatorial 2×4 (duas condições hídricas e quatro genótipos de girassol), distribuídas em seis repetições, sendo planta por vaso do tipo rizotron, totalizando 48 plantas. As condições hídricas foram: plantas bem irrigadas (capacidade de campo) e déficit hídrico (40% da capacidade de campo). Os genótipos de girassol testados foram: OLISUN03, AGUARÁ06, BRS323 e HÉLIO250. O déficit hídrico promoveu redução no desenvolvimento, bem como massas secas da parte aérea e raízes dos genótipos de girassol. Na condição de déficit hídrico, ocorreram mudanças na morfologia e densidade estomática, refletindo na redução da condutância estomática, transpiração e concentração intercelular de CO<sub>2</sub>. Nessas condições, ocorreram uma melhor eficiente no uso da água, eficiência instantânea de carboxilação e incremento de conteúdo de prolina foliar; aumento na área de espaços intercelulares do parênquima paliçádico e da câmara subestomática (AGUARÁ06 e BRS323) e na área do colênquima (OLISUN03, BRS323 e HELIO250) nas folhas. Em adição, os genótipos OLISUN03 e BRS323, sob déficit hídrico, possuem a arquitetura do sistema radicular estreita e profunda. Além disso, os genótipos OLISUN03 e AGUARÁ06, sob déficit hídrico, reduziram a espessura da endoderme e área do cilindro vascular, nas raízes. Os quatro genótipos de girassol possuem estratégias de absorção da água disponível no solo, evidenciadas nas características morfoanatômicas radiculares, modificações anatômicas foliares, contribuindo a eficiência fisiológica. Sendo assim, há um conjunto de características que atribuem tolerância ao déficit hídrico do girassol, contribuindo com programas de melhoramento genético da cultura.

**Palavras-chave:** *Helianthus annuus* L. Anatomia foliar. Anatomia radicular. Sistema radicular. Arquitetura radicular. Espaços intercelulares. Densidade estomática. Trocas gasosas.

## ABSTRACT

Sunflower (Helianthus annuus L., Asteraceae) is a plant classified as drought tolerant. However, its productivity is affected under water deficit conditions, depending on the reproductive stage and duration of water limitation. Therefore, it is essential to investigate characteristics that attribute sunflower tolerance or susceptibility to controlled water deficit, contributing to crop genetic improvement programs. This study evaluated morphological, anatomical, physiological, and biochemical characteristics in four sunflower genotypes under controlled water deficit. The experiment was developed in a 2×4 factorial scheme (two water conditions and four sunflower genotypes), distributed in six replicates, with one plant per rhizotron-type pot, totaling 48 plants. The water conditions were well-irrigated plants (field capacity) and water deficit (40% of field capacity). The sunflower genotypes tested were OLISUN03, AGUARÁ06, BRS323, and HÉLIO250. Water deficit reduced development and dry masses of the aerial part and roots of sunflower genotypes. Changes in stomatal morphology and density occurred in the water deficit condition, reflecting a reduction in stomatal conductance, transpiration, and intercellular CO<sub>2</sub> concentration. Under these conditions, there was better water use and instant carboxylation efficiency and an increase in leaf proline content, intercellular spaces of the palisade parenchyma and substomatal chamber (AGUARÁ06 and BRS323), and collenchyma area (OLISUN03, BRS323 and, HELIO250) in the leaves. The OLISUN03 and BRS323 genotypes also have a narrow and deep root system architecture under water deficit. Furthermore, under water deficit, the OLISUN03 and AGUARÁ06 genotypes reduced the endodermis's thickness and the area of the vascular cylinder in the roots. The four sunflower genotypes have strategies for absorbing water available in the soil, evidenced in root morphoanatomical characteristics and leaf anatomical modifications, contributing to physiological efficiency. Therefore, a set of characteristics attribute tolerance to sunflowers' water deficit, contributing to the crops' genetic improvement programs.

**Keywords:** *Helianthus annuus* L. leaf anatomy. Root anatomy. Root system. Root architecture. Intercellular spaces. Stomatal density. Gas exchange.

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## **PRIMEIRA PARTE**

## 1 INTRODUÇÃO GERAL

O girassol (*Helianthus annuus* L., Asteraceae) é uma cultura agrícola de importância na economia mundial, no qual dos frutos (aquênios) são extraídos óleo, utilizado no consumo humano, composição de cosméticos e indústria de biodiesel. Em adição, as sementes são utilizadas na composição de ração animal, alimentação de aves e a inflorescência, destacandose como ornamental e fonte de recursos para abelhas, sendo, alternativa para a produção apícola. A cultura é classificada como tolerante à seca, quanto ao seu desenvolvimento, por isso, é utilizada na sucessão ou rotação de culturas, na segunda safra (CARVALHO et al., 2015; DALCHIAVON et al., 2016). Entretanto, o déficit hídrico pode afetar principalmente a fase inicial e intermediária da floração, afetando a produtividade. Em contraste, são aceitáveis níveis limitados de irrigação durante a formação dos frutos e sementes, resultados da diminuição da fotossíntese, com senescência das folhas (KEIPP et al., 2020).

O déficit hídrico é um fator limitante no rendimento de culturas em regiões tropicais e subtropicais. O grau de severidade causado pelo déficit hídrico na planta depende do tempo de duração e estágio de desenvolvimento, tornando-se mais severo para a produção no período antes e durante a floração das plantas (ZIA et al., 2013). Sob déficit hídrico, ocorrem adaptações anatômicas, morfológicas e fisiológicas nas plantas. Nessas condições, abertura estomática, fotossíntese e funções metabólicas são limitadas, regulados por sinais físicos e químicos, restringindo o crescimento e produtividade da planta (XU; ZHOU; SHIMIZU, 2010). Considerando-se esses fatores, são necessários estudos que busquem identificar materiais biológicos tolerantes e susceptíveis ao déficit hídrico. Assim, possibilitando evidenciar um conjunto de características que podem contribuir com programas de melhoramento genético das plantas, dentre elas, o girassol.

Atualmente, são realizadas pesquisas com espécies de interesses agrícola, as quais possuem materiais biológicos com características morfoanatômicos e fisiológicas de tolerância e susceptibilidade ao déficit hídrico, refletindo na produtividade, como em genótipos de milho (*Zea mays* L., Poaceae) (PIRES et al., 2020). Em adição, contribuem com características de plasticidade fenotípica de tolerância ao déficit hídrico. Por isso, estudam-se também plantas estabelecidas como tolerantes ao déficit hídrico, evidenciando-se um conjunto de características anatômicas, atribuídas à eficiência fotossintética, como o caso das plantas de sorgo [*Sorghum bicolor* (L.) Moench], destacando como planta modelo (OLIVEIRA et al., 2021).

Nesse contexto, pesquisas com genótipos de girassol, avaliados em condições controladas de déficit hídrico e estes, amplamente cultivadas em regiões semiáridas do Brasil, contribuem para caracterização morfológicas, anatômicas, fisiológicas e bioquímicas de tolerância da cultura ao déficit hídrico. Contribuindo com programas de melhoramento genético, expansão da cultura e sobretudo, maiores produtividades. Neste sentido, o objetivo da pesquisa foi avaliar um conjunto de características morfológicos, anatômicos, fisiológicos e bioquímico de quatro genótipos de girassol sob déficit hídrico controlado.

# **2 REFERENCIAL TEÓRICO**

#### 2.1 Aspectos gerais da cultura do girassol

O girassol (*Helianthus annuus* L., Asteraceae) é uma planta herbácea, podendo alcançar até 4 m altura, dependendo da cultivar (FIGURA 1). Possui folhas simples e organizadas em filotaxia oposta, correspondente a fase vegetativa (V4 a V8) e posteriormente, filotaxia alterna, marcando a passagem da fase vegetativa para a fase reprodutiva. As folhas (FIGURA 1B) são pecioladas, cordiformes e com grandes quantidades de tricomas, principalmente na face abaxial (CASTRO; FARIAS, 2005).

O sistema radicular do girassol é pivotante com grande quantidade de raízes secundárias e podendo alcançar até dois metros de profundidade, dependendo das características físicas do solo (CASTRO; FARIAS, 2005). É uma característica que possibilita alcançar água e nutrientes na camada mais profunda do solo e ancoragem da planta (CASTRO; FARIAS, 2005). Em adição, as raízes secundárias possibilitam a sustentação lateral da planta e absorção de água e nutrientes da camada superficial do solo (CASTRO; FARIAS, 2005).

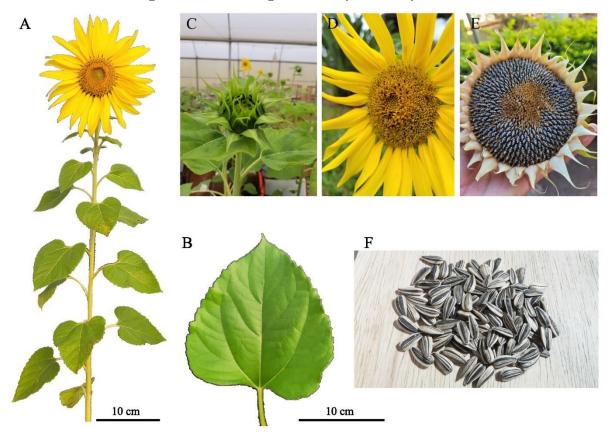


Figura 1- Plantas de girassol em período reprodutivo.

Legenda: Planta de girassol com capítulo (A), folha (B), fase R1 (C), fase R5 (D), fase R9 (E), aquênios (F). Fonte: Do autor (2021).

O girassol é uma oleaginosa, originária da América do Norte e juntamente com o dendê (*Elaeis guineensis* Jacq.), a soja [*Glycine max* (L.) Merr.] e a canola (*Brassica napus* L.) desempenham um importante papel na economia mundial (CASTRO; LEITE, 2018). É uma espécie, amplamente cultivada em diversos continentes, e introduzida no Sul do Brasil por colonos Europeus no final século XIX (CASTRO; LEITE, 2018). O ciclo vegetativo varia entre 90 e 130 dias, dependendo da cultivar, época de semeadura e das condições edafoclimáticas da região de cultivo (OLIVEIRA et al., 2017).

Por se tratar de uma oleaginosa com alta adaptabilidade climática, bons rendimentos de frutos e alta qualidade de óleo, a sua produção tem crescido nos últimos anos em diversos países. No último levantamento mundial, o girassol alcançou uma produção de 58,1 milhões de toneladas (FAOSTAT, 2021). No Brasil, Segundo a Companhia Nacional de Abastecimento (CONAB, 2023) a produção brasileira de girassol em 2023, obteve uma estimativa de 85,2 mil toneladas, alcançando 56,1 mil hectares de área plantada e uma produtividade de 1.520 kg/ha.

A região Centro-Oeste é detentora da maior produção da oleaginosa do Brasil, sendo o Estado de Mato Grosso responsável por maior produção brasileira.

O girassol é utilizado na sucessão ou rotação de culturas, na segunda safra (CARVALHO et al., 2015; DALCHIAVON et al., 2016). Isso porque o girassol possui alta adaptabilidade edafoclimáticas, bem como tolerância a seca, ao frio e ao calor, quando comparado com outras oleaginosas cultivadas no Brasil (DALCHIAVON et a., 2016). A cultura de girassol é beneficiada pela polinização cruzada de abelhas (*Apis mellifera* L.), um eficiente polinizador de diversas culturas, proporcionando benefícios na reprodução e consequentemente na formação dos aquênios. Além disso, a polinização efetiva proporciona produtos secundários como produção de mel, tornando uma alternativa de renda para pequenos produtores (SILVA et al., 2010; TOLEDO et al., 2011; MARTIN; FARINA, 2016) e pode ser cultivado com outras culturas, como milho e feijão (CARVALHO et al., 2017; OLIVEIRA; MELO; SANTOS, 2017).

Entre as aplicações da matéria prima do girassol encontra-se óleo extraído dos frutos (aquênios). Possui alto teor de óleo, variando entre 38% e 50%, de alta qualidade (LACHANCE; GRANGE, 2014). Sendo assim, adequado para fins comestíveis por ser rico em ácido linoleico e alta fonte de proteína (RAI; MOHANTY; BHARGAVA, 2016). Segundo Khan et al. (2015) o óleo de girassol possui cerca de 69% de ácido linoleico, 20% de ácido oleico e 11% de ácidos graxos saturados. Tais valores são próximos ou superiores aos encontrados no óleo de soja [*Glycine max* (L.) Merr.], que possui 53,2% de ácido linoleico e 23,4% de ácido oleico (WANG, 2011). O óleo de girassol é aplicado na indústria de produção de biodiesel (GAMA; GIL; LACHTER, 2010; SAYDUT et al., 2016) e os resíduos resultantes desse processo, são usados na formulação de ração de aves, oferecendo uma nutrição rica em fibras e proteínas brutas; energia e minerais, proporcionando qualidade na carcaça de frango de corte e qualidades dos ovos (ALAGAWANY et al., 2015). Em adição, utilizado na formulação de ração de bovinos, podendo substituir farelo de soja sem prejuízos nos limites nutricionais dos animais (MESACASA et al., 2015).

## 2.2 Déficit hídrico no crescimento e desenvolvimento de plantas

Em plantas em condições de déficit hídrico, ocorrem modificações morfológicas, anatômicas, fisiológicas e moleculares. Nas folhas são observadas modificações no ângulo foliar, diminuição do número de folhas, área foliar, bem como fechamento de estômatos para limitar a perda de água e com consequente redução na aquisição de CO<sub>2</sub>, afetando diretamente

a fotossíntese (SCALON; MUSSURY, 2020). Nos estômatos são observadas modificações em tamanho, densidade e fechamento dos estômatos e estes, associados com mecanismo para evitar a perda de água para atmosfera pela transpiração foliar, sendo essas que definem a eficiências da condutância estomática (LAWSON; BLATT, 2014). Nos vasos condutores ocorrem alterações na relação xilema/floema, redução dos vasos do xilema; alteração na espessura das células do parênquima e aumento no tecido vascular e na espessura da parede celular (GONÇALVES et al., 2017).

Nas raízes, são observadas modificações com relação as barreiras apoplásticas, espessura das células corticais e cilindro vascular. Em adição, modificações na arquitetura do sistema radicular. Além disso, observa-se interação entre raiz/parte aérea para evitar a dessecação. Esse conjunto de modificações e interações permitem acessos à água com uso mínimo de carbono, contribuindo substancialmente ao desempenho da cultura, bem como aumento da produtividade, como observados em genótipos de sorgo e milho resistente à seca (HUND; RUTA; LIEDGENS, 2009).

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# **SEGUNDA PARTE – ARTIGOS**

# ARTIGO 1: LEAF MORPHOANATOMICAL AND PHYSIOLOGICAL CHARACTERISTICS OF SUNFLOWER GENOTYPES UNDER WATER DEFICIT

# ARTIGO A SER SUBMETIDO NA JOURNAL OF EXPERIMENTAL BOTANY

1	Leaf morphoanatomical and physiological characteristics of sunflower genotypes under
2	water deficit
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4	Morphoanatomical and physiological characteristics of sunflower
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21	Submission: 06 December 2023.
22	Number of tables: 3
23	Number of figures: 7
24	Number of words: 3,417
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## 36 Highlight

Leaf anatomical characteristics, such as an increase in the area of collenchyma, intercellular
spaces and substomatal chamber, contribute to efficient photosynthesis under water deficit.

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## 40 Abstract

Sunflower (Helianthus annuus L., Asteraceae) is a drought-tolerant crop whose yield is 41 42 compromised when there is water scarcity during the flowering period. The objective of the present study was to evaluate, under controlled conditions, the morphoanatomical and 43 physiological aspects of four sunflower genotypes grown under water deficit conditions. The 44 experiment was conducted using a completely randomized design in a 2×4 factorial scheme 45 46 (two water conditions and four genotypes), with six replicates of one plant per rhizotron pot, totalling 48 plants. The water conditions evaluated included plants under well-watered 47 48 conditions (field capacity) and plants under water deficit conditions (40% field capacity). The studied sunflower genotypes included OLISUN03, AGUARÁ06, BRS323, and HELIO250. 49 50 Water deficit induced developmental delay, reduced shoot and root dry mass, and changed stomatal morphology and density, which were reflected by reduced stomatal conductance, 51 52 transpiration, and internal CO<sub>2</sub> concentrations. Changes in the areas of intercellular spaces and substomatal chambers, increased collenchyma area and proline leaf content were also observed. 53 54 It was concluded that the four sunflower genotypes had reduced growth under water deficit, in 55 addition to morphoanatomical changes.

Keywords: Collenchyma, Gas exchange, *Helianthus annus*, intercellular spaces, leaf
morphoanatomical characteristic, physiological characteristics, substomatal chambers,
sunflower, water deficit.

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#### 70 Introduction

Sunflower (Helianthus annuus L., Asteraceae) is one of the four most important oilseeds in the 71 72 world (FAO, 2022). Oil extracted from the achenes is present in high proportions (38% to 50%) and is used for human consumption, the pharmaceutical industry (Castro and Leite 2018), and 73 the biodiesel industry (Abubakar et al., 2020; Khattak et al., 2021). In addition, sunflower is 74 75 among the ingredients used in silage and grain mixtures for bird feed (Castro and Leite 2018). 76 It is a crop that has greater drought tolerance than most oilseed crops grown in Brazil (Carvalho 77 et al., 2015; Ibrahim et al., 2016; Vilvert et al., 2018) and may be a good alternative for crop 78 succession.

79 As a secondary crop, sunflower has been cultivated after corn and has become an 80 alternative crop when the probability of productivity losses of other species is high due to water 81 deficit. Its good water stress and heat tolerance has also enabled it to expand into the semiarid 82 Northeast region which is characterized by low rainfall amounts, irregular rainfall distribution, and high temperatures and radiation (Lacerda et al., 2015; Mutti et al., 2019). Despite 83 84 sunflower's tolerance to water stress, water deficit occurring at the beginning of flowering and achene filling can considerably decrease achene production and oil content (Hussain et al., 85 86 2018). The development of cultivars in breeding programs with higher achene and oil yields under water deficit conditions is essential for establishing crops in production systems facing a 87 88 water deficit.

89 Several agricultural crops exposed to water deficit have developed morphoanatomical 90 structures, such as the substomatic cavity (Oliveira et al., 2022), and mechanisms, such as the accumulation of osmocompatible solutes (such as proline) and osmotic adjustment, which 91 92 favour tolerance to water deficit (Barros et al., 2019). Other anatomical parameters affecting tolerance are stomatal density and index; cuticle, epidermis, and mesophyll thickness; and 93 adjustments in the water conduction systems, including the diameter of the xylem vessels 94 (Nazaré et al., 2012; Gonçalves et al., 2017; Pires et al., 2020). Therefore, there is a set of 95 96 characteristics involved in plant responses to water deficit that consequently provide varying 97 levels of tolerance.

98 Identifying the morphoanatomical and physiological characteristics present in 99 productive sunflower genotypes under water deficit conditions may contribute to the selection 100 of more adaptable genotypes in breeding programs. Thus, the objective of the present study was 101 to evaluate the leaf morphoanatomical and physiological characteristics of four sunflower 102 genotypes grown under water deficit conditions in a controlled environment.

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## 104 Materials and methods

#### 105 Plant materials, cultivation conditions, and experimental design

In this study, four commercial hybrid sunflower genotypes were obtained from different 106 107 breeding practices. They were evaluated in a greenhouse of the Botany sector of the Department of Biology, Federal University of Lavras, Lavras, MG, Brazil: AGUARÁ06 (Atlântica Seeds, 108 109 Curitiba, PR, Brazil), BRS323 (Brazilian Agricultural Research Corporation - Embrapa, 110 Brasília, DF, Brazil), HELIO250 (Heliagro Agricultura e Pecuária Ltda., Araguari, MG, Brazil), and OLISUN03 (Advanta Comércio de Sementes Ltda., Campinas, SP, Brazil). The 111 four genotypes presented, in previous studies, high achene productivity in the semiarid 112 conditions of northeastern Brazil (Carvalho et al., 2018; Souza et al., 2019; Carvalho et al., 113 2020). The sunflower plants were grown in a germination chamber at 25 °C with 12 h light and 114 12 h dark from seeds germinated on filter paper. When the rootlets of the seedlings were 115 116 approximately 2 cm long (total time of four days), they were transferred to rhizotron pots (size: 42.5×29.5×3.5 cm) filled with 2.8 L of washed sand and the commercial substrate Tropstrato 117 (Vida Verde<sup>®</sup>, Brazil) at a ratio of 1:1. The properties of the substrate were as follows: electrical 118 conductivity: 1.5 mS cm<sup>-1</sup>; dry basis density: 190 kg m<sup>-3</sup>; wet basis density: 500 kg m<sup>-3</sup>; 119 moisture: 60% of the total substrate weight. The chemical attributes were as follows: pH CaCl<sub>2</sub>: 120 5.75; P: 65.70 mg dm<sup>-3</sup>; K: 1.60 cmol<sub>c</sub> dm<sup>-3</sup>; Ca: 23.80 cmol<sub>c</sub> dm<sup>-3</sup>; Mg: 12.40 cmol<sub>c</sub> dm<sup>-3</sup>; Al: 121 0.0 cmol<sub>c</sub> dm<sup>-3</sup>; H + Al: 4.20 cmol<sub>c</sub> dm<sup>-3</sup>; sum of bases: 39.80 cmol<sub>c</sub> dm<sup>-3</sup>; cation exchange 122 capacity:  $42.10 \text{ cmol}_{c} \text{ dm}^{-3}$ ; and base saturation (V%): 64.80. 123

124 The experiment was conducted in a 2×4 factorial scheme (two water conditions and four sunflower genotypes) using a completely randomized design, with six replicates and one plant 125 126 per pot in the experimental unit, for a total of 48 plants. The water conditions evaluated included well-irrigated plants (WW), which correspond to field capacity, and plants under water deficit 127 128 (WD). In the latter case, the field capacity progressively decreased from 15 to 30 days after transplanting (DAT) up to 40%, and was maintained at this point until 51 DAT, i.e., the 129 130 beginning of the reproductive stage, which allowed the morphological, anatomical, and physiological effects of water deficit to be measured for the period that covered the beginning 131 of flowering and achene filling. 132

133 Compost moisture was monitored using two resistive moisture sensors, one for each 134 treatment, installed on the upper edge of the rhizotron pots and repositioned between the plants 135 every 24 h, keeping all plants in the same conditions of water availability throughout the 136 experiment. The resistive humidity sensors were connected to the voltage comparator module 137 (LM393) and microcontroller (Arduino Mega 2560), programmed for each field capacity,

according to the resistance of the compound. In addition, the irrigation system consisted of an 138 irrigation pump for each treatment, distribution hoses and two dripping stakes (15 cm), 139 positioned at the top of each rhizotron pot, and the system was automatically activated. 140 Irrigation of all plants was performed with Hoagland and Arnon (1950) nutrient solution at 40% 141 ionic strength. The plants were kept in a greenhouse at a controlled temperature of  $26 \pm 2$  °C, 142 relative humidity ranging between 50% and 70%, average maximum photosynthetic photon 143 flux density of 652  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> (measured in the plant canopy) and a photoperiod of 12 h light 144 145 and 12 h dark.

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147 Analysis of plant growth

From 15 to 51 DAT, plant height and stem diameter were measured every two days between the surface of the substrate and the highest point of vegetative growth and subsequently reproductive growth with the aid of a ruler. The stem diameter was measured 3 cm from the substrate with a digital calliper.

At 51 DAT, the plants were harvested, and the leaves were scanned on an A3 Scanner (1200S, Mustek, China). The leaf areas of all leaves were determined by image analysis with ImageJ software. Subsequently, the leaves, stems, and roots were placed in a forced-air oven at 60 °C until reaching constant dry mass, which was determined on an analytical balance (AY220, Shimadzu, São Paulo, Brazil). With these data, the specific leaf area (SLA), leaf area ratio (LAR), leaf mass ratio (LMR) and root/shoot ratio (RSR) were calculated (Equations 1, 2, 3 and 4).

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$$SLA = \frac{La}{Dlm}$$
(1)

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$$LAR = \frac{La}{Tdm}$$
(2)

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$$LMR = \frac{Ldm}{Tdm}$$
(3)

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$$RSR = \frac{Rdm}{Apdm}$$
(4)

Were LA is leaf area; DLM is dry leaf mass; TDM is total dry mass; LDM is leaf dry mass;RDM is root dry mass; APDM is aerial part dry mass.

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## 170 *Leaf water potential (\Psi w)*

The leaf water potential was determined using a portable Scholander pressure pump (Model 1.000; PSM Instrument Company, Corvallis, Oregon, USA) with  $N_2$  gas; the pressure necessary to bring sap to the cut in the midrib was applied, and the water potential was recorded. The evaluations were performed at 49 DAT, using the fourth and fifth leaves, fully expanded, from the top of the stem, between 4:00 h and 5:30 h, when the leaf water potential was maximum, and between 11:00 h and 12:30 h, i.e., when leaf water potential was minimum, as previously described.

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# 179 Analysis of gas exchange

At 50 DAT, gas exchange was evaluated with an infrared gas analyser (IRGA) model LI-180 6400XT (Li-COR Biosciences, Lincoln, Nebraska, USA) equipped with a 6 cm<sup>2</sup> chamber and 181 a red/blue LED light source (LI6400-02B, LI-COR, Lincoln, Nebraska, USA). The readings 182 were performed between 8:00 am and 11:00 am on the third fully expanded leaf. The 183 photosynthetic photon flux density (PPFD) was standardized at 1000  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> in an 184 equipment cuvette. In addition, the mixer function used carbon dioxide capsules to provide 400 185 186 ppm of  $CO_2$  during the analyses. During the measurements, the leaf temperature was maintained at 28.5 °C. The net assimilation rate ( $A_N$ ), stomatal conductance to water vapour ( $g_{sw}$ ), 187 transpiration rate (E), and CO<sub>2</sub> concentration at the substomatal cavity (Ci) were evaluated. The 188 189 internal and external carbon (Ci/Ca) ratio, instantaneous water-use efficiency ( $A_N/E$ ), and 190 carboxylation efficiency  $(A_N/Ci)$  were calculated.

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## 192 *Determination of proline*

193 At 49 DAT, the proline content was determined according to the methodology described by 194 Bates et al. (1973). For the extraction of proline from the samples, fully expanded leaves, i.e., 195 the fourth and fifth leaves from the top of the stem, were collected and used to evaluate the leaf 196 water potential. The leaves were dried at 60 °C, ground in a mill, weighed to 200 mg, and transferred to test tubes, and 3% sulfosalicylic acid was added. Proline was quantified upon 197 198 reaction with ninhydrin (ninhydrin, glacial acetic acid, and phosphoric acid) and incubated at 100 °C for 60 min in a water bath. The absorbance reading was measured in a 199 spectrophotometer at 520 nm, and the values were expressed in  $\mu$ mol g<sup>-1</sup> of dry mass. 200

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## 204 Leaf anatomical analyses

At the end of the experiment, at 51 DAT, the third most apical leaf was collected and fully 205 expanded for the study of leaf anatomy. The leaf samples were fixed in 70% FAA solution 206 (formaldehyde, glacial acetic acid, and 70% ethanol, 1:1:18) for 72 h and transferred to 70% 207 ethanol solution for analysis (Johansen, 1940). Samples for cross-sections, obtained in the 208 209 median region of the leaf, were dehydrated in increasing concentrations of ethanol (70, 80, 90, 210 and 100%) at intervals of 2 h at room temperature, infiltrated for 24 h in historesin (Leica Microsystems, Heidelberg, Germany), cross-sectioned (7 µm thickness) with a semiautomatic 211 212 rotary microtome, stained with 0.05% toluidine blue (w/v) (Feder & O'Brien, 1968), and mounted on permanent slides with Entellan (Merck, Darmstadt, Germany). 213

In addition, free-form paradermal sections from the adaxial and abaxial surfaces were obtained using a steel blade, clarified with sodium hypochlorite (50%), washed in distilled water, stained with 1% safranin, and mounted on semipermanent slides with 50% glycerol (Johansen, 1940). The slides were photographed with a camera coupled to a microscope (Eclipse E100-LED; Nikon, Tokyo, Japan). The quantitative anatomical data were obtained using ImageJ software.

In the midrib region of the leaf cross-sections, the areas occupied by the midrib, collenchyma and vascular bundles were estimated, and the diameter of the xylem vessels was determined. The areas of collenchyma (CO) and vascular bundles (VB) were estimated following equations 5 and 6.

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 $CO = \frac{Ac}{Tam} x \ 100 \tag{5}$ 

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Were AC is area of the collenchyma; TAM is total area of the midrib; AVB is area of thevascular bundles.

 $VB = \frac{Avb}{Tam} x \ 100$ 

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In the internerval region of the leaf, the thickness of the adaxial and abaxial epidermis, mesophyll, palisade parenchyma, and spongy parenchyma (= lacunose) and the distance between the vascular bundles were quantified. In addition, the percentages of area occupied by the substomatal chamber and intercellular space (ASC and IS) in the palisade parenchyma and spongy parenchyma were determined (Equation 7).

ASC and IS = 
$$\frac{Sca + Isa}{Tap} x \ 100$$
 (7)

(6)

Were SCA is substomatal chamber area; ISA is intercellular space area; TAP is total area of thepalisade parenchyma or spongy parenchyma.

In the paradermal sections, the density of stomata (DS) and stomatal index (SI) was determined (Equations 8 and 9). The size of the stomata was quantified from measurements of the polar and equatorial diameters of the stomata and the area of the stomatal pore.

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$$DS = \frac{Ne \times 10^6}{Sa}$$

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$$SI = \frac{Ne}{Ne + Nce} \times 100$$
<sup>(9)</sup>

Were NE is number of stomata; SA is section area (103,867.38  $\mu$ m<sup>2</sup>); NCE is number of regular epidermal cells.

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## 247 Statistical analysis

The data were tested for normality using the Shapiro–Wilk test. The means were subjected to analysis of variance (ANOVA), followed by the Scott–Knott test. The data obtained over time (stem height and diameter) were subjected to regression analysis, all at 5% significance. All analyses were performed using the software Sisvar 5.0 (Ferreira, 2011).

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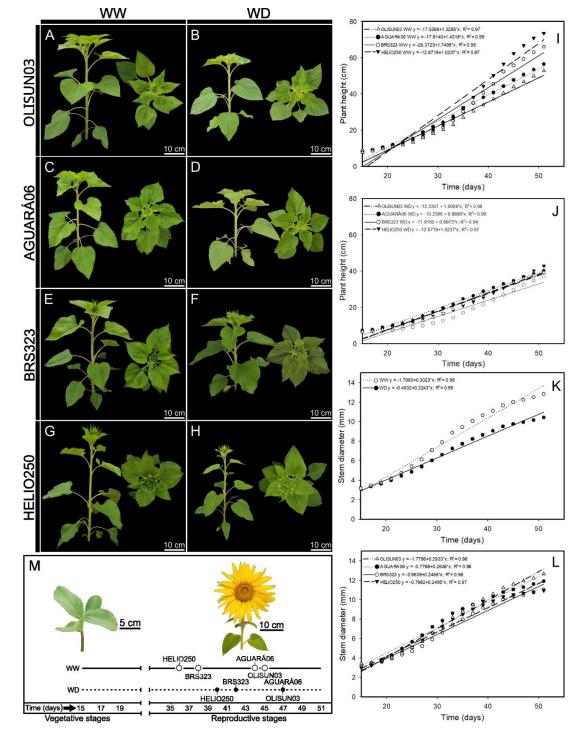
## 253 **Results**

### 254 Plant growth

255 The plant heights of the four sunflower genotypes, evaluated between the onset of water stress 256 (15 DAT) and the end of the experiment (51 DAT), showed increases under both water conditions (Fig. 1I and J), with the increments being significantly higher in the irrigated plants. 257 258 Under WW conditions, the highest plant height was observed in HELIO250 (69.97 cm) and 259 BRS323 (62.87 cm), and the lowest was observed in OLISUN03 (50.28 cm; Fig. 1I). Under WD conditions, the highest plant height was observed in AGUARÁ06 (40.25 cm), while the 260 lowest plant height was observed in BRS323 (33.85 cm; Fig. 1J). Regarding stem diameter, 261 262 there was no significant interaction between the water condition factors and genotypes. The largest stem diameter (13.71 mm) occurred in the WW condition, while the smallest stem 263 diameter (10.99 mm) was observed under the WD condition (Fig. 1K). Regarding the sunflower 264 genotypes, the largest stem diameter (13.18 mm) occurred in OLISUN03, while the smallest 265 stem diameter (11.61 mm) occurred in BRS323 (Fig. 1L). Initial flowering, that is, when the 266 first ligulated flowers (stage R4) appeared, and full flowering (stage R5; Fig. 1M) occurred first 267 in plants under the WW condition, particularly in the HELIO250 genotypes (at 36 DAT), 268

(8)

- followed by BRS323, AGUARÁ06, and OLISUN03 (at 38, 44 and 45 DAT, respectively). A
- 270 delay in the reproductive stage was observed under WD conditions, which was greater for the
- 271 BRS323 and HELIO250 genotypes than for the other genotypes (Fig. 1M).



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**Fig. 1.** Growth characteristics of four sunflower genotypes grown in rhizotron pots under wellwatered (WW) and water deficit plant conditions (WD). (A-H) Morphological aspects of sunflower genotypes in well-watered condition (WW) and water deficit (WD), (I) Height of plants in well-watered condition (WW), (J) Height of plants in water deficit (WD), (K) Stem

diameter in conditions of well-irrigated (WW) plants and water deficit (WD), (L) plant stem
diameter, independent of water conditions, and (M) reproductive stages of four sunflower
genotypes grown in pots under well-watered and water deficit plant conditions. Symbols
represent the mean values. Asterisks indicate the significance (Scott–Knott test, *P*-value <0.05).</li>

282 Regarding biomass accumulation, there was a significant interaction between water 283 conditions and sunflower genotypes for total dry mass, root dry mass, leaf dry mass, and total 284 leaf area. For the four genotypes, there was a significant reduction in leaf area and leaf biomass accumulation due to WD (Table 1). In AGUARÁ06, BRS323, and OLISUN03, there was also 285 a reduction in root dry weight and total plant dry weight. However, under WD conditions, no 286 difference was observed between the genotypes regarding the accumulation of dry mass in 287 leaves and roots and the total shoot and leaf area. Regarding the dry mass accumulated in the 288 289 stems, there was no significant interaction between water conditions and sunflower genotypes 290 (data not shown).

291 For the four estimated growth indices, a significant interaction was observed between 292 water conditions and sunflower genotypes (Table 2). The specific leaf area (SLA) and root/shoot ratio (RSR) of the four genotypes were reduced under WD conditions, whereas the 293 294 leaf area ratio (LAR) and mean leaf ratio (MRL) increased. Under WD conditions, the genotypes did not differ significantly in terms of any of the four indices. In contrast, for plants 295 296 under the WW condition (maintained at field capacity), there was a difference between the genotypes. Under this condition, higher SLA, LAR, and leaf mass were observed in HELIO250; 297 the BRS323 genotype, in turn, stood out for a higher root/shoot (RSR) ratio in the WW plants 298 299 than in the WD plants.

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**Table 1.** Plant growth characteristics of four sunflower genotypes grown in rhizotron pots under well-watered (WW) and water deficit (WD) plant

## 309 conditions.

	Dry mass								
	LDM (g plant <sup>-1</sup> )		RDM (g plant <sup>-1</sup> )		TDM (g plant <sup>-1</sup> )		TLA (cm <sup>2</sup> plant <sup>-1</sup> )		
Genotypes	WW	WD	WW	WD	WW	WD	WW	WD	
OLISUN03	$6.63\pm0.33~Ba$	$3.83\pm0.70\;Ab$	$61.03 \pm 12.51$ Ba	$20.50\pm7.21~Ab$	$76.93 \pm 14.68 \text{ Ba}$	$30.88\pm8.21~Ab$	1329.60 ± 122.94 Ba	676,72 ± 109.66 Ab	
AGUARÁ06	$7.88\pm0.32\;Aa$	$3.69\pm0.47~Ab$	$105.08\pm9.12~Aa$	$18.98\pm4.42~Ab$	$124.86\pm9.66~Aa$	$30.07\pm4.88~Ab$	$1687.54 \pm 185.93$ Aa	$630.98 \pm 132.40 \ Ab$	
BRS323	$5.36\pm0.89\ Ca$	3.51±0.49 Ab	$66.00\pm22.59~Ba$	$14.63\pm4.63~Ab$	$81.06\pm23.45~Ba$	$23.56\pm5.28~Ab$	$1283.61 \pm 67.93$ Ba	$654.51 \pm 78.05 \; Ab$	
HELIO250	$4.94\pm0.54\ Ca$	3.89±0.59 Ab	$28.50\pm7.89~\mathrm{Ca}$	16.84 ± 3.30 Aa	42.43 ± 7.80 Ca	$27.18\pm4.50~Aa$	1213.99 ± 42.94 Ba	$676.72 \pm 251.72$ Ab	

LDM, leaf dry mass; RDM, root dry mass; TDM, total shoot; LA, total leaf area. Data are means  $\pm$  SD. The means followed by the same lowercase letter in the rows (comparing humidity conditions) and uppercase letters in the columns did (comparing genotypes) not differ according to the Scott–Knott test (*P*<0.05).

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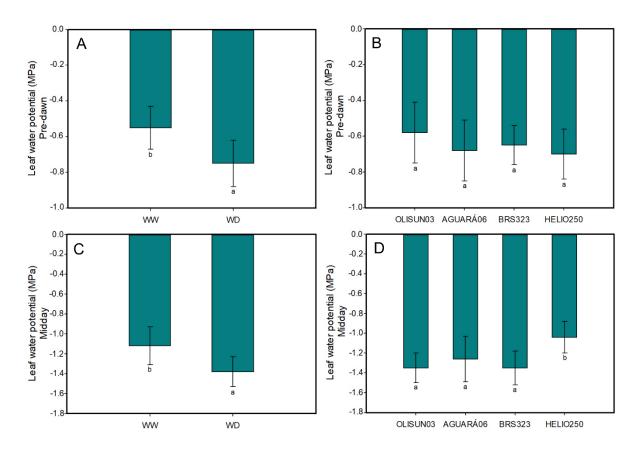
**Table 2.** Physiological growth indexes of four sunflower genotypes grown in rhizotron pots under well-watered (WW) and water deficit (WD) plant conditions.

Physiological growth indexes								
SLA (cm <sup>2</sup> g <sup>-1</sup> )			LAR (cm <sup>2</sup> g <sup>-1</sup> )		$LMR (g g^{-1})$		$RSR (g g^{-1})$	
Genotypes	WW	WD	WW	WD	WW	WD	WW	WD
OLISUN03	199.87 ± 8.32 Ca	$176.91\pm7.24~Ab$	$17.92\pm2.72~Bb$	$22.84 \pm 2.76$ Aa	$0.09\pm0.01~Bb$	$0.13\pm0.01~Aa$	$3.79\pm0.40~\text{Ca}$	$1.93\pm0.49~Ab$
AGUARÁ06	$223.97\pm9.46\ Ba$	$182.61\pm5.80\ Ab$	$14.56\pm1.64\ Bb$	$22.87\pm2.43~Aa$	$0.06\pm0.01\ Cb$	$0.12\pm0.01~Aa$	$5.32\pm0.55~Ba$	$1.70\pm0.34~Ab$
BRS323	$222.36 \pm 17.00$ Ba	$179.66\pm5.22~Ab$	$13.03\pm2.12~Bb$	26.16 ± 3.17 Aa	$0.06\pm0.01\ Cb$	$0.15\pm0.02\;Aa$	$6.29\pm1.08~\mathrm{Aa}$	$1.77\pm0.59\;Ab$
HELIO250	$248.80 \pm 11.26$ Aa	$178.62 \pm 15.51 \text{ Ab}$	$27.08\pm2.52~Ab$	$19.58\pm2.88~Aa$	$0.13\pm0.02~Aa$	$0.13\pm0.01~Aa$	$2.08\pm0.73~\text{Da}$	$1.63 \pm 0.21$ Aa

SLA, specific leaf area; LAR, leaf area ratio; LMR, leaf mass ratio; RSR, root/shoot ratio. Data are means  $\pm$  SD. The means followed by the same lowercase letter in the rows (comparing humidity conditions) and uppercase letters in the columns did (comparing genotypes) not differ according to the Scott–Knott test

**315** (*P*<0.05).

There was no significant interaction between the factors water condition and genotype, but interactions occurred within each factor. At 49 DAT, between dawn and noon on the same day, there was a reduction in the water potential of the plants (Fig. 2). Regarding the water conditions, WD induced a reduction in water potential in both periods evaluated, i.e., dawn and noon (Fig. 2A and C). Water potential at dawn did not differ among sunflower genotypes (Fig. 2B). At noon, however, the OLISUN03, AGUARÁ06, and BRS323 genotypes showed a reduction in water potential, while HELIO250 showed no change in water potential (Fig. 2D).



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Fig. 2. Leaf water potential of four sunflower genotypes grown in rhizotron pots under wellwatered (WW) and water deficit (WD) plant conditions. (A and B) Leaf water potential recorded at dawn and (C and D) close to noon. Histograms represent the mean value  $\pm$  SD. Means followed by the same letters in water conditions and genotypes did not differ by the Scott–Knott test (*P*<0.05).

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## 331 Physiological characteristics

Regarding gas exchange, there was no significant interaction between the factors water condition and genotype, and only the individual effects of each occurred (Table 3).

- **Table 3.** Means of gas exchange of four sunflower genotypes grown in rhizotron pots under well-watered (WW) and water deficit (WD) plant
- 336 conditions.

Water condition	$A_{ m N}$	$g_{ m sw}$	Ε	Ci	Ci/Ca	$A_{\rm N}/E$	A <sub>N</sub> /Ci	Proline
	(µmol CO <sub>2</sub> m <sup>-2</sup> s <sup>-1</sup> )	(mol H <sub>2</sub> O m <sup>-2</sup> s <sup>-1</sup> )	(mmol H <sub>2</sub> O m <sup>-2</sup> s <sup>-1</sup> )	(mmol m <sup>-2</sup> s <sup>-1</sup> )		(µmolCO <sub>2</sub> mmol H <sub>2</sub> O <sup>-1</sup> )	(µmol m <sup>-2</sup> s <sup>-1</sup> Pa <sup>-1</sup> )	(µmol g-1 DW)
WW	19.80 ± 2.19 a	$0.69 \pm 0.03$ a	$9.00 \pm 0.51$ a	307.16 ± 7.83 a	$0.77 \pm 0.02 \text{ a}$	$2.20\pm0.30~\text{b}$	$0.065 \pm 0.009 \text{ b}$	$1.98\pm0.18~b$
WD	$21.14 \pm 2.82$ a	$0.59\pm0.09~b$	$7.63 \pm 0.74$ b	$290.07 \pm 16.87$ b	$0.72\pm0.04~b$	$2.83 \pm 0.45$ a	$0.073 \pm 0.012$ a	$4.77 \pm 0.33$ a
Genotypes								
OLISUN03	22.07 ± 1.97 a	$0.61 \pm 0.08$ a	$8.16 \pm 0.80$ a	289.31 ± 12.46 a	$0.72 \pm 0.03$ a	2.76 ± 0.41 a	$0.077 \pm 0.010$ a	3.71 ± 0.40 a
AGUARÁ06	19.57 ± 2.23 a	$0.64 \pm 0.11$ a	8.21 ± 1.23 a	296.59 ± 18.17 a	$0.74 \pm 0.04$ a	$2.54 \pm 0.56$ a	$0.065 \pm 0.008$ a	$4.00 \pm 0.37$ a
BRS323	$20.00 \pm 2.74$ a	$0.67 \pm 0.04$ a	$8.35 \pm 0.34$ a	$305.32 \pm 9.81$ a	$0.76 \pm 0.02$ a	$2.39 \pm 0.28$ a	$0.065 \pm 0.011$ a	$2.69\pm0.46~b$
HELIO250	$20.22 \pm 3.15$ a	$0.66 \pm 0.05$ a	$8.56 \pm 0.66$ a	303.24 ± 11.29 a	$0.76 \pm 0.02$ a	$2.38 \pm 0.38$ a	$0.068 \pm 0.014$ a	$3.10\pm0.32~b$

 $A_{\rm N}$ , net assimilation rate;  $g_{\rm sw}$ , stomatal conductance for water vapour; E, transpiration rate; Ci,  $CO_2$  concentration at the substomatal cavity; Ci/Ca, internal

carbon and external carbon ratio;  $A_N/E$ , instantaneous water-use efficiency;  $A_N/Ci$ , instantaneous carboxylation efficiency. Data are means  $\pm$  SD. Means followed

by the same letters in water conditions and genotypes did not differ by the Scott–Knott test (P < 0.05).

350 The net assimilation rate  $(A_N)$  was not altered as a function of water condition or genotype. However, there was a reduction in the stomatal conductance rate for water vapour 351  $(g_{sw})$ , transpiration rate (E), CO<sub>2</sub> concentration at the substomatal cavity (Ci), and internal and 352 external carbon (Ci/Ca) in plants under WD conditions. The instantaneous water-use efficiency 353  $(A_N/E)$  and carboxylation efficiency  $(A_N/Ci)$  were higher in plants under WD conditions than in 354 those under WW conditions. For the genotypes, no significant differences were found. The WD 355 condition induced an increase of 140.91% in proline accumulation in sunflower genotypes, with 356 higher levels observed in AGUARÁ06 and OLISUN03 than in the other genotypes. 357

358

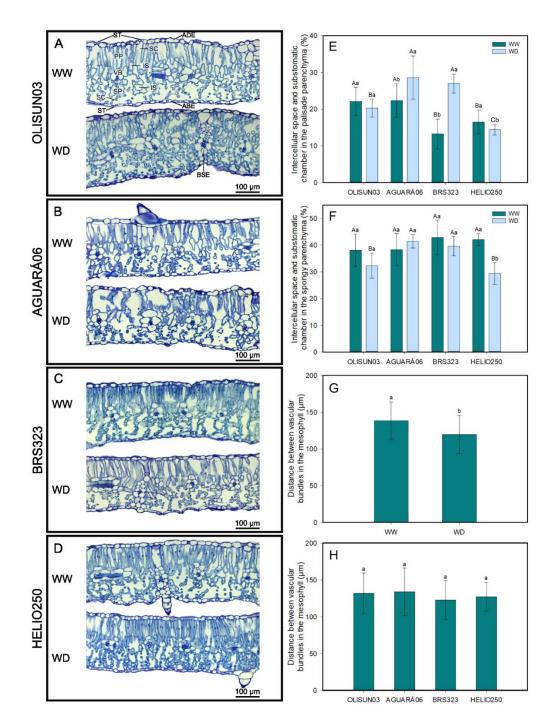
## 359 Anatomical characteristics of the leaf

No significant differences were observed in mesophyll thickness (Fig. 4C), palisade parenchyma thickness (Fig. 4D), spongy parenchyma thickness (Fig. 4E), or lower epidermis thickness (Fig. 5D). However, there was a change in the area of the intercellular spaces and substomatic chambers of these tissues (Fig. 3).

364 In the palisade parenchyma, WD induced an increase in the intercellular space and substomatal chamber areas in AGUARA06 and BRS323 (28.15 and 103.63%, respectively) 365 (Fig. 3E). In the spongy parenchyma, WD induced an increase in the intercellular space and 366 substomatal chamber areas in AGUARÁ06 (41.46%) and BRS323 (39.62%) and a reduction in 367 368 HELIO250 (43.15%; Fig. 3F). On the other hand, when the plants were maintained at field 369 capacity (WW condition), larger areas of the intercellular space and substomatal chamber of the palisade parenchyma under the WW condition were observed in AGUARÁ06 (22.31%) and 370 OLISUN03 (22.08%). Regarding the spongy parenchyma, the genotypes did not differ 371 regarding the area of the intercellular space and the substomatal chamber of the WW plants 372 (Fig. 3F). 373

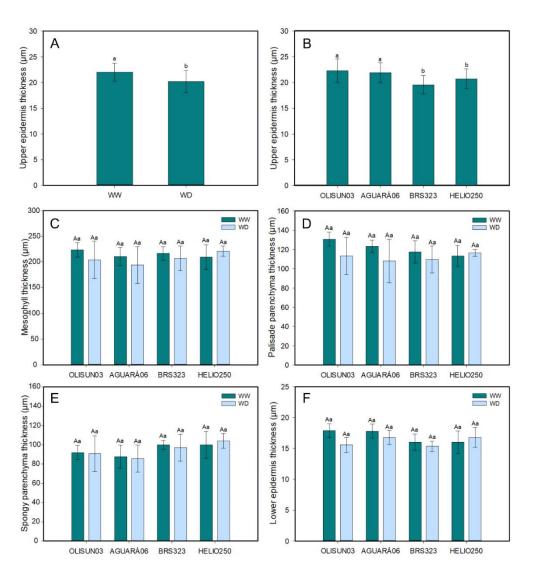
There was no significant interaction between the studied factors and the distance 374 between the vascular bundles in the mesophyll and the thickness of the upper epidermis. Under 375 376 WD conditions, a 13.74% reduction in the distance between the vascular bundles was observed (Fig. 4G). There were no significant differences in the distance between the vascular bundles 377 378 among the genotypes (Fig. 4H). The upper epidermis was thicker (22.04 µm) in the genotypes 379 under the WW condition than in those under the WD condition (20.20 µm) (Fig. 4A). Among the genotypes, OLISUN03 and AGUARÁ06 (22.31 and 21.88 µm, respectively) were thicker, 380 381 and HELIO250 and BRS323 (20.72 and 19.56 µm, respectively) were thinner (Fig. 4B).

382





384 Fig. 3. Transverse sections of the leaf blade and leaf anatomical characteristics of four sunflower genotypes grown in rhizotron pots under well-watered (WW) and water deficit (WD) 385 plant conditions. BSE, bundle sheath extension; ABE, abaxial epidermis; ADE, adaxial 386 epidermis; IS, intercellular space; PP, palisade parenchyma; SC, substomatal chamber; SP, 387 spongy parenchyma; ST, stomata; VB, vascular bundle. Histograms represent the mean value 388  $\pm$  SD. Means followed by equal letters, uppercase for genotypes and lowercase for water 389 390 conditions (interaction between factors); means followed by equal letters in water conditions and genotypes (isolated factors) do not differ by the Scott-Knott test (P<0.05). 391



393

**Fig. 4.** Leaf anatomical characteristics of four sunflower genotypes grown in rhizotron pots under well-watered (WW) and water deficit (WD) plant conditions. Histograms represent the mean value  $\pm$  SD. Means followed by equal letters in water conditions and genotypes (isolated factors) means followed by equal letters, uppercase for genotypes and lowercase for water conditions (interaction between factors) do not differ by the Scott–Knott test (*P*<0.05).

399

There was an interaction between the studied factors and the total area of the midrib and area of the collenchyma. Under WD conditions, the total area of the midrib was reduced in the sunflower genotypes (Fig. 5A). However, the greatest reductions in the midrib area in genotypes under the WD condition occurred in AGUARÁ06 (51.52%) and BRS323 (39.40%), which had the smallest areas (Fig. 5B). In three of the studied genotypes, there was an increase in the collenchyma area under WD conditions (Fig. 5C), and among the genotypes, HELIO250 had the greatest collenchyma area (25.01%). No differences were observed among the genotypes for the area of the midrib vascular bundles (Fig. 5D). For vessel diameter, a reduction of 11.72% was observed between the genotypes under the WD condition in comparison to those under the WW condition (Fig. 5E). Among the genotypes, BRS323 and AGUARÁ06 (51.91 and 49.65  $\mu$ m, respectively) had the largest vessel diameters, while OLISUN03 and HELIO250 (46.87 and 46.35  $\mu$ m, respectively) had the smallest vessel diameters (Fig. 5F).

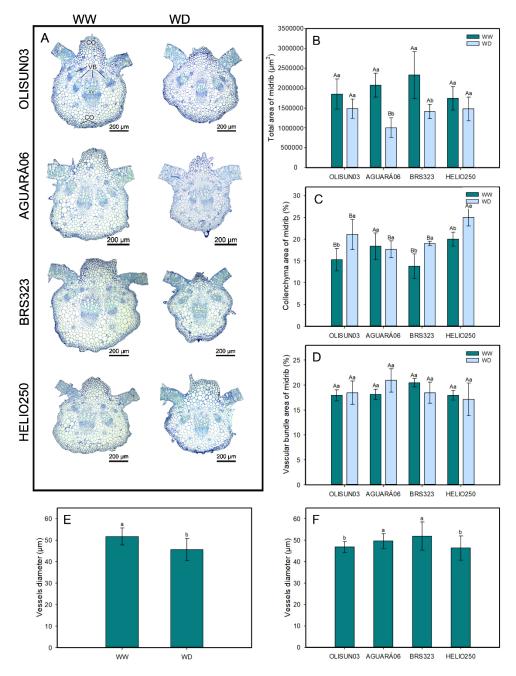




Fig. 5. Anatomical sections and leaf anatomical characteristics of the midrib of four sunflower genotypes grown in rhizotron pots under well-watered (WW) and water deficit (WD) plant conditions. CO, collenchyma; VB, vascular bundle; XV, xylem vessels. Histograms represent the mean value ± SD. Means followed by equal letters, uppercase for genotypes and lowercase

417 for water conditions (interaction between factors); means followed by equal letters in water 418 conditions and genotypes (isolated factors) do not differ by the Scott–Knott test (P<0.05).

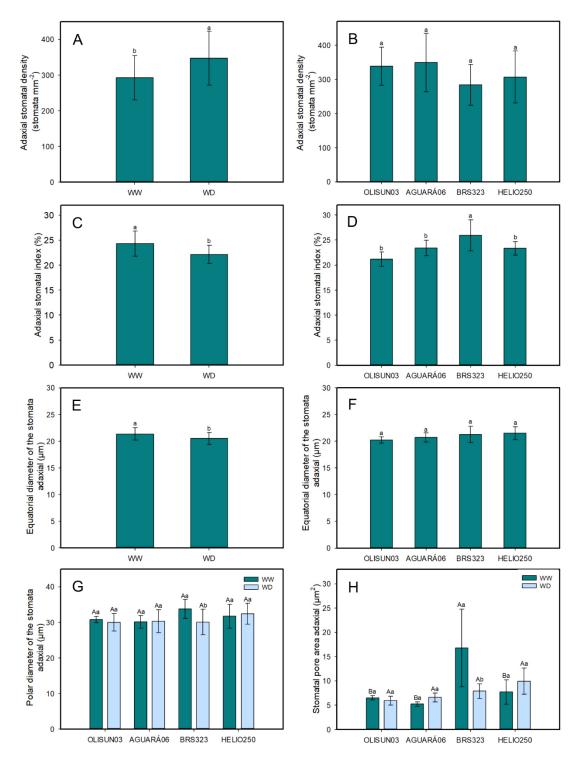
419

In the adaxial surfaces of the leaves, an increase of 18.70% in the stomatal density of 420 the genotypes was observed under the WD condition compared to that under the WW condition 421 422 (Fig. 6A). Among the genotypes, no differences were observed (Fig. 6B). In contrast, for genotypes under the WD condition, a reduction of 8.97% in the SI on the adaxial face was 423 observed (Fig. 6C). Among the genotypes, BRS323 had the highest SI (25.92%), while 424 AGAURÁ06, HELIO250 and OLISUN03 had SIs of 23.42, 23.33 and 21.17%, respectively 425 (Fig. 6D). There was also a significant reduction in the adaxial equatorial diameter of 3.98% 426 427 among the genotypes under the WD condition compared to the genotypes under the WW condition (Fig. 6E). There were no differences in the equatorial diameter among the genotypes 428 429 (Fig. 6F). In addition, no differences in polar diameter were observed between water conditions or between genotypes (Fig. 6G). 430

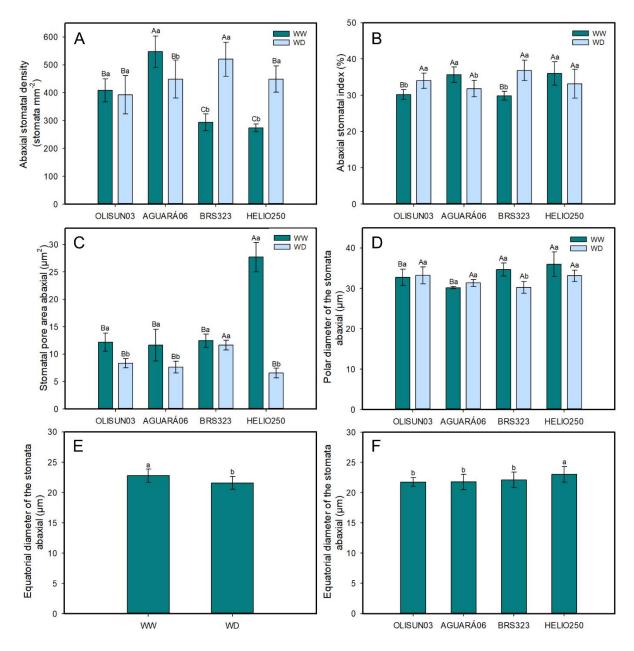
There was an interaction between water conditions and genotypes in the opening of the adaxial stomatal pore. Adaxial stomatal pore opening only occurred in genotypes under WD conditions, with no difference among the genotypes. The same trend was observed for genotypes under the WW condition, except for BRS323, which obtained a higher adaxial stomatal pore opening compared to genotypes under the same condition and an increase of 112.55% in relation to the BRS323 genotype under the WD condition (Fig. 6H).

The abaxial anatomical characteristics (except for equatorial diameter) showed 437 interactions between water conditions and genotypes. Compared to the WW condition, under 438 the WD condition, an increase in stomatal density was observed in the BRS323 and HELIO250 439 genotypes (77.17 and 64.15%, respectively) (Fig. 7A). Under WD conditions, the highest 440 stomatal density (520 stomata mm<sup>-2</sup>) occurred in BRS323, while under WW conditions, the 441 highest stomatal density (546 stomata mm<sup>-2</sup>) occurred in AGUARÁ06 (Fig. 7A). SI was not 442 statistically different among genotypes under the WD condition, however, under the WW 443 condition, SI increased by 23.47 and 12.69% in BRS323 and OLISUN03, respectively (Fig. 444 445 7B). The area of the abaxial stomatal pore opening in OLISUN03, AGUARÁ06, and 446 HELIO250 was smaller under WD conditions. Between the two conditions, the greatest reduction in the abaxial stomatal pore area (76.40%) occurred in HELIO250 (Fig. 7C). There 447 448 was a significant reduction (12.76 and 8.00%) in the polar diameter of the abaxial stomata in HELIO250 and BRS323, respectively, under the WW condition and the WD condition (Fig. 449

- 450 7D). Under WW conditions, the largest polar diameters (35.99 and 34.65  $\mu m)$  occurred in
- 451 HELIO250 and BRS323, respectively, differing from those of OLISUN03 and AGUARÁ06
- 452 (32.73 and 30.18 μm, respectively). No differences were observed among the genotypes under
- 453 the WD condition (Fig. 7D). In comparison to the WW condition, the WD condition promoted
- 454 a significant reduction of 5.31% in the equatorial diameter of the abaxial stomata (Fig. 7E).
- 455 Among the genotypes, HELIO250 had the largest equatorial diameter of abaxial stomata (23.04
- 456  $\mu$ m), differing from those of BRS323, AGUARÁ06, and OLISUN03 (22.13, 21.77 and 21.75,
- 457 respectively) (Fig. 7F).



**Fig. 6.** Characteristics of stomata of the adaxial face of four sunflower genotypes grown in rhizotron pots under well-watered (WW) and water deficit (WD) plant conditions. Histograms represent the mean value  $\pm$  SD. Means followed by equal letters in water conditions and genotypes (isolated factors) means followed by equal letters, uppercase for genotypes and lowercase for water conditions (interaction between factors) do not differ by the Scott–Knott test (*P*<0.05).



**Fig. 7.** Characteristics of stomata of the abaxial face of four sunflower genotypes grown in rhizotron pots under well-watered (WW) and water deficit (WD) plant conditions. Means followed by equal letters, uppercase for genotypes and lowercase for water conditions (interaction between factors); means followed by equal letters in water conditions and genotypes (isolated factors) do not differ by the Scott–Knott test (P<0.05).

#### 477 Discussion

This study monitored a set of morphological, anatomical and physiological characteristics in 478 sunflower genotypes under controlled water deficit conditions. Responses to water deficit vary, 479 enabling tolerance in sunflowers. The four commercial sunflower plant genotypes evaluated, 480 when grown in pots at 40% field capacity, i.e., under severe water restriction, showed delayed 481 reproductive development, as indicated by the later emergence of flowers. A greater delay was 482 observed in BRS323 and HELIO250 than in the other genotypes. Growth in terms of height, 483 although it lasted longer, particularly in these genotypes, was reduced under WD conditions in 484 485 all genotypes.

Except the HELIO250 genotype, changes in growth due to WD also included changes in SLA, LMR, and root/shoot ratio. According to these indices, HELIO250 plants also stood out because they did not show a reduction in root dry mass accumulation in response to water deficit. However, the genotypes did not differ in terms of biomass accumulation in the roots, leaves or leaf area. This explains the absence of a significant difference between the genotypes regarding gas exchange, particularly the rate of photosynthetic CO<sub>2</sub> assimilation.

For all four genotypes, WD conditions induced a reduction in leaf area and leaf biomass 492 493 accumulation, although the rates of  $A_{\rm N}$  and quantum yield were not significantly modified. It is suggested that this scenario developed from the morphoanatomical and physiological changes 494 observed in these plants. In plants under WD conditions, reductions in stomatal conductance, 495 496 leaf transpiration rates and leaf carbon concentration were observed in this study, similar to those observed in other crops subjected to WD conditions (Pires et al., 2020; Langner et al., 497 2021; Becker et al., 2021), contributing to changes in the mechanism of internal input and 498 diffusion of CO<sub>2</sub> and, consequently, in the  $A_N/E$  (Bertolino *et al.*, 2019). 499

In sunflower, stomata are present on both sides of the epidermis. The changes in the 500 CO<sub>2</sub> input mechanisms involved a reduction in the number and density of stomata in the adaxial 501 epidermis, a change in morphology (flattening), and a reduction in the pore area. All these 502 503 modifications have been cited as drought tolerance strategies (Ozkur *et al.*, 2009). In this study, an increase of more than 100% in leaf proline levels was also determined, similar to the results 504 505 of Carvalho et al. (2018) for the same northeastern semiarid genotypes and those of Barros et 506 al. (2019) in a controlled environment. The increase in proline accumulation in response to WD suggests osmotic adjustment, a mechanism that allows plants to decrease water potential and 507 508 thus favour water absorption and increased turgor (Rauf, 2008).

509 The rate of  $A_N$  was not altered by water conditions. However, the stomatal conductance and transpiration rates were altered under WD conditions. It has been suggested that the ability 510 of plants to adjust photosynthesis in response to water deficit may be correlated with the leaf 511 anatomy of the sunflower genotypes, involving mechanisms of internal input and diffusion of 512  $CO_2$ , such as the density index, as well as space for the diffusion of  $CO_2$  in tissues, such as 513 intercellular spaces and substomatal chambers of the mesophyll. Sunflower plants have stomata 514 on both sides of the leaves, and under water deficit conditions, the stomatal density and SI data, 515 in addition to the polar and equatorial diameters and opening of the stomatal pore, were 516 adjusted, suggesting that they contributed to the net assimilation rate (Figs. 7 and 8). Greater 517 stomatal closure and changes in stomatal morphology are mechanisms (or strategies) to prevent 518 519 the impact of drought (Ozkur et al., 2009). These changes favour the optimization of CO<sub>2</sub> absorption for photosynthesis and minimize water loss, which is related to  $A_N/E$  (Bertolino et 520 al., 2019). These changes were reflected in the reduction in  $g_{sw}$ , E, Ci and the Ci/Ca ratio for 521 genotypes under WD conditions. 522

523 Under WD conditions, the HELIO250 genotype stood out from the other genotypes because there was no reduction in dry mass accumulation in the roots, SLA, leaf mass ratio or 524 525 root/shoot ratio. Although the number of stomatal openings in the adaxial epidermis did not reduce in response to WD, this genotype saw a decrease in the area of its stomatal pore, which 526 527 minimizes water loss by transpiration. In addition, near the adaxial epidermis, there was no 528 change in the area of the intercellular spaces and the substomatic chamber of the palisade parenchyma or reductions in the total area of the main vein, which are characteristics that 529 support the CO<sub>2</sub> diffusion necessary to maintain photosynthetic activity and biomass 530 accumulation. In comparison to the other genotypes, this genotype also had a higher minimum 531 leaf water potential, regardless of water conditions. 532

On the other hand, under WD conditions, the genotypes OLISUN03, BRS323, and 533 HELIO250 invested in increasing the area of the collenchyma (Fig. 5C), a dynamic tissue that 534 plays a role in increasing structural support and maintaining water balance (Dos Anjos et al., 535 2015). Under this condition, the genotypes AGUARÁ06 and BRS323 showed an increase in 536 537 the area of intercellular space and the substomatal chamber in the palisade parenchyma. This 538 change favours the internal storage of CO<sub>2</sub> and greater efficiency in the diffusion of CO<sub>2</sub> and water use, partially compensating for losses due to stomatal restrictions and consequently 539 540 contributing to the efficiency of photosynthesis. According to Oliveira et al. (2022), in plants 541 with drought tolerance, the relationship between increased intercellular spaces and lower water content is important to modulate the movement of  $CO_2$  and water vapour within the leaf. In the present study, this was observed and was reflected in the increase in  $A_N/E$  for photosynthetic CO<sub>2</sub> assimilation and in the instant carboxylation efficiency of Rubisco, which were higher under the WD condition than under the WW condition (Table 3).

Another adjustment, observed in the OLISUN03 and HELIO250 genotypes, which is 546 notable is the reduction in the diameter of the xylem vessels and the distance between the 547 vascular bundles in the main vein of the leaves. This scenario favours the maintenance of the 548 water balance and makes it possible to reduce damage to the leaf water conduction systems, as 549 well as improve water distribution along the entire leaf extension. In addition, the sunflower 550 genotypes differed regarding the accumulation of proline in the leaves in response to WD, and 551 proline accumulation was higher in the OLISUN03 and AGUARÁ06 genotypes than in the 552 other genotypes (Table 3). 553

In this study, it was verified that in sunflower cultivars, some characteristics favoured 554 greater tolerance to water deficit. Among these, it is worth highlighting the increase in the area 555 556 of the intercellular space and the substomatic chamber of the palisade parenchyma (greater in AGUARÁ06 and BRS323), the area of the intercellular space and the substomatic chamber of 557 the spongy parenchyma (greater in HELIO250) and the area of the collenchyma in the midrib 558 (larger in OLISUN03, BRS323 and HELIO250). The increase in air spaces, as already 559 560 discussed, favoured the efficiency of photosynthesis, which was reflected in the development 561 of the sunflower. In addition, it is necessary to emphasize the characteristics related to the stomata, which showed the importance of the stomatal mechanism for sunflower cultivars in 562 the face of water restrictions: increase in stomatal density (higher in BRS323 and HELIO250), 563 in the stomatal index (higher in OLISUN03 and BRS323) and in the polar diameter of the 564 stomata (larger in BRS323) on the abaxial surface, reduction of the stomatal pore opening on 565 the abaxial surface (larger on OLISUN03, AGUARÁ06 and HELIO250), and reduction of the 566 stomatal pore opening on the adaxial surface (larger on BRS323). The study developed under 567 568 controlled conditions of water deficit allowed us to identify attributes related to the drought tolerance of sunflower cultivars for use as morphophysiological descriptors. These leaf 569 570 anatomical characteristics can contribute to the selection of new sunflower genotypes for the 571 Sunflower Genetic Improvement Program for cultivation in regions with water restrictions.

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### 575 Conclusions

Water deficit affected the development of sunflower genotypes, which was observed in growth characteristics and mass accumulation. We found that anatomical characteristics, such as the intercellular space area and substomatal chamber, as well as changes in stomatal density and morphology, xylem diameter, and proline content, modulated and allowed efficient gas exchange for all genotypes.

581

### 582 Acknowledgements

The authors thank CAPES (Coordenação de Aperfeiçoamento de Pessoal de Nível Superior 583 [Coordination for the Improvement of Higher Level Personnel]), CNPq (Conselho Nacional de 584 585 Desenvolvimento Científico e Tecnológico [National Counsel of Technological and Scientific Development]), EMBRAPA Soja and EMBRAPA Tabuleiros Costeiros (Empresa Brasileira de 586 587 Pesquisa Agropecuária [Brazilian Agricultural Research Corporation]) and members of the Laboratory of the Federal University of Lavras (Fisiologia de Plantas Cultivadas [Physiology 588 589 of Cultivated Plants]), (Ecofisiologia Vegetal e Funcionamento de Ecossistemas [Plant Ecophysiology and Ecosystem Functioning]). 590

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# 592 Author Contributions

EMC, MPP: Conceptualization; EMC, CGPC and LMC: Resources; EMC, OBS and MPP:
Methodology; OBS, MPP, IAB and ELC: Investigation. All authors contributed to writing and
review the manuscript.

596

### 597 **Conflict of Interest**

- 598 No conflict of interest declared.
- 599

### 600 Data Availability

601 Data are available upon request to the corresponding author.

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- 604

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**Table 1.** Plant growth characteristics of four sunflower genotypes grown in rhizotron pots under well-watered (WW) and water deficit (WD) plant conditions. LDM, leaf dry mass; RDM, root dry mass; TDM, total shoot; LA, total leaf area. Data are means  $\pm$  SD. The means followed by the same lowercase letter in the rows (comparing humidity conditions) and uppercase letters in the columns did (comparing genotypes) not differ according to the Scott–Knott test (*P*<0.05).

**Table 2.** Physiological growth indexes of four sunflower genotypes grown in rhizotron pots under well-watered (WW) and water deficit (WD) plant conditions. SLA, specific leaf area; LAR, leaf area ratio; LMR, leaf mass ratio; RSR, root/shoot ratio. Data are means  $\pm$  SD. The means followed by the same lowercase letter in the rows (comparing humidity conditions) and uppercase letters in the columns did (comparing genotypes) not differ according to the Scott–Knott test (*P*<0.05).

**Table 3.** Means of gas exchange of four sunflower genotypes grown in rhizotron pots under well-watered (WW) and water deficit (WD) plant conditions. *A*N, net assimilation rate;  $g_{sw}$ , stomatal conductance for water vapour; *E*, transpiration rate; *C*i, CO<sub>2</sub> concentration at the substomatal cavity; *C*i/*C*a, internal carbon and external carbon ratio; *A*N/*E*, instantaneous water-use efficiency; *A*N/*C*i, instantaneous carboxylation efficiency. Data are means  $\pm$  SD. Means followed by the same letters in water conditions and genotypes did not differ by the Scott–Knott test (*P*<0.05).

**Fig. 1.** Growth characteristics of four sunflower genotypes grown in rhizotron pots under wellwatered (WW) and water deficit plant conditions (WD). (A-H) Morphological aspects of sunflower genotypes in well-watered condition (WW) and water deficit (WD), (I) Height of plants in well-watered condition (WW), (J) Height of plants in water deficit (WD), (K) Stem diameter in conditions of well-irrigated (WW) plants and water deficit (WD), (L) plant stem diameter, independent of water conditions, and (M) reproductive stages of four sunflower genotypes grown in pots under well-watered and water deficit plant conditions. Symbols represent the mean values. Asterisks indicate the significance (Scott–Knott test, *P*-value <0.05).

**Fig. 2.** Leaf water potential of four sunflower genotypes grown in rhizotron pots under wellwatered (WW) and water deficit (WD) plant conditions. (A and B) Leaf water potential recorded at dawn and (C and D) close to noon. Histograms represent the mean value  $\pm$  SD. Means followed by the same letters in water conditions and genotypes did not differ by the Scott–Knott test (*P*<0.05).

**Fig. 3.** Transverse sections of the leaf blade and leaf anatomical characteristics of four sunflower genotypes grown in rhizotron pots under well-watered (WW) and water deficit (WD) plant conditions. BSE, bundle sheath extension; ABE, abaxial epidermis; ADE, adaxial epidermis; IS, intercellular space; PP, palisade parenchyma; SC, substomatal chamber; SP, spongy parenchyma; ST, stomata; VB, vascular bundle. Histograms represent the mean value  $\pm$  SD. Means followed by equal letters, uppercase for genotypes and lowercase for water conditions (interaction between factors); means followed by equal letters in water conditions and genotypes (isolated factors) do not differ by the Scott–Knott test (*P*<0.05).

**Fig. 4.** Leaf anatomical characteristics of four sunflower genotypes grown in rhizotron pots under well-watered (WW) and water deficit (WD) plant conditions. Histograms represent the mean value  $\pm$  SD. Means followed by equal letters in water conditions and genotypes (isolated factors) means followed by equal letters, uppercase for genotypes and lowercase for water conditions (interaction between factors) do not differ by the Scott–Knott test (*P*<0.05).

**Fig. 5.** Anatomical sections and leaf anatomical characteristics of the midrib of four sunflower genotypes grown in rhizotron pots under well-watered (WW) and water deficit (WD) plant conditions. CO, collenchyma; VB, vascular bundle; XV, xylem vessels. Histograms represent the mean value  $\pm$  SD. Means followed by equal letters, uppercase for genotypes and lowercase for water conditions (interaction between factors); means followed by equal letters in water conditions and genotypes (isolated factors) do not differ by the Scott–Knott test (P<0.05).

**Fig. 6.** Characteristics of stomata of the adaxial face of four sunflower genotypes grown in rhizotron pots under well-watered (WW) and water deficit (WD) plant conditions. Histograms represent the mean value  $\pm$  SD. Means followed by equal letters in water conditions and genotypes (isolated factors) means followed by equal letters, uppercase for genotypes and lowercase for water conditions (interaction between factors) do not differ by the Scott–Knott test (P<0.05).

**Fig. 7.** Characteristics of stomata of the abaxial face of four sunflower genotypes grown in rhizotron pots under well-watered (WW) and water deficit (WD) plant conditions. Means followed by equal letters, uppercase for genotypes and lowercase for water conditions (interaction between factors); means followed by equal letters in water conditions and genotypes (isolated factors) do not differ by the Scott–Knott test (P<0.05).

# ARTIGO 2: ROOT SYSTEM MORPHOANATOMY OF SUNFLOWER GENOTYPES UNDER WATER DEFICIT

# ARTIGO A SER SUBMETIDO NA PLANT BIOLOGY

### 1 Root system morphoanatomy of sunflower genotypes under water deficit

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### 13 ABSTRACT

• Sunflower is classified as a drought tolerant crop. However, its productivity is affected under water deficit when it reaches the flowering period. Information about the morphoanatomical characteristics of the root system and trichome densities in leaves, tested under controlled water deficit conditions, can contribute to sunflower breeding programs.

The objective of this study was to identify a set of root morphoanatomical characteristics
and trichome density on leaves of four sunflower genotypes subjected to controlled water
deficit. We tested four commercial sunflower genotypes (OLISUN03, AGUARÁ06,
HELIO250 and BRS323) under well-irrigated (field capacity) and water restriction (40% of
field capacity) conditions.

• Under water deficit, the genotypes OLISUN03 and BRS323 have a narrow and deep root system architecture (RSA), contributing to the survival of the plants under limited soil water conditions. In this condition, tissue differentiation occurred first near the root apex. Under water deficit, the genotypes OLISUN03 and AGUARÁ06 had reduced endoderm thickness and
vascular cylinder area.

The four sunflower genotypes tested have water absorption strategies and
morphoanatomical modifications. Characterization of this set of traits contributes to sunflower
breeding programs.

Keywords: *Helianthus annuus* L.; root development; root system architecture; anatomical
changes.

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### 34 Introduction

Sunflower (Helianthus annuus L., Asteraceae) is an oilseed crop with a high oil content 35 (40 to 60%) and protein content (17 to 20%) and with global potential for the production of 36 37 edible oil and animal feed (Hussain et al., 2018). It is grown in regions with water restriction or supplemental irrigation (Hussain et al., 2018) and under drought conditions, especially relative 38 to other crops such as maize and wheat (Ibrahim et al., 2016). However, water deficit in the 39 40 vegetative, flowering and/or achene filling phase promotes significant reductions in yield and oil percentage (Ibrahim et al., 2016). Therefore, identifying root characteristics that may 41 42 contribute to drought tolerance and ensure yield is essential for crop breeding programs.

The root system comprises a set of characteristics associated with several functions involved in plant development. Among them are anchorage and water and nutrient uptake from soil (Li et al., 2021). Root system architecture (RSA) includes root positioning, length, angle, branching, surface area, coverage and diameter (Karlova et al., 2021). These are adaptive traits for capturing soil resources, such as water and nutrients, thus contributing to plant breeding programs (Alahmad et al., 2019; Zhan et al., 2019; Li et al., 2021).

Anatomical changes involved in the radial transport of water, such as apoplastic and
symplastic pathways, occur in the root system (Díaz et al., 2018). The main changes are in the

cortical parenchyma cells and apoplastic barriers, epidermis and sometimes exodermis and 51 52 endodermis (Klein et al., 2020). Other changes occur in the vascular cylinder, which is the tissue responsible for transporting water to the aerial part of the plant (Klein et al., 2020). In 53 this case, the main changes occur in the xylem vessels, which are modulated according to water 54 availability, either in the diameter and number of vessels or in the thickness of the cell wall 55 (Klein et al., 2020). This set of modifications promotes better water absorption and efficiency, 56 enhancing the productivity of crops such as soybean (Prince et al., 2017) and the reduction in 57 xylem vessels, which prevents xylem embolisms, as evidenced in woody plants (Levionnois et 58 al., 2021). 59

The characteristics of RSA include the spatial distribution of the root system and 60 61 anatomical characteristics involved in water absorption and transport. This study highlights a set of characteristics of the root system that may contribute to sunflower breeding programs. 62 Therefore, the hypotheses are as follows: 1) sunflower genotypes have a narrow root system 63 that absorbs water in deep soil layers; 2) sunflowers under water deficit conditions promote root 64 tissue differentiation near the root apex compared to those with adequate irrigation; and 3) in 65 sunflower plants of different genotypes under water stress, there is an increase in apoplastic 66 barriers and a reduction in the vascular cylinder and xylem diameters. The objective of the 67 68 present study was to evaluate the root morphoanatomical characteristics of four sunflower 69 genotypes subjected to controlled water deficit.

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#### 76 Material and Methods

### 77 Location and growth conditions

The experiment was conducted in a greenhouse located in the Botany Sector of the 78 Department of Biology (DBI) of the Federal University of Lavras (UFLA), Lavras, MG, Brazil. 79 The environmental temperature was maintained at  $26 \pm 2$  °C, the relative humidity was between 80 50% and 70%, and the average photosynthetic photon flux density was 652  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>, as 81 measured in the plant canopy. A photoperiod of 12 hours in the light and 12 hours in the dark 82 was used. The plants were kept in rhizotron pots (size: 42.5×29.5×3.5 cm) containing a 83 transparent glass plate inclined at 43° towards the horizontal plane, promoting root development 84 85 next to the plate and consequently facilitating the evaluation of the root system. The rhizotron vessels were filled with 2.8 L of compost, containing washed sand and the commercial substrate 86 Tropstrato (vida verde<sup>®</sup>, Brazil) at a 1:1 ratio. The substrate had the following properties: pH 87 CaCl<sub>2</sub> : 5.75; P: 65.70 mg dm<sup>-3</sup>; K: 1.60 cmolc dm<sup>-3</sup>; Ca: 23.80 cmolc dm<sup>-3</sup>; Mg: 12.40 cmolc 88 dm<sup>-3</sup>; Al: 0.0 cmolc dm<sup>-3</sup>; H+Al: 4.20 cmolc dm<sup>-3</sup>; sum of bases: 39.80 cmolc dm<sup>-3</sup>; cation 89 exchange capacity: 42.10 cmolc dm<sup>-3</sup>; base saturation (V%): 64.80; electrical conductivity: 1.5 90 mS cm<sup>-1</sup>; density on a dry basis: 190 kg m<sup>-3</sup>; density on a wet basis: 500 kg m<sup>-3</sup>; moisture: 60% 91 of the total weight of the substrate. 92

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### 94 Plants and experimental design

Four sunflower genotypes were tested, including three commercial hybrids from
different breeding programs: OLISUN03 (Advanta Comércio de Sementes Ltda., Campinas,
SP, Brazil), AGUARÁ06 (Atlântica Sementes, Curitiba, PR, Brazil), HELIO250 (Heliagro
Agricultura e Pecuária Ltda., Araguari, MG, Brazil) and BRS323, a hybrid developed by
Embrapa (Empresa Brasileira de Pesquisa Agropecuária, Brasília, DF, Brazil). Sunflower
plants were obtained from seeds germinated on Germitest<sup>®</sup> paper in a germination chamber at

101 25 °C under 12 h of light provided by lamps, reaching a photosynthetically active photon flux 102 density of 96  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>. The plants were transferred to the pot rhizotrons when the rootlets 103 reached approximately 2 cm in length.

The experiment was conducted with a 2×4 factorial design (two water conditions and 104 four sunflower genotypes), and a completely randomized design with six replicates was applied, 105 with one plant per rhizotron pot, totalling 48 plants. The water conditions evaluated included 106 well-irrigated plants (WW), corresponding to field capacity, and plants under water deficit 107 (WD), in which the field capacity was progressively decreased from 15 to 30 days after 108 transplanting (DAT), up to 40% and maintained at that point until 51 DAT, i.e., the beginning 109 110 of the reproductive stage. At this stage, it is possible to determine architectural and anatomical 111 parameters of the root system involved in the effects of water deficit in the period that includes the beginning of flowering and the filling of the achenes. 112

All plants were irrigated with Hoagland and Arnon (1950) nutrient solution at 40% ionic 113 strength. The moisture content of the compost in the rhizotron vessels was monitored with soil 114 resistive moisture sensors connected to the voltage comparator module (LM393) and regulated 115 with a microcontroller (Arduino Mega2560). The irrigation system for each water condition 116 117 was automatically activated when the moisture content of the compost reached the field 118 capacity, which was determined for each water regime. In addition, the system consisted of an irrigation pump, distribution hoses and two drip pipes (length 15 cm) positioned at the upper 119 edge of each rhizotron vessel. 120

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#### 122 Moisture content and root angle

At 51 DAT, the vessels were scanned using an A3 scanner (1200S, Mustek, China), and all analyses were performed using ImageJ software. To visualize the moisture content and distribution in the substrate, the images were stacked, obtaining the average grayscale intensity values. The images were coloured with 16 colours (LUT), and the intensity and moisture distribution of the rhizotron vessel were visualized with a colour scale (Rellán-Álvarez et al., 2015). The angle of the root system was obtained between the beginning of the main root at the upper edge of the compost and the limit of the secondary roots on the side of the rhizotron vessel. A representation of the root system architecture was created from six stacked images and the configuration of a time-lapse colour coder (LUT-Spectrum).

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#### 133 Anatomical root analyses

At 51 DAT, the rhizotron vessels were disassembled, and roots approximately 20 cm 134 long were collected from the apex and fixed in 70% FAA solution (formaldehyde, glacial acetic 135 acid and 70% ethanol, 1:1:18) for 72 hours, after which they were transferred to 70% ethanol 136 (Johansen, 1940). Subsequently, sections were obtained at 6, 12, 14 and 16 cm from the root 137 tip towards the root base (Fig. 1) and dehydrated in increasing concentrations of ethanol (70, 138 80, 90 and 100%). At intervals of 2 hours at room temperature, the cells were infiltrated for 24 139 hours in historesin (Leica Microsystems, Heidelberg, Germany). The cross-sections (7 µm 140 thickness) were obtained using a semiautomatic rotating microtome, stained with 0.05% (w/v) 141 142 toluidine blue (Feder and O'Brien, 1968) and mounted on permanent slides with Entellan 143 (Merck, Darmstadt, Germany). The slides were photographed with a camera attached to a microscope (Eclipse E100-LED; Nikon, Tokyo, Japan). Quantitative anatomical data were 144 obtained using ImageJ software, and analyses in which all tissues were differentiated were 145 146 performed in 12-cm sections from the root apex (Figure 1). The thicknesses of the epidermis, exodermis, cortex and endodermis were determined, as were the diameters of the metaxylem, 147 vascular cylinder and cortex areas. 148

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#### 151 Statistical analyses

The data were tested for normality using the Shapiro–Wilk test. The means were subjected to analysis of variance (ANOVA) followed by the Scott–Knott test. All analyses were performed using Sisvar 5.0 software (Ferreira, 2011).

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156 **Results** 

#### 157 Moisture content and root angle

The moisture mapping in the rhizotron vessels showed that in the OLISUN250 and 158 HELIO250 genotypes, under the two water conditions, water uptake by the root system 159 160 occurred in the middle and superficial regions of the rhizotron vessels (Fig. 2a, b, g, h). In the 161 OLISUN250 genotype, under water deficit, a higher moisture content was observed in the upper side of the vessels (Fig. 2b), while in HELIO250, under both water conditions, higher moisture 162 levels were observed along the sides and in the deep region of the rhizotron vessels (Fig. 2 g, 163 h). In the AGUARÁ06 and BRS323 genotypes, under the two water conditions, water 164 absorption was observed in the different regions of the rhizotron vessels (Fig. 2c, d, e, f). 165 However, for BRS323 water absorption occurred under water deficit in the deep region of the 166 rhizotron vessel (Fig. 2f). 167

The angle of the root system of the AGUARÁ06 genotype under water deficit was wider 168 (Fig. 2d; Fig. 3) than that under field capacity (Fig. 2c; Fig. 3). On the other hand, the root 169 systems of the OLISUN03 and BRS323 genotypes in the well-watered treatment reached 170 171 greater angles than those in the water deficit treatment (Fig. 2a, and; Fig. 3). A comparison within the water deficit conditions showed that the root system of the genotype AGUARÁ06 172 had a greater angle than that of the other genotypes, while in the well-irrigated treatment, the 173 root systems of the genotypes OLISUN03, BRS323 and AGUARÁ06 reached larger angles 174 (Fig. 3). 175

#### 176 Root anatomical characteristics

There was a difference in the differentiation of root tissues between the two irrigation conditions, as observed in the anatomical sections collected in different positions, starting from the root tip (Fig. 1). In the plants under water deficit, all the root tissues located 6 cm from the apex were differentiated, while in those under field capacity, several tissues were differentiated, especially those of the vascular cylinder (Fig. 1d).

The interaction between genotype and water condition had an effect on endoderm thickness and vascular cylinder area. The endoderm thickness was greater in OLISUN03 in the WW condition than in the other genotypes in the same condition; this was the only genotype for which a significant reduction was observed when the plants were subjected to the water deficit condition (Table 1).

187 Comparing the genotypes under water deficit, it was observed that BRS323 presented 188 greater endodermis thickness (Table 1). Water deficit caused a reduction in the vascular 189 cylinder area only in AGUARÁ06. Among the genotypes under the WW condition, the smallest 190 areas of vascular casts occurred in BRS323 and HELIO250 (Table 1).

There was no interaction among the thicknesses of the epidermis, exodermis, cortex, and cortex area or metaxylem diameter. However, for all the genotypes, water deficit allowed for an increase in the thickness of the root epidermis and a reduction in the diameter of the metaxylem vessel, while the field capacity condition allowed for greater thickness and total cortex area (Table 2). Regardless of the water conditions, a reduction was observed in the thickness of the exodermis in OLISUN03 and in the diameter of the metaxylem in HELIO250.

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#### 201 **Discussion**

This study, conducted in rhizotron vessels under controlled water deficit conditions, 202 allowed us to obtain parameters that reflect the development of sunflower under field 203 conditions. Thus, the characteristics evaluated, such as RSA and root anatomy, which are 204 involved in the mechanisms of water absorption, promoted structural and anatomical changes 205 under controlled water deficit conditions. Therefore, we have evidenced a set of root system 206 characteristics that may be related to sunflower tolerance to water deficit and may affect grain 207 yield (achenes) in areas with prolonged summers (Carvalho et al. 2018; Souza et al. 2019; 208 Carvalho 2020). In addition, the rhizotron vessel research model presented highly relevant, 209 210 complex and interesting results, corroborating a previous study conducted with maize (Z. mays 211 L.) genotypes (Pires et al. 2020).

The mapping of substrate moisture and the angle occupied by the root system showed strategic water uptake by the root systems of sunflower genotypes subjected to controlled water deficit (Fig. 2). The efficiency of water uptake by the root systems of sunflowers belonging to different genotypes is related to the smaller angle of the root system, characterized as narrow and deep, as evidenced in the genotypes BRS323 and OLISUN03 under water deficit (Fig. 2a and 2f).

The RSA is an important characteristic that determines the efficiency of soil water capture to prevent water stress in crops (Li et al., 2021). Crops under water deficit tend to develop roots with a narrower and deeper angle, thus allowing access to nutrients and water in deeper soil layers (Alahmad et al., 2019). This response was observed for the genotypes OLISUN03 and BRS323 under water deficit.

Depending on the water conditions, the sunflower genotypes showed variation in the time of differentiation of the root tissues, observed in anatomical sections from the root apex to the root base (Figure 1). In the plants under water deficit, there was total differentiation of

tissues near the root apex (6 cm), while in those under field water capacity, there was 226 227 differentiation even further away from the root apex (12 cm). Therefore, this differentiation is a strategy for capturing available water in the deepest part of the soil, evidenced by the narrow, 228 deep root system and water absorption in this region of the rhizotron vessel, as observed in the 229 moisture mapping of genotypes BRS323 and OLISUN03 (Figure 2 B, F). Plants grown under 230 abiotic stress promote anatomical structural changes in different regions of the roots; such an 231 effect was observed for soybean under saline stress [Glycine max (L.) Merr.] (Silva et al., 2021) 232 and for maize under high air temperature stress, drought stress or combinations of these two 233 conditions (Zea mays) (Pei et al., 2023). 234

Plants under water deficit underwent root anatomical changes, contributing to water 235 236 deficit tolerance (Fig. 4, Table 1). In this study, root anatomical characteristics involved in water absorption and conduction, such as endoderm thickness and vascular cylinder area, exhibited 237 plasticity; that is, they responded to water deficit. In particular, a reduction in the vascular 238 cylinder was observed in the AGUARÁ06 genotype and in the endodermis in OLISUN03 239 (Figure 1, Table 1). These adjustments in the endoderm allow a lower apoplastic barrier, 240 contributing to the radial absorption of water. In addition, the reduction in the vascular cylinder 241 is a protective mechanism and contributes to the movement of water into the shoots and the 242 243 maintenance of ideal conditions for continued growth of the root system (Hazman & Brown, 2018). 244

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### 246 Conclusion

The controlled water deficit induced morphoanatomical changes that were observed in the RSA, the mechanisms of radial water absorption and water transport to the aerial parts of the sunflower plants. This set of traits contributes to the tolerance of sunflower genotypes to water deficit. In addition, the results shown here can contribute to sunflower genetic improvementprograms.

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## 253 Acknowledgements

- 254 The authors thank CAPES (Coordenação de Aperfeiçoamento de Pessoal de Nível Superior
- 255 [Coordination for the Improvement of Higher Level Personnel]), CNPq (Conselho Nacional de
- 256 Desenvolvimento Científico e Tecnológico [National Counsel of Technological and Scientific
- 257 Development]), EMBRAPA Soja and EMBRAPA Tabuleiros Costeiros (Empresa Brasileira de
- 258 Pesquisa Agropecuária [Brazilian Agricultural Research Corporation]) and members of the
- 259 Laboratory of the Federal University of Lavras (Fisiologia de Plantas Cultivadas [Physiology
- of Cultivated Plants]), (Ecofisiologia Vegetal e Funcionamento de Ecossistemas [Plant
- 261 Ecophysiology and Ecosystem Functioning]).
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Table 1. Root anatomical characteristics of four sunflower genotypes (*Helianthus annuus* L.)
grown in pots with full irrigation (field capacity; WW) and under controlled water deficit (40%
of field capacity; WD).

Genotypes	RDT (µm)		VCA (μm <sup>2</sup> )		
	WW	WD	WW	WD	
OLISUN03	19.96 ± 1.35 Aa	$16.43\pm0.83~Bb$	83162 ± 24747 Aa	70013 ± 20407 Aa	
AGUARÁ06	16.11 ± 1.31 Ba	$15.54\pm0.97~\mathrm{Ba}$	104417 ± 30343 Aa	61395 ± 19379 At	
BRS323	16.80 ± 0.69 Ba	$18.67 \pm 1.00$ Aa	58187 ± 4041 Ba	63374 ± 8770 Aa	
HELIO250	16.78 ± 1.82 Ba	15.97 ± 1.64 Ba	45811 ± 9726 Ba	55576 ± 18327 Aa	

The means followed by the same uppercase letter in the columns and lowercase letters in the rows did not differ

from each other within 5% using the Scott Knott test. Means ± SD. RDT = Root endodermis thickness; VCA =

- 380 Vascular cylinder area.

Table 2. Root anatomical characteristics of four sunflower genotypes (*Helianthus annuus* L.)
grown in pots with full irrigation (field capacity; WW) and under controlled water deficit (40%
of field capacity; WD).

	Water	RET (µm)	REXT (µm)	RCT (µm)	RCA (µm <sup>2</sup> )	RMD (µm)
	condition					
	WW	$23.1\pm2.2~\text{b}$	21.8 ± 2.4 a	285.7 ± 23.7 a	473726 ± 90383 a	33.5 ± 5.1 a
	WD	$25.1\pm2.9~a$	$20.0\pm3.4\;a$	$249.1\pm22.1\ b$	$382047 \pm 80502 \; b$	$30.5\pm4.4~b$
	Genotypes					
	OLISUN03	22.8 ± 1.3 a	$18.5\pm2.4\ b$	$267.0 \pm 29.0$ a	433224 ± 67955 a	34.4 ± 5.2 a
	AGUARÁ06	24.9 ± 3.0 a	21.0 ± 3.8 a	267.5 ± 30.1 a	474036 ± 141039 a	32.7 ± 3.5 a
	BRS323	23.2 ± 1.7 a	21.1 ± 2.7 a	274.3 ± 27.5 a	439883 ± 65669 a	35.6 ± 2.9 a
	HELIO250	25.3 ± 3.8 a	22.9 ± 2.2 a	260.8 ± 19.5 a	364403 ± 54537 a	$25.4 \pm 3.0$ b
99	Means followed	d by equal lette	ers in water conc	litions and genotyp	es, respectively, do not	t differ from each oth
100	using the Scott	-Knott test at	5% probability.	Means ± SD. RE	T = Root epidermis th	ickness; REXT = Ro
01	exodermis thick	aness; RCT = Ro	oot cortex thickn	ness; RCA = Root co	ortex area; RMD = Root	metaxylem diameter.
.02						
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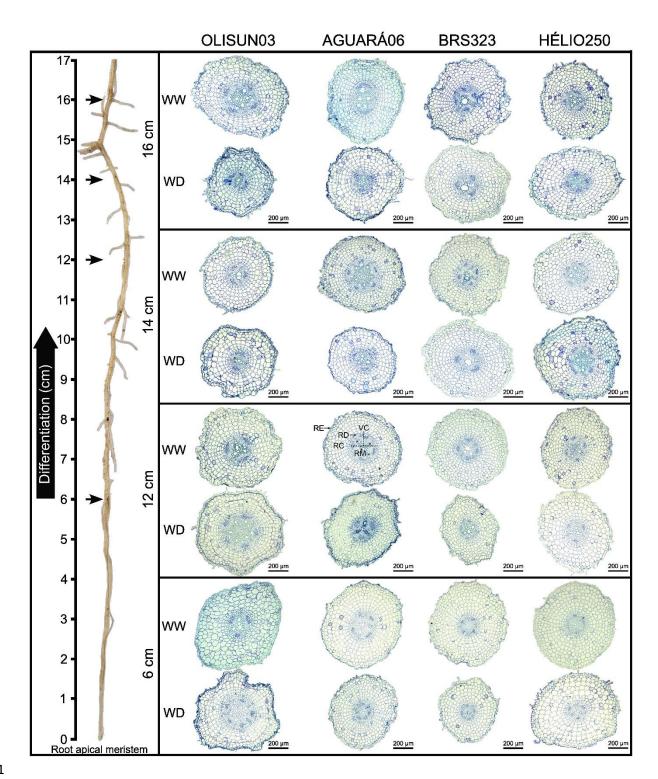




Fig. 1. Transverse sections in different root regions of four sunflower genotypes (*Helianthus annuus* L.) grown in pots with full irrigation (field capacity; WW) and under water deficit (40% of field capacity; WD). RE = Root epidermis; REX = Root exodermis; RC = Root córtex; VC = Vascular cylinder; RD = Root endodermis; RM = Root metaxylem.

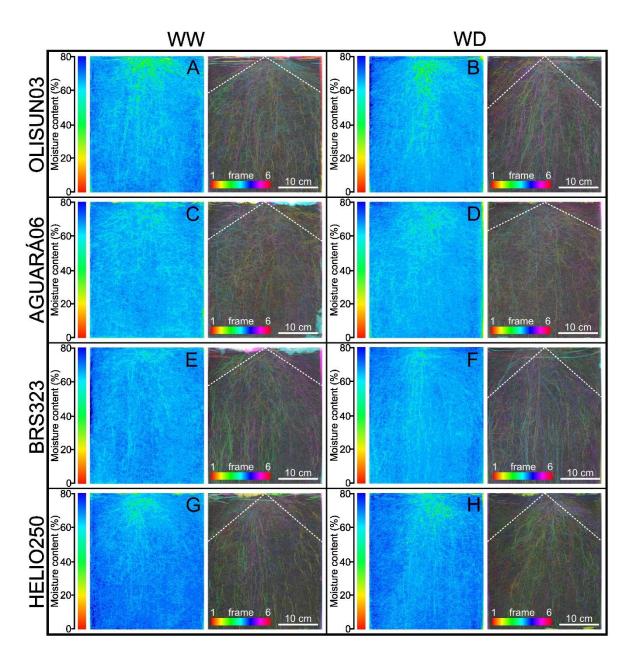
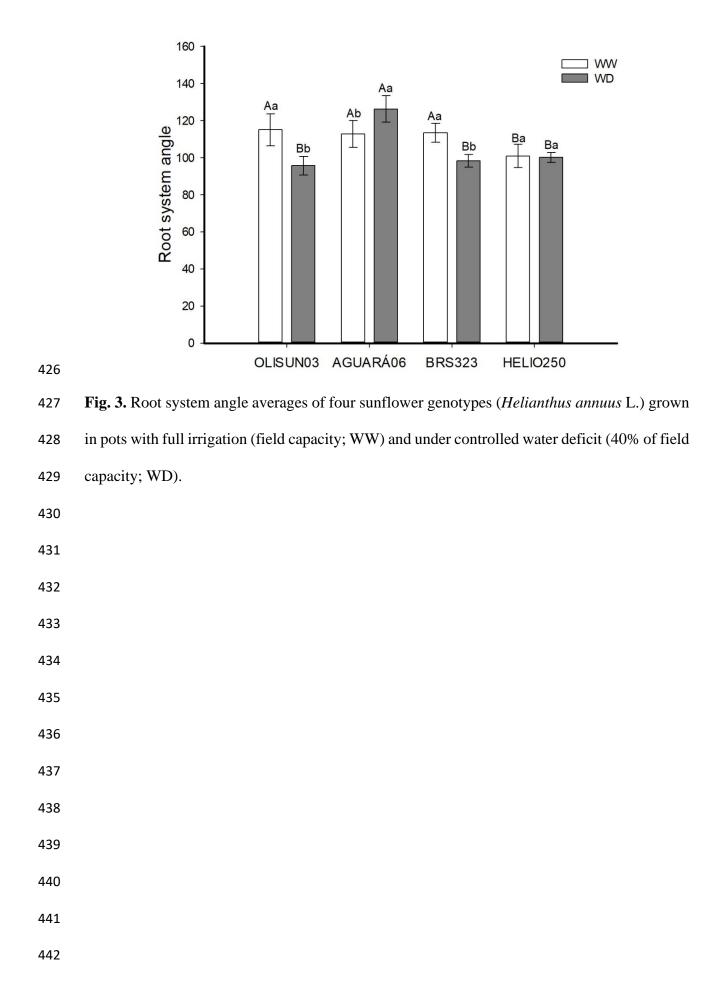


Fig. 2. Moisture content and root system angle of four sunflower genotypes (*Helianthus annuus*L.) grown in pots with full irrigation (field capacity; WW) and under water deficit (40% of field
capacity; WD).



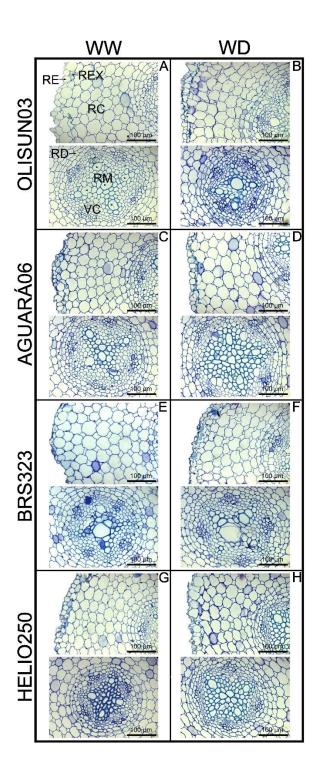


Fig. 4. Transverse sections in roots of four sunflower cultivars (*Helianthus annuus* L.) grown
under water conditions of well-irrigated plants - A (field capacity; WW) and under water deficit
B (40% of field capacity; WD). RE = Root epidermis; REX = Root exodermis; RC = Root
córtex; VC = Vascular cylinder; RD = Root endodermis; RM = Root metaxylem.